



Office of the NEW YORK STATE

COMPTROLLER

Comptroller's Monthly Report on State Funds Cash Basis of Accounting

SEPTMBER 2019

Office of Operations

Division of Payroll, Accounting and Revenue Services

Bureau of Financial Reporting and Oil Spill Remediation

NYS Comptroller

THOMAS P. DINAPOLI



STATE OF NEW YORK
OFFICE OF OPERATIONS
DIVISION OF PAYROLL, ACCOUNTING AND REVENUE SERVICES
BUREAU OF FINANCIAL REPORTING AND OIL SPILL REMEDIATION

THOMAS P. DINAPOLI
STATE COMPTROLLER

COMPTROLLER'S MONTHLY REPORT TO THE LEGISLATURE ON STATE FUNDS - CASH BASIS OF ACCOUNTING
September 30, 2019

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STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT A

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2018	6 MOS. ENDED SEP. 30, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:														
Personal Income Tax	\$ 2,415.7	\$ 13,990.7	\$ -	\$ -	\$ 2,415.7	\$ 13,990.7	\$ -	\$ -	\$ 4,831.4	\$ 27,981.4	\$ 4,796.6	\$ 23,661.6	\$ 4,319.8	18.3%
Consumption/Use Taxes (4)	813.7	4,059.5	181.2	1,013.0	761.5	3,754.7	78.8	338.1	1,835.2	9,165.3	1,737.5	8,827.2	338.1	3.8%
Business Taxes	1,283.6	2,928.5	274.9	962.1	-	-	59.9	348.0	1,618.4	4,238.6	1,290.1	3,704.8	538.8	14.4%
Other Taxes (3)	55.9	429.8	-	-	85.4	539.0	11.9	47.6	153.2	1,016.4	218.1	1,097.9	(81.5)	-7.4%
Miscellaneous Receipts (4)	278.7	1,713.3	1,885.7	9,431.4	15.9	265.6	581.6	1,657.1	2,761.9	13,067.4	2,158.9	12,896.2	171.2	1.3%
Federal Receipts	0.2	0.3	5,484.0	31,167.1	-	36.8	179.6	822.3	5,663.8	32,026.5	6,347.7	30,895.2	1,131.3	3.7%
Total Receipts	4,847.8	23,122.1	7,825.8	42,573.6	3,278.5	18,586.8	911.8	3,213.1	16,863.9	87,495.6	16,548.9	81,082.9	6,412.7	7.9%
DISBURSEMENTS:														
Local Assistance Grants: (3,4)														
Education	2,342.9	11,720.1	2,698.8	4,760.9	-	-	42.4	87.8	5,084.1	16,568.8	4,043.6	16,133.7	435.1	2.7%
Environment and Recreation	0.8	1.3	0.5	1.4	-	-	17.6	107.3	18.9	110.0	7.5	101.1	8.9	8.8%
General Government	48.3	688.3	25.0	130.7	-	-	59.1	418.3	132.4	1,237.3	192.2	1,227.5	9.8	0.8%
Public Health:														
Medicaid	1,308.3	9,980.9	3,752.2	22,913.4	-	-	-	-	5,060.5	32,894.3	5,436.1	31,279.5	1,614.8	5.2%
Other Public Health	213.0	1,149.0	721.0	3,751.9	-	-	20.2	206.9	954.2	5,107.8	1,153.9	5,271.8	(164.0)	-3.1%
Public Safety	24.8	92.7	66.9	582.6	-	-	12.0	21.6	103.7	696.9	88.9	694.3	2.6	0.4%
Public Welfare	170.7	832.1	493.0	1,540.1	-	-	42.8	154.9	706.5	2,527.1	689.8	3,802.4	(1,275.3)	-33.5%
Support and Regulate Business	7.3	69.8	5.2	28.3	-	-	61.9	515.3	74.4	613.4	48.2	485.2	128.2	26.4%
Transportation	(0.1)	62.0	330.5	1,728.1	-	-	508.7	959.1	839.1	2,749.2	531.1	2,883.3	(134.1)	-4.7%
Total Local Assistance Grants	4,116.0	24,596.2	8,093.1	35,437.4	-	-	764.7	2,471.2	12,973.8	62,504.8	12,191.3	61,878.8	626.0	1.0%
Departmental Operations:														
Personal Service	676.0	4,666.1	439.1	2,878.4	-	-	-	-	1,115.1	7,544.5	1,076.6	7,138.1	406.4	5.7%
Non-Personal Service	188.9	1,228.2	402.6	2,006.3	1.5	22.5	-	-	593.0	3,257.0	629.2	3,314.4	(57.4)	-1.7%
General State Charges	477.0	4,726.8	95.7	616.7	-	-	-	-	572.7	5,343.5	541.5	5,300.0	43.5	0.8%
Debt Service, Including Payments on														
Financing Agreements	-	-	-	-	433.2	976.6	-	-	433.2	976.6	831.2	1,308.7	(332.1)	-25.4%
Capital Projects (1)	-	-	-	-	-	-	582.6	3,432.3	582.6	3,432.3	602.0	3,510.3	(78.0)	-2.2%
Total Disbursements	5,457.9	35,217.3	9,030.5	40,938.8	434.7	999.1	1,347.3	5,903.5	16,270.4	83,058.7	15,871.8	82,450.3	608.4	0.7%
Excess (Deficiency) of Receipts over Disbursements	(610.1)	(12,095.2)	(1,204.7)	1,634.8	2,843.8	17,587.7	(435.5)	(2,690.4)	593.5	4,436.9	677.1	(1,367.4)	5,804.3	424.5%
OTHER FINANCING SOURCES (USES):														
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds (2)	3,581.6	18,712.8	136.5	1,628.2	270.9	1,251.3	752.3	3,054.3	4,741.3	24,646.6	4,223.7	21,166.1	3,480.5	16.4%
Transfers to Other Funds (2)	(808.1)	(4,773.5)	(151.6)	(863.9)	(3,552.0)	(18,596.8)	(253.5)	(514.1)	(4,765.2)	(24,748.3)	(4,234.0)	(21,213.3)	3,535.0	16.7%
Total Other Financing Sources (Uses)	2,773.5	13,939.3	(15.1)	764.3	(3,281.1)	(17,345.5)	498.8	2,540.2	(23.9)	(101.7)	(10.3)	(47.2)	(54.5)	115.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,163.4	1,844.1	(1,219.8)	2,399.1	(437.3)	242.2	63.3	(150.2)	569.6	4,335.2	666.8	(1,414.6)	5,749.8	406.5%
Beginning Fund Balances (Deficits)	6,886.4	7,205.7	7,461.3	3,842.4	744.3	64.8	(1,351.4)	(1,137.9)	13,740.6	9,975.0	10,667.6	12,749.0	(2,774.0)	-21.8%
Ending Fund Balances (Deficits)	\$ 9,049.8	\$ 9,049.8	\$ 6,241.5	\$ 6,241.5	\$ 307.0	\$ 307.0	\$ (1,288.1)	\$ (1,288.1)	\$ 14,310.2	\$ 14,310.2	\$ 11,334.4	\$ 11,334.4	\$ 2,975.8	26.3%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS-STATE OPERATING (*)
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)**

**EXHIBIT A
SUPPLEMENTAL**

	GENERAL		STATE SPECIAL REVENUE (**)		DEBT SERVICE		TOTAL STATE OPERATING FUNDS					
	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	MONTH OF	6 MOS. ENDED	\$ Increase/ (Decrease)	% Increase/ Decrease
	SEP. 2019	SEP. 30, 2019	SEP. 2019	SEP. 30, 2019	SEP. 2019	SEP. 30, 2019	SEP. 2019	SEP. 30, 2019	SEP. 2018	SEP. 30, 2018		
RECEIPTS:												
Personal Income Tax	\$ 2,415.7	\$ 13,990.7	\$ -	\$ -	\$ 2,415.7	\$ 13,990.7	\$ 4,831.4	\$ 27,981.4	\$ 4,796.6	\$ 23,661.6	\$ 4,319.8	18.3%
Consumption/Use Taxes (4)	813.7	4,059.5	181.2	1,013.0	761.5	3,754.7	1,756.4	8,827.2	1,659.8	8,489.8	337.4	4.0%
Business Taxes	1,283.6	2,928.5	274.9	962.1	-	-	1,558.5	3,890.6	1,230.0	3,368.5	522.1	15.5%
Other Taxes (3)	55.9	429.8	-	-	85.4	539.0	141.3	968.8	206.2	1,050.3	(81.5)	-7.8%
Miscellaneous Receipts (4)	278.7	1,713.3	1,874.2	9,305.5	15.9	265.6	2,168.8	11,284.4	2,041.6	11,622.3	(337.9)	-2.9%
Federal Receipts	0.2	0.3	0.1	17.6	-	36.8	0.3	54.7	0.1	34.3	20.4	59.5%
Total Receipts	4,847.8	23,122.1	2,330.4	11,298.2	3,278.5	18,586.8	10,456.7	53,007.1	9,934.3	48,226.8	4,780.3	9.9%
DISBURSEMENTS:												
Local Assistance Grants: (3,4)												
Education	2,342.9	11,720.1	2,511.4	2,863.1	-	-	4,854.3	14,583.2	3,896.4	13,890.0	693.2	5.0%
Environment and Recreation	0.8	1.3	0.2	0.8	-	-	1.0	2.1	0.3	1.9	0.2	10.5%
General Government	48.3	688.3	22.9	101.5	-	-	71.2	789.8	120.6	802.8	(13.0)	-1.6%
Public Health:												
Medicaid	1,308.3	9,980.9	607.5	3,122.6	-	-	1,915.8	13,103.5	2,051.9	11,887.6	1,215.9	10.2%
Other Public Health	213.0	1,149.0	54.2	460.3	-	-	267.2	1,609.3	(66.5)	1,761.9	(152.6)	-8.7%
Public Safety	24.8	92.7	17.0	97.2	-	-	41.8	189.9	27.4	183.7	6.2	3.4%
Public Welfare	170.7	832.1	(0.7)	2.9	-	-	170.0	835.0	364.1	1,134.3	(299.3)	-26.4%
Support and Regulate Business	7.3	69.8	4.4	22.7	-	-	11.7	92.5	10.5	89.1	3.4	3.8%
Transportation	(0.1)	62.0	327.2	1,702.1	-	-	327.1	1,764.1	325.8	2,076.3	(312.2)	-15.0%
Total Local Assistance Grants	4,116.0	24,596.2	3,544.1	8,373.2	-	-	7,660.1	32,969.4	6,730.5	31,827.6	1,141.8	3.6%
Departmental Operations:												
Personal Service	676.0	4,666.1	388.2	2,565.3	-	-	1,064.2	7,231.4	1,026.0	6,810.6	420.8	6.2%
Non-Personal Service	188.9	1,228.2	216.2	1,390.0	1.5	22.5	406.6	2,640.7	423.4	2,665.3	(24.6)	-0.9%
General State Charges	477.0	4,726.8	71.2	452.6	-	-	548.2	5,179.4	514.0	5,050.4	129.0	2.6%
Debt Service, Including Payments on												
Financing Agreements	-	-	-	-	433.2	976.6	433.2	976.6	831.2	1,308.7	(332.1)	-25.4%
Capital Projects	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	5,457.9	35,217.3	4,219.7	12,781.1	434.7	999.1	10,112.3	48,997.5	9,525.1	47,662.6	1,334.9	2.8%
Excess (Deficiency) of Receipts over Disbursements	(610.1)	(12,095.2)	(1,889.3)	(1,482.9)	2,843.8	17,587.7	344.4	4,009.6	409.2	564.2	3,445.4	610.7%
OTHER FINANCING SOURCES (USES):												
Transfers from Other Funds (2)	3,581.6	18,712.8	168.6	2,004.3	270.9	1,251.3	4,021.1	21,968.4	3,800.7	18,753.2	3,215.2	17.1%
Transfers to Other Funds (2)	(808.1)	(4,773.5)	(107.2)	(335.4)	(3,552.0)	(18,596.8)	(4,467.3)	(23,705.7)	(3,920.3)	(20,308.7)	3,397.0	16.7%
Total Other Financing Sources (Uses)	2,773.5	13,939.3	61.4	1,668.9	(3,281.1)	(17,345.5)	(446.2)	(1,737.3)	(119.6)	(1,555.5)	(181.8)	-11.7%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,163.4	1,844.1	(1,827.9)	186.0	(437.3)	242.2	(101.8)	2,272.3	289.6	(991.3)	3,263.6	329.2%
Beginning Fund Balances (Deficits)	6,886.4	7,205.7	7,104.7	5,090.8	744.3	64.8	14,735.4	12,361.3	12,325.7	13,606.6	(1,245.3)	-9.2%
Ending Fund Balances (Deficits)	\$ 9,049.8	\$ 9,049.8	\$ 5,276.8	\$ 5,276.8	\$ 307.0	\$ 307.0	\$ 14,633.6	\$ 14,633.6	\$ 12,615.3	\$ 12,615.3	\$ 2,018.3	16.0%

(*) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(**) Eliminations between Special Revenue - State and Federal Funds are not included.

GOVERNMENTAL FUNDS FOOTNOTES

1. Certain disbursements from Capital Projects funds are financed by operating transfers from other funds, proceeds of State bonds and notes, and reimbursements received from Public Authorities and the Federal Government. The amounts shown below represent disbursements to be reimbursed in future months from the sources indicated:

Urban Development Corporation (Correctional Facilities)	\$366.0 million
Urban Development Corporation (Youth Facilities)	24.6
Housing Finance Agency (HFA)	244.9
Housing Assistance Fund	12.9
Dormitory Authority (Mental Hygiene)	381.3
Dormitory Authority and State University Income Fund	475.0
Federal Capital Projects	529.5
State bond and note proceeds	77.0

2. Operating Transfers constitute legally authorized transfers from a fund receiving revenues to a fund through which disbursements will ultimately be made. The more significant transfers include:

General Fund "Transfers to Other Funds" are as follows:

State Capital Projects Fund	\$2,240.4 million
General Debt Service Fund	225.7
Banking Services Account	17.9
Building Administration Account	8.0
Business Service Center Account	8.1
Centralized Tech Services Account	11.5
Court Facilities Incentive Aid Fund	65.3
Dedicated Highway & Bridge Trust Fund	33.0
Dedicated Infrastructure Investment Fund	670.0
Dedicated Mass Transportation - Railroad Account	4.4
Dedicated Mass Transportation - Transit Authority Account	24.4
Dedicated Mass Transportation - (Non-MTA)	2.5
Housing Debt Service Fund	1.9
MTA Financial Assistance Fund	146.6
MTA Operating Assistance Fund	31.5
NY Central Business District Trust	37.5
NYC County Courts Operating Account	3.2
SUNY - Income Fund	1,007.3

Also included in the General Fund are transfers representing payments for patients residing in State-operated Health, Mental Hygiene and State University facilities to Debt Service funds (\$4.4m), and the State University Income Fund (\$230.0m).

§72(4)(b) was added to the State Finance Law in 2010 to permit the State's General Debt Service Fund to maintain a cash reserve for the payment of debt service, and related expenses, during the current fiscal quarter. As of September 30, 2019 - pursuant to a certification of the Budget Director - payment obligations were met out of these reserves and future payment amounts were scheduled for transfer at the commencement of the succeeding month

Special Revenue Funds "Transfers To Other Funds" includes transfers to Mental Health Services Fund and Department of Health Income Fund (\$511.1m) representing the federal share of Medicaid payments for patients residing in State-operated Health and Mental Hygiene facilities, the General Debt Services Fund (\$6.9m), Medicaid Management Information System Escrow Fund (\$57.3m), SUNY Capital Projects Fund (\$49.9m), State Capital Projects Fund (\$1.1m) and All Other Capital Projects (\$59.6m).

Also included in Special Revenue funds are transfers to the General Fund from the following:

Chemical Dependence Service Fund	\$101.3 million
Federal Dept. of Health & Human Services Fund	11.6
Federal Education Fund	1.3
NYC Assessment Account	22.9
SUNY Income Fund	31.6
Unemployment Insurance Administration Fund	4.1
Unemployment Insurance, Interest & Penalty	8.8

Debt Service Funds "Transfers To Other Funds" includes transfers to the General Fund from the following:

Revenue Bond Tax Fund	\$14,156.9 million
Local Government Assistance Tax Fund	1,855.3
Sales Tax Revenue Bond Tax Fund	1,474.5
Clean Water/Clean Air Fund	510.8
Mental Health Services Fund	524.0

Also included in Debt Service funds are transfers to Special Revenue funds representing receipts in excess of lease-purchase obligations that are used to finance a portion of the operating expenses for the Department of Health (\$75.3m).

Capital Projects Funds "Transfers To Other Funds" includes transfers to the General Fund (\$13.2m), the General Debt Service Fund - Lease Purchase (\$287.4m), and the Revenue Bond Tax Fund (\$213.4m).

3. Part UU of Section 11 of Chapter 59 of the Laws of 2018 amended section 805(b) of the tax law, whereby the receipts from the metropolitan commuter transportation mobility tax will be paid into the metropolitan transportation authority finance fund pursuant to statute but without appropriation. The result is that neither the mobility tax receipts nor the related grant disbursements to the MTA are recorded in the State funds. The MTA mobility tax activity is now reported in Schedule 4 as part of the MTA State Assistance fund group.
4. Part FF of Chapter 58 of the Laws of 2019 amended paragraphs (b-1) and (c-3) of subdivision two of section 503 of the vehicle and traffic law, article 29-a of the tax law, article 17-c of the vehicle and traffic law and section 1166-a of the tax law, whereby the receipts from the various taxes and fees will be paid into the metropolitan transportation authority special assistance fund pursuant to statute but without appropriation. The activity is now reported in Schedule 4 as part of the MTA State Assistance fund group.
5. Part NNN of Chapter 59 of the Laws of 2018 added Tax Law, Article 29-C on April 1, 2018, with collection of the for-hire congestion surcharge scheduled to begin on January 1, 2019. Amounts collected will be paid into the NYC transportation fund pursuant to statute but without appropriation. The result is that neither the surcharge nor the related disbursements to MTA are recorded in State Funds. The for-hire congestion surcharge activity is reported in Schedule 4 as part of the MTA State Assistance fund group.
6. Part OOO of Chapter 59 of the Laws of 2019 added to and amended Tax Law Article 31. Section 1402-b added an additional real estate transfer tax to residential property over \$2 million, in cities with a population of over 1 million. Section 1421(b) of the tax law was amended directing these taxes be remitted to the MTA pursuant to statute but without appropriation. This activity is reported in Schedule 4 as part of the MTA State Assistance fund group.

STATE OF NEW YORK
 PROPRIETARY FUNDS
 COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 (amounts in millions)

EXHIBIT B

	ENTERPRISE		INTERNAL SERVICE		TOTAL PROPRIETARY FUNDS				YEAR OVER YEAR	
	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2018	6 MOS. ENDED SEP. 30, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 17.2	\$ 45.4	\$ 61.7	\$ 264.2	\$ 78.9	\$ 309.6	\$ 33.5	\$ 289.0	\$ 20.6	7.1%
Federal Receipts	0.9	5.8	-	-	0.9	5.8	0.9	6.1	(0.3)	-4.9%
Unemployment Taxes	150.3	958.5	-	-	150.3	958.5	132.0	949.5	9.0	0.9%
Total Receipts	168.4	1,009.7	61.7	264.2	230.1	1,273.9	166.4	1,244.6	29.3	2.4%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	0.4	2.3	9.6	62.5	10.0	64.8	8.2	54.6	10.2	18.7%
Non-Personal Service	4.3	29.1	34.1	191.8	38.4	220.9	38.6	243.3	(22.4)	-9.2%
General State Charges	0.1	0.4	4.6	30.0	4.7	30.4	6.4	46.1	(15.7)	-34.1%
Unemployment Benefits	151.4	965.2	-	-	151.4	965.2	133.0	955.4	9.8	1.0%
Total Disbursements	156.2	997.0	48.3	284.3	204.5	1,281.3	186.2	1,299.4	(18.1)	-1.4%
Excess (Deficiency) of Receipts Over Disbursements	12.2	12.7	13.4	(20.1)	25.6	(7.4)	(19.8)	(54.8)	47.4	86.5%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	5.3	45.4	5.3	45.4	2.7	39.0	6.4	16.4%
Transfers to Other Funds	-	-	(0.5)	(0.9)	(0.5)	(0.9)	(6.9)	(7.0)	(6.1)	-87.1%
Total Other Financing Sources (Uses)	-	-	4.8	44.5	4.8	44.5	(4.2)	32.0	12.5	39.1%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	12.2	12.7	18.2	24.4	30.4	37.1	(24.0)	(22.8)	59.9	-262.7%
Beginning Fund Balances (Deficits)	27.1	26.6	(296.5)	(302.7)	(269.4)	(276.1)	(243.4)	(244.6)	(31.5)	-12.9%
Ending Fund Balances (Deficits)	\$ 39.3	\$ 39.3	\$ (278.3)	\$ (278.3)	\$ (239.0)	\$ (239.0)	\$ (267.4)	\$ (267.4)	\$ 28.4	10.6%

STATE OF NEW YORK
TRUST FUNDS
COMBINED STATEMENT OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
(amounts in millions)

EXHIBIT C

	PENSION		PRIVATE PURPOSE		TOTAL TRUST FUNDS				YEAR OVER YEAR	
	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2018	6 MOS. ENDED SEP. 30, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
RECEIPTS:										
Miscellaneous Receipts	\$ 5.2	\$ 58.5	\$ 0.1	\$ 0.8	\$ 5.3	\$ 59.3	\$ 38.1	\$ 67.3	\$ (8.0)	-11.9%
Total Receipts	5.2	58.5	0.1	0.8	5.3	59.3	38.1	67.3	(8.0)	-11.9%
DISBURSEMENTS:										
Departmental Operations:										
Personal Service	5.3	33.8	-	0.1	5.3	33.9	5.0	33.7	0.2	0.6%
Non-Personal Service	1.6	7.3	-	-	1.6	7.3	1.1	7.2	0.1	1.4%
General State Charges	3.3	21.4	0.1	0.1	3.4	21.5	3.2	29.2	(7.7)	-26.4%
Total Disbursements	10.2	62.5	0.1	0.2	10.3	62.7	9.3	70.1	(7.4)	-10.6%
Excess (Deficiency) of Receipts Over Disbursements	(5.0)	(4.0)	-	0.6	(5.0)	(3.4)	28.8	(2.8)	(0.6)	-21.4%
OTHER FINANCING SOURCES (USES):										
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(5.0)	(4.0)	-	0.6	(5.0)	(3.4)	28.8	(2.8)	(0.6)	-21.4%
Beginning Fund Balances (Deficits)	(2.0)	(3.0)	13.8	13.2	11.8	10.2	(21.7)	9.9	0.3	3.0%
Ending Fund Balances (Deficits)	\$ (7.0)	\$ (7.0)	\$ 13.8	\$ 13.8	\$ 6.8	\$ 6.8	\$ 7.1	\$ 7.1	\$ (0.3)	-4.2%

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
 (amounts in millions)

EXHIBIT D

ALL GOVERNMENTAL FUNDS					
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 27,432.0	\$ 27,690.0	\$ 27,981.4	\$ 549.4	\$ 291.4
Consumption/Use	9,273.0	9,246.0	9,165.3	(107.7)	(80.7)
Business	4,001.0	4,097.0	4,238.6	237.6	141.6
Other	1,159.0	1,140.0	1,016.4	(142.6)	(123.6)
Miscellaneous Receipts	12,548.0	12,662.0	13,067.4	519.4	405.4
Federal Receipts	34,134.0	31,948.0	32,026.5	(2,107.5)	78.5
Total Receipts	88,547.0	86,783.0	87,495.6	(1,051.4)	712.6
DISBURSEMENTS:					
Local Assistance Grants	64,260.0	63,696.0	62,504.8	(1,755.2)	(1,191.2)
Departmental Operations	10,851.0	11,019.0	10,801.5	(49.5)	(217.5)
General State Charges	5,423.0	5,354.0	5,343.5	(79.5)	(10.5)
Debt Service	973.0	977.0	976.6	3.6	(0.4)
Capital Projects	4,414.0	3,649.0	3,432.3	(981.7)	(216.7)
Total Disbursements	85,921.0	84,695.0	83,058.7	(2,862.3)	(1,636.3)
Excess (Deficiency) of Receipts over Disbursements	2,626.0	2,088.0	4,436.9	1,810.9	2,348.9
OTHER FINANCING SOURCES (USES):					
Bond and Note Proceeds, net	-	-	-	-	-
Transfers from Other Funds	24,728.0	24,790.0	24,646.6	(81.4)	(143.4)
Transfers to Other Funds	(24,779.0)	(24,860.0)	(24,748.3)	(30.7)	111.7
Total Other Financing Sources (Uses)	(51.0)	(70.0)	(101.7)	(50.7)	(31.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	2,575.0	2,018.0	4,335.2	1,760.2	2,317.2
Fund Balances (Deficits) at April 1	9,975.0	9,975.0	9,975.0	-	-
Fund Balances (Deficits) at September 30, 2019	\$ 12,550.0	\$ 11,993.0	\$ 14,310.2	\$ 1,760.2	\$ 2,317.2

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
 (amounts in millions)

EXHIBIT D

	STATE OPERATING FUNDS (***)				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 27,432.0	\$ 27,690.0	\$ 27,981.4	\$ 549.4	\$ 291.4
Consumption/Use	8,941.0	8,909.0	8,827.2	(113.8)	(81.8)
Business	3,661.0	3,755.0	3,890.6	229.6	135.6
Other	1,111.0	1,092.0	968.8	(142.2)	(123.2)
Miscellaneous Receipts	11,204.0	11,075.0	11,284.4	80.4	209.4
Federal Receipts	37.0	36.0	54.7	17.7	18.7
Total Receipts	52,386.0	52,557.0	53,007.1	621.1	450.1
DISBURSEMENTS:					
Local Assistance Grants	33,363.0	33,583.0	32,969.4	(393.6)	(613.6)
Departmental Operations	9,815.0	10,050.0	9,872.1	57.1	(177.9)
General State Charges	5,261.0	5,189.0	5,179.4	(81.6)	(9.6)
Debt Service	973.0	977.0	976.6	3.6	(0.4)
Capital Projects	-	-	-	-	-
Total Disbursements	49,412.0	49,799.0	48,997.5	(414.5)	(801.5)
Excess (Deficiency) of Receipts over Disbursements	2,974.0	2,758.0	4,009.6	1,035.6	1,251.6
OTHER FINANCING SOURCES (USES):					
Transfers from Other Funds	21,761.0	21,937.0	21,968.4 (****)	207.4	31.4
Transfers to Other Funds	(23,322.0)	(23,426.0)	(23,705.7) (****)	383.7	279.7
Total Other Financing Sources (Uses)	(1,561.0)	(1,489.0)	(1,737.3)	(176.3)	(248.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,413.0	1,269.0	2,272.3	859.3	1,003.3
Fund Balances (Deficits) at April 1	12,362.0	12,362.0	12,361.3	(0.7)	(0.7)
Fund Balances (Deficits) at September 30, 2019	\$ 13,775.0	\$ 13,631.0	\$ 14,633.6	\$ 858.6	\$ 1,002.6

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

(***) State Operating Funds are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal Funds) and Debt Service Funds.

(****) Eliminations between Special Revenue - State and Federal Funds are not included.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
 (amounts in millions)

EXHIBIT D

	GENERAL FUND				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 13,716.0	\$ 13,845.0	\$ 13,990.7	\$ 274.7	\$ 145.7
Consumption/Use	4,139.0	4,110.0	4,059.5	(79.5)	(50.5)
Business	2,774.0	2,828.0	2,928.5	154.5	100.5
Other	557.0	533.0	429.8	(127.2)	(103.2)
Miscellaneous Receipts	1,591.0	1,662.0	1,713.3	122.3	51.3
Federal Receipts	-	-	0.3	0.3	0.3
Transfers From:					
PIT / ECET in excess of Revenue Bond Debt Service	13,900.0	14,030.0	14,156.9	256.9	126.9
Sales Tax in excess of LGAC / STRBF Debt Service	3,383.0	3,350.0	3,329.8	(53.2)	(20.2)
Real Estate Taxes in excess of CW/CA Debt Service	531.0	530.0	510.8	(20.2)	(19.2)
All Other	728.0	858.0	715.3	(12.7)	(142.7)
Total Receipts and Other Financing Sources	41,319.0	41,746.0	41,834.9	515.9	88.9
DISBURSEMENTS:					
Local Assistance Grants	25,475.0	25,717.0	24,596.2	(878.8)	(1,120.8)
Departmental Operations	5,900.0	6,034.0	5,894.3	(5.7)	(139.7)
General State Charges	4,800.0	4,746.0	4,726.8	(73.2)	(19.2)
Transfers To:					
Debt Service	227.0	225.0	225.7	(1.3)	0.7
Capital Projects	2,948.0	2,804.0	2,943.4	(4.6)	139.4
State Share Medicaid	-	-	234.4 (***)	234.4	234.4
SUNY Operations	1,022.0	980.0	1,007.3	(14.7)	27.3
Other Purposes	552.0	588.0	362.7	(189.3)	(225.3)
Total Disbursements and Other Financing Uses	40,924.0	41,094.0	39,990.8	(933.2)	(1,103.2)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	395.0	652.0	1,844.1	1,449.1	1,192.1
Fund Balances (Deficits) at April 1	7,206.0	7,206.0	7,205.7	(0.3)	(0.3)
Fund Balances (Deficits) at September 30, 2019	\$ 7,601.0	\$ 7,858.0	\$ 9,049.8	\$ 1,448.8	\$ 1,191.8

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

(***) Includes transfers to the Department of Health Income Fund and the State University Income Fund representing payments for patients residing in State-Operated Health and State University facilities.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
 (amounts in millions)

EXHIBIT D

SPECIAL REVENUE FUNDS							
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:							
Taxes:							
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	984.0	1,007.0	1,013.0	-	1,013.0	29.0	6.0
Business	887.0	927.0	962.1	-	962.1	75.1	35.1
Miscellaneous Receipts	9,488.0	9,301.0	9,431.4	-	9,431.4	(56.6)	130.4
Federal Receipts	33,176.0	31,053.0	31,167.1	-	31,167.1	(2,008.9)	114.1
Transfers from Other Funds (***)	1,829.0	1,872.0	2,004.3	(376.1)	1,628.2	(200.8)	(243.8)
Total Receipts and Other Financing Sources	46,364.0	44,160.0	44,577.9	(376.1)	44,201.8	(2,162.2)	41.8
DISBURSEMENTS:							
Local Assistance Grants	36,540.0	35,667.0	35,437.4	-	35,437.4	(1,102.6)	(229.6)
Departmental Operations	4,927.0	4,960.0	4,884.7	-	4,884.7	(42.3)	(75.3)
General State Charges	623.0	608.0	616.7	-	616.7	(6.3)	8.7
Capital Projects	-	-	-	-	-	-	-
Transfers to Other Funds (***)	1,102.0	1,150.0	1,240.0	(376.1)	863.9	(238.1)	(286.1)
Total Disbursements and Other Financing Uses	43,192.0	42,385.0	42,178.8	(376.1)	41,802.7	(1,389.3)	(582.3)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,172.0	1,775.0	2,399.1	-	2,399.1	(772.9)	624.1
Fund Balances (Deficits) at April 1	3,842.0	3,842.0	3,842.4	-	3,842.4	0.4	0.4
Fund Balances (Deficits) at September 30, 2019	\$ 7,014.0	\$ 5,617.0	\$ 6,241.5	\$ -	\$ 6,241.5	\$ (772.5)	\$ 624.5

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

(***) Actual reported transfer amounts include eliminations between Special Revenue - State and Federal Funds.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
 (amounts in millions)

EXHIBIT D

	STATE SPECIAL REVENUE FUNDS					FEDERAL SPECIAL REVENUE FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Personal Income	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Consumption/Use	984.0	1,007.0	1,013.0	29.0	6.0	-	-	-	-	-
Business	887.0	927.0	962.1	75.1	35.1	-	-	-	-	-
Miscellaneous Receipts	9,447.0	9,217.0	9,305.5	(141.5)	88.5	41.0	84.0	125.9	84.9	41.9
Federal Receipts	-	(1.0)	17.6	17.6	18.6	33,176.0	31,054.0	31,149.5	(2,026.5)	95.5
Transfers from Other Funds	1,829.0	1,871.0	2,004.3	175.3	133.3	-	1.0	-	-	(1.0)
Total Receipts and Other Financing Sources	13,147.0	13,021.0	13,302.5	155.5	281.5	33,217.0	31,139.0	31,275.4	(1,941.6)	136.4
DISBURSEMENTS:										
Local Assistance Grants	7,888.0	7,866.0	8,373.2	485.2	507.2	28,652.0	27,801.0	27,064.2	(1,587.8)	(736.8)
Departmental Operations	3,891.0	3,991.0	3,955.3	64.3	(35.7)	1,036.0	969.0	929.4	(106.6)	(39.6)
General State Charges	461.0	443.0	452.6	(8.4)	9.6	162.0	165.0	164.1	2.1	(0.9)
Capital Projects	-	-	-	-	-	-	-	-	-	-
Transfers to Other Funds	152.0	231.0	335.4	183.4	104.4	950.0	919.0	904.6	(45.4)	(14.4)
Total Disbursements and Other Financing Uses	12,392.0	12,531.0	13,116.5	724.5	585.5	30,800.0	29,854.0	29,062.3	(1,737.7)	(791.7)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	755.0	490.0	186.0	(569.0)	(304.0)	2,417.0	1,285.0	2,213.1	(203.9)	928.1
Fund Balances (Deficits) at April 1	5,091.0	5,091.0	5,090.8	(0.2)	(0.2)	(1,249.0)	(1,249.0)	(1,248.4)	0.6	0.6
Fund Balances (Deficits) at September 30, 2019	\$ 5,846.0	\$ 5,581.0	\$ 5,276.8	\$ (569.2)	\$ (304.2)	\$ 1,168.0	\$ 36.0	\$ 964.7	\$ (203.3)	\$ 928.7

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
 (**) Source: 2019-20 First Quarter Update dated August 13, 2019.

STATE OF NEW YORK
BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
FISCAL YEAR 2019-2020
FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
(amounts in millions)

EXHIBIT D

DEBT SERVICE FUNDS

	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:					
Taxes:					
Personal Income	\$ 13,716.0	\$ 13,845.0	\$ 13,990.7	\$ 274.7	\$ 145.7
Consumption/Use	3,818.0	3,792.0	3,754.7	(63.3)	(37.3)
Other	554.0	559.0	539.0	(15.0)	(20.0)
Miscellaneous Receipts	166.0	196.0	265.6	99.6	69.6
Federal Receipts	37.0	37.0	36.8	(0.2)	(0.2)
Transfers from Other Funds	1,390.0	1,298.0	1,251.3	(138.7)	(46.7)
Total Receipts and Other Financing Sources	19,681.0	19,727.0	19,838.1	157.1	111.1
DISBURSEMENTS:					
Departmental Operations	24.0	25.0	22.5	(1.5)	(2.5)
Debt Service	973.0	977.0	976.6	3.6	(0.4)
Transfers to Other Funds	18,421.0	18,598.0	18,596.8	175.8	(1.2)
Total Disbursements and Other Financing Uses	19,418.0	19,600.0	19,595.9	177.9	(4.1)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	263.0	127.0	242.2	(20.8)	115.2
Fund Balances (Deficits) at April 1	65.0	65.0	64.8	(0.2)	(0.2)
Fund Balances (Deficits) at September 30, 2019	\$ 328.0	\$ 192.0	\$ 307.0	\$ (21.0)	\$ 115.0

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.

(**) Source: 2019-20 First Quarter Update dated August 13, 2019.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
 (amounts in millions)

EXHIBIT D

CAPITAL PROJECTS FUNDS							
Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Eliminations	Total	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	
RECEIPTS:							
Taxes:							
Consumption/Use	\$ 332.0	\$ 337.0	\$ 338.1	\$ -	\$ 338.1	\$ 6.1	\$ 1.1
Business	340.0	342.0	348.0	-	348.0	8.0	6.0
Other	48.0	48.0	47.6	-	47.6	(0.4)	(0.4)
Miscellaneous Receipts	1,303.0	1,503.0	1,657.1	-	1,657.1	354.1	154.1
Federal Receipts	921.0	858.0	822.3	-	822.3	(98.7)	(35.7)
Bond and Note Proceeds, net	-	-	-	-	-	-	-
Transfers from Other Funds	2,967.0	2,852.0	3,054.3	-	3,054.3	87.3	202.3
Total Receipts and Other Financing Sources	5,911.0	5,940.0	6,267.4	-	6,267.4	356.4	327.4
DISBURSEMENTS:							
Local Assistance Grants	2,245.0	2,312.0	2,471.2	-	2,471.2	226.2	159.2
Capital Projects	4,414.0	3,649.0	3,432.3	-	3,432.3	(981.7)	(216.7)
Transfers to Other Funds	507.0	515.0	514.1	-	514.1	7.1	(0.9)
Total Disbursements and Other Financing Uses	7,166.0	6,476.0	6,417.6	-	6,417.6	(748.4)	(58.4)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,255.0)	(536.0)	(150.2)	-	(150.2)	1,104.8	385.8
Fund Balances (Deficits) at April 1	(1,138.0)	(1,138.0)	(1,137.9)	-	(1,137.9)	0.1	0.1
Fund Balances (Deficits) at September 30, 2019	\$ (2,393.0)	\$ (1,674.0)	\$ (1,288.1)	\$ -	\$ (1,288.1)	\$ 1,104.9	\$ 385.9

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
 (**) Source: 2019-20 First Quarter Update dated August 13, 2019.

STATE OF NEW YORK
 BUDGETARY BASIS - FINANCIAL PLAN AND ACTUAL
 FISCAL YEAR 2019-2020
 FOR SIX MONTHS ENDED SEPTEMBER 30, 2019
 (amounts in millions)

EXHIBIT D

	STATE CAPITAL PROJECTS FUNDS					FEDERAL CAPITAL PROJECTS FUNDS				
	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan	Enacted Financial Plan (*)	Updated Financial Plan (**)	Actual	Actual Over/ (Under) Enacted Financial Plan	Actual Over/ (Under) Updated Financial Plan
RECEIPTS:										
Taxes:										
Consumption/Use	\$ 332.0	\$ 337.0	\$ 338.1	\$ 6.1	\$ 1.1	\$ -	\$ -	\$ -	\$ -	\$ -
Business	340.0	342.0	348.0	8.0	6.0	-	-	-	-	-
Other	48.0	48.0	47.6	(0.4)	(0.4)	-	-	-	-	-
Miscellaneous Receipts	1,303.0	1,503.0	1,656.7	353.7	153.7	-	-	0.4	0.4	0.4
Federal Receipts	-	-	2.3	2.3	2.3	921.0	858.0	820.0	(101.0)	(38.0)
Bond and Note Proceeds, net	-	-	-	-	-	-	-	-	-	-
Transfers from Other Funds	3,147.0	2,972.0	3,054.3	(92.7)	82.3	(180.0)	(120.0)	-	180.0	120.0
Total Receipts and Other Financing Sources	5,170.0	5,202.0	5,447.0	277.0	245.0	741.0	738.0	820.4	79.4	82.4
DISBURSEMENTS:										
Local Assistance Grants	1,976.0	2,034.0	2,215.1	239.1	181.1	269.0	278.0	256.1	(12.9)	(21.9)
Capital Projects	3,865.0	3,067.0	2,843.2	(1,021.8)	(223.8)	549.0	582.0	589.1	40.1	7.1
Transfers to Other Funds	507.0	515.0	514.1	7.1	(0.9)	-	-	-	-	-
Total Disbursements and Other Financing Uses	6,348.0	5,616.0	5,572.4	(775.6)	(43.6)	818.0	860.0	845.2	27.2	(14.8)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(1,178.0)	(414.0)	(125.4)	1,052.6	288.6	(77.0)	(122.0)	(24.8)	52.2	97.2
Fund Balances (Deficits) at April 1	(633.0)	(633.0)	(633.2)	(0.2)	(0.2)	(505.0)	(505.0)	(504.7)	0.3	0.3
Fund Balances (Deficits) at September 30, 2019	\$ (1,811.0)	\$ (1,047.0)	\$ (758.6)	\$ 1,052.4	\$ 288.4	\$ (582.0)	\$ (627.0)	\$ (529.5)	\$ 52.5	\$ 97.5

(*) Source: 2019-20 Enacted Financial Plan dated May 13, 2019.
 (**) Source: 2019-20 First Quarter Update dated August 13, 2019.

STATE OF NEW YORK
 GOVERNMENTAL FUNDS
 COMPARATIVE SCHEDULE OF TAX RECEIPTS
 (amounts in millions)

EXHIBIT E

	GENERAL		SPECIAL REVENUE		DEBT SERVICE		CAPITAL PROJECTS		TOTAL GOVERNMENTAL FUNDS				YEAR OVER YEAR	
	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2019	6 MOS. ENDED SEP. 30, 2019	MONTH OF SEP. 2018	6 MOS. ENDED SEP. 30, 2018	\$ Increase/ (Decrease)	% Increase/ Decrease
PERSONAL INCOME TAX														
Withholding	\$ 2,851.1	\$ 18,530.1	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 2,851.1	\$ 18,530.1	\$ 2,666.4	\$ 17,602.0	\$ 928.1	5.3%
Estimated Payments	2,742.0	12,311.6	-	-	-	-	-	-	2,742.0	12,311.6	2,667.6	9,562.5	2,749.1	28.7%
Returns	65.5	2,567.5	-	-	-	-	-	-	65.5	2,567.5	57.4	1,862.9	684.6	36.4%
State/City Offsets	(47.2)	(443.0)	-	-	-	-	-	-	(47.2)	(443.0)	(43.5)	(420.7)	22.3	5.3%
Other (Assessments/LLC)	91.7	648.4	-	-	-	-	-	-	91.7	648.4	75.8	600.3	48.1	8.0%
Gross Receipts	5,703.1	33,614.6	-	-	-	-	-	-	5,703.1	33,614.6	5,423.7	29,227.0	4,387.6	15.0%
Transfers to School Tax Relief Fund	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	(2,415.7)	(13,990.7)	-	-	2,415.7	13,990.7	-	-	-	-	-	-	-	0.0%
Less: Refunds Issued	(871.7)	(5,633.2)	-	-	-	-	-	-	(871.7)	(5,633.2)	(627.1)	(5,565.4)	67.8	1.2%
Total	2,415.7	13,990.7	-	-	2,415.7	13,990.7	-	-	4,831.4	27,981.4	4,796.6	23,661.6	4,319.8	18.3%
CONSUMPTION/USE TAXES														
Sales and Use	761.9	3,757.7	104.8	567.5	761.5	3,754.7	-	-	1,628.2	8,079.9	1,517.1	7,651.4	428.5	5.6%
Auto Rental	-	-	7.3	7.3	-	-	33.7	57.2	41.0	64.5	43.2	74.8	(10.3)	-13.8%
Cigarette/Tobacco Products	28.3	166.3	59.4	378.6	-	-	-	-	87.7	544.9	94.5	592.8	(47.9)	-8.1%
Medical Marihuana	-	-	0.5	2.8	-	-	-	-	0.5	2.8	0.4	1.7	1.1	64.7%
Motor Fuel	-	-	9.1	56.5	-	-	34.1	210.1	43.2	266.6	45.8	267.9	(1.3)	-0.5%
Alcoholic Beverage	23.5	135.5	-	-	-	-	-	-	23.5	135.5	25.3	135.1	0.4	0.3%
Highway Use	-	-	0.1	0.3	-	-	11.0	70.8	11.1	71.1	10.8	76.1	(5.0)	-6.6%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-	-	-	-	-	0.4	27.4	(27.4)	-100.0%
Total	813.7	4,059.5	181.2	1,013.0	761.5	3,754.7	78.8	338.1	1,835.2	9,165.3	1,737.5	8,827.2	338.1	3.8%
BUSINESS TAXES														
Corporation Franchise	791.3	1,804.2	150.0	492.3	-	-	-	-	941.3	2,296.5	765.4	2,083.0	213.5	10.2%
Corporation and Utilities	105.5	207.1	32.4	78.1	-	-	3.1	8.2	141.0	293.4	129.0	282.9	10.5	3.7%
Insurance	387.8	919.5	49.0	122.6	-	-	-	-	436.8	1,042.1	287.8	726.0	316.1	43.5%
Bank	(1.0)	(2.3)	(0.4)	3.5	-	-	-	-	(1.4)	1.2	5.0	28.2	(27.0)	-95.7%
Petroleum Business	-	-	43.9	265.6	-	-	56.8	339.8	100.7	605.4	102.9	584.7	20.7	3.5%
Total	1,283.6	2,928.5	274.9	962.1	-	-	59.9	348.0	1,618.4	4,238.6	1,290.1	3,704.8	533.8	14.4%
OTHER TAXES														
Real Property Gains	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Estate and Gift	53.8	419.5	-	-	-	-	-	-	53.8	419.5	120.7	490.5	(71.0)	-14.5%
Pari-Mutuel	2.1	9.1	-	-	-	-	-	-	2.1	9.1	2.1	9.6	(0.5)	-5.2%
Real Estate Transfer	-	-	-	-	85.3	538.6	11.9	47.6	97.2	586.2	95.2	596.6	(10.4)	-1.7%
Racing and Exhibitions	-	0.9	-	-	-	-	-	-	-	0.9	0.1	1.2	(0.3)	-25.0%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Employer Compensation Expense Tax	-	0.3	-	-	0.1	0.4	-	-	0.1	0.7	-	-	0.7	100.0%
Total	55.9	429.8	-	-	85.4	539.0	11.9	47.6	153.2	1,016.4	218.1	1,097.9	(81.5)	-7.4%
Total Tax Receipts	\$ 4,568.9	\$ 21,408.5	\$ 456.1	\$ 1,975.1	\$ 3,262.6	\$ 18,284.4	\$ 150.6	\$ 733.7	\$ 8,438.2	\$ 42,401.7	\$ 8,042.3	\$ 37,291.5	\$ 5,110.2	13.7%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)**

	2019						2020						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 9,975.0	\$ 18,267.7	\$ 10,925.1	\$ 13,728.6	\$ 12,775.1	\$ 13,740.6							\$ 9,975.0	\$ 12,749.0	\$ (2,774.0)	-21.8%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,237.1	3,220.1	2,922.3	3,365.8	2,933.7	2,851.1							18,530.1	17,602.0	928.1	5.3%
Estimated Payments	6,843.2	112.6	2,396.4	118.7	98.7	2,742.0							12,311.6	9,562.5	2,749.1	28.7%
Returns	2,286.9	75.1	54.1	40.8	45.1	65.5							2,567.5	1,882.9	684.6	36.4%
State/City Offsets	(296.9)	(31.1)	(25.9)	(21.0)	(20.9)	(47.2)							(443.0)	(420.7)	22.3	5.3%
Other (Assessments/LLC)	170.3	105.2	96.2	89.3	95.7	91.7							648.4	600.3	48.1	8.0%
Gross Receipts	12,240.6	3,481.9	5,443.1	3,593.6	3,152.3	5,703.1							33,614.6	29,227.0	4,387.6	15.0%
Transfers to School Tax Relief Fund	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-							-	-	-	0.0%
Refunds Issued	(3,025.2)	(997.3)	(233.2)	(262.0)	(243.8)	(871.7)							(5,633.2)	(5,565.4)	67.8	1.2%
Total Personal Income Tax	9,215.4	2,484.6	5,209.9	3,331.6	2,908.5	4,831.4							27,981.4	23,661.6	4,319.8	18.3%
Consumption/Use Taxes:																
Sales and Use	1,201.6	1,178.8	1,589.5	1,238.2	1,243.6	1,628.2							8,079.9	7,651.4	428.5	5.6%
Auto Rental	3.5	0.3	19.5	0.1	0.1	41.0							64.5	74.8	(10.3)	-13.8%
Cigarette/Tobacco Products	89.2	85.2	83.8	106.8	92.2	87.7							544.9	592.8	(47.9)	-8.1%
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5							2.8	1.7	1.1	64.7%
Motor Fuel	46.0	44.2	46.1	39.2	47.9	43.2							266.6	267.9	(1.3)	-0.5%
Alcoholic Beverage	20.0	20.9	26.0	25.6	19.5	23.5							135.5	135.1	0.4	0.3%
Highway Use	14.2	10.7	9.9	14.5	10.7	11.1							71.1	76.1	(5.0)	-6.6%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-							-	27.4	(27.4)	-100.0%
Total Consumption/Use Taxes	1,375.0	1,340.5	1,775.3	1,424.9	1,414.4	1,835.2							9,165.3	8,827.2	338.1	3.8%
Business Taxes:																
Corporation Franchise	376.7	(69.0)	901.2	146.3	-	941.3							2,296.5	2,083.0	213.5	10.2%
Corporation and Utilities	43.0	1.2	109.5	0.4	(1.7)	141.0							293.4	282.9	10.5	3.7%
Insurance	141.8	5.0	403.2	56.8	(1.5)	436.8							1,042.1	726.0	316.1	43.5%
Bank	145.3	(121.1)	(21.1)	(0.2)	(0.3)	(1.4)							1.2	28.2	(27.0)	-95.7%
Petroleum Business	100.4	99.7	103.8	93.8	107.0	100.7							605.4	584.7	20.7	3.5%
Total Business Taxes	807.2	(84.2)	1,496.6	297.1	103.5	1,618.4							4,238.6	3,704.8	533.8	14.4%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%
Estate and Gift	79.7	57.3	62.2	124.7	41.8	53.8							419.5	490.5	(71.0)	-14.5%
Pari-Mutuel	0.9	1.1	1.6	1.2	2.2	2.1							9.1	9.6	(0.5)	-5.2%
Real Estate Transfer	82.9	86.0	98.6	130.8	90.7	97.2							586.2	596.6	(10.4)	-1.7%
Racing and Exhibitions	0.2	0.1	0.3	0.2	0.1	-							0.9	1.2	(0.3)	-25.0%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-							-	-	-	0.0%
Employer Compensation Expense Tax	0.1	0.1	-	0.2	0.2	0.1							0.7	-	0.7	100.0%
Total Other Taxes	163.8	144.6	162.7	257.1	135.0	153.2							1,016.4	1,097.9	(81.5)	-7.4%
Total Taxes	11,561.4	3,885.5	8,644.5	5,310.7	4,561.4	8,438.2							42,401.7	37,291.5	5,110.2	13.7%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	2.0	1.2	0.9	1.2	5.6	31.0							41.9	16.5	25.4	153.9%
Bottle Bill	0.2	0.3	31.2	0.3	0.1	39.1							71.2	75.9	(4.7)	-6.2%
Assessments:																
Business	81.0	82.0	103.1	60.2	63.2	76.8							466.3	451.3	15.0	3.3%
Medical Care	625.7	523.9	509.0	571.3	518.5	544.1							3,292.5	3,059.3	233.2	7.6%
Public Utilities	5.1	-	0.7	-	0.9	44.2							50.9	52.4	(1.5)	-2.9%
Other	-	0.2	0.1	-	0.2	-							0.5	1.4	(0.9)	-64.3%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.7	6.0	5.4	6.0	6.9	7.0							37.0	36.7	0.3	0.8%
Audit Fees	-	0.8	1.5	-	0.1	0.2							2.6	2.1	0.5	23.8%
Business/Professional:	60.5	60.6	131.5	65.0	46.9	132.0							496.5	470.1	26.4	5.6%
Civil	28.6	18.0	22.9	22.6	17.8	34.5							144.4	137.9	6.5	4.7%
Criminal	0.5	0.5	1.2	0.2	0.6	1.3							4.3	5.1	(0.8)	-15.7%
Motor Vehicle	126.2	130.8	105.7	119.8	116.3	94.6							693.4	785.6	(92.2)	-11.7%
Recreational/Consumer	55.4	47.2	67.3	67.5	95.4	134.3							467.1	388.2	78.9	20.3%
Fines, Penalties and Forfeitures	628.7	224.6	136.0	50.8	31.9	39.2							1,111.2	544.8	566.4	104.0%
Gaming:																
Casino	31.4	18.8	19.7	37.7	15.3	20.0							142.9	127.0	15.9	12.5%
Lottery	218.4	262.0	202.3	225.7	170.2	176.3							1,254.9	1,216.1	38.8	3.2%
Video Lottery	76.6	88.5	63.2	89.5	76.5	77.5							471.8	466.8	5.0	1.1%
Interest Earnings	44.0	38.8	44.9	36.0	39.4	35.8							238.9	149.6	89.3	59.7%
Receipts from Public Authorities:																
Bond Proceeds	200.8	2.8	146.4	155.3	79.2	499.1							1,083.6	577.4	506.2	87.7%
Cost Recovery Assessments	-	-	-	-	5.1	28.1							33.2	37.9	(4.7)	-12.4%
Issuance Fees	2.0	1.0	15.1	7.0	-	0.8							25.9	41.1	(15.2)	-37.0%
Non Bond Related	4.2	4.8	4.7	13.4	-	26.7							53.8	28.4	25.4	89.4%
Receipts from Municipalities	26.4	3.2	6.6	4.4	2.6	6.1							49.3	164.4	(115.1)	-70.0%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS (*)
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)**

													6 Months Ended September 30			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Rentals	40.2	29.1	9.1	30.2	2.6	1.4							112.6	136.2	(23.6)	-17.3%
Revenues of State Departments:																
Administrative Recoveries	1.9	2.8	24.7	17.7	4.6	21.9							73.6	64.0	9.6	15.0%
Commissions	0.6	0.6	0.6	0.6	0.2	0.8							3.4	3.4	-	0.0%
Commissions - Asset Conversion	-	-	-	-	-	-							-	1,000.0	(1,000.0)	-100.0%
Gifts, Grants and Donations	10.9	1.5	3.1	0.9	0.9	0.3							17.6	102.9	(85.3)	-82.9%
Indirect Cost Recoveries	5.6	5.2	8.7	8.3	7.2	5.8							40.8	49.9	(9.1)	-18.2%
Patient/Client Care Reimbursement	239.4	194.9	198.1	240.8	208.3	264.5							1,346.0	1,315.3	30.7	2.3%
Rebates	12.3	11.5	14.7	22.1	11.7	12.1							84.4	79.8	4.6	5.8%
Restitution and Settlements	7.8	1.7	1.4	1.1	6.1	0.6							18.7	174.3	(155.6)	-89.3%
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9							39.2	47.6	(8.4)	-17.6%
All Other	52.9	56.0	47.1	57.8	56.7	82.5							353.0	276.2	76.8	27.8%
Sales	5.2	0.8	1.2	2.7	1.7	2.5							14.1	12.1	2.0	16.5%
Tuition	52.0	48.1	34.9	45.0	240.0	309.9							729.9	798.5	(68.6)	-8.6%
Total Miscellaneous Receipts	2,659.7	1,871.3	1,971.5	1,968.6	1,834.4	2,761.9	-	-	-	-	-	-	13,067.4	12,896.2	171.2	1.3%
Federal Receipts	6,242.0	4,959.2	5,803.8	3,275.4	6,082.3	5,663.8							32,026.5	30,895.2	1,131.3	3.7%
Total Receipts	20,463.1	10,716.0	16,419.8	10,554.7	12,478.1	16,863.9	-	-	-	-	-	-	87,495.6	81,082.9	6,412.7	7.9%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	1,263.9	4,574.0	2,811.5	1,910.2	925.1	5,084.1							16,568.8	16,133.7	435.1	2.7%
Environment and Recreation	25.4	33.7	2.7	14.9	14.4	18.9							110.0	101.1	8.9	8.8%
General Government	60.3	159.7	734.4	63.0	87.5	132.4							1,237.3	1,227.5	9.8	0.8%
Public Health:																
Medicaid	6,412.0	6,151.7	4,857.9	4,905.7	5,506.5	5,060.5							32,894.3	31,279.5	1,614.8	5.2%
Other Public Health	724.4	682.4	1,162.1	802.3	782.4	954.2							5,107.8	5,271.8	(164.0)	-3.1%
Public Safety	156.9	178.2	70.6	87.9	99.6	103.7							696.9	694.3	2.6	0.4%
Public Welfare	240.1	215.8	537.8	452.8	374.1	706.5							2,527.1	3,802.4	(1,275.3)	-33.5%
Support and Regulate Business	34.1	164.8	204.6	67.8	67.7	74.4							613.4	485.2	128.2	26.4%
Transportation	305.0	477.1	354.6	335.4	438.0	839.1							2,749.2	2,883.3	(134.1)	-4.7%
Total Local Assistance Grants	9,222.1	12,637.4	10,736.2	8,640.0	8,295.3	12,973.8	-	-	-	-	-	-	62,504.8	61,878.8	626.0	1.0%
Departmental Operations:																
Personal Service	1,139.8	1,717.6	1,127.7	1,191.0	1,253.3	1,115.1							7,544.5	7,138.1	406.4	5.7%
Non-Personal Service	474.1	576.3	515.9	519.5	578.2	593.0							3,257.0	3,314.4	(57.4)	-1.7%
General State Charges	813.6	2,460.6	454.6	499.0	543.0	572.7							5,343.5	5,300.0	43.5	0.8%
Debt Service, Including Payments on Financing Agreements	72.4	121.1	230.3	45.1	74.5	433.2							976.6	1,308.7	(332.1)	-25.4%
Capital Projects	434.1	528.1	536.5	600.7	750.3	582.6							3,432.3	3,510.3	(78.0)	-2.2%
Total Disbursements	12,156.1	18,041.1	13,601.2	11,495.3	11,494.6	16,270.4	-	-	-	-	-	-	83,058.7	82,450.3	608.4	0.7%
Excess (Deficiency) of Receipts over Disbursements	8,307.0	(7,325.1)	2,818.6	(940.6)	983.5	593.5	-	-	-	-	-	-	4,436.9	(1,367.4)	5,804.3	424.5%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-							-	-	-	0.0%
Transfers from Other Funds	6,203.6	2,681.3	4,839.5	3,268.8	2,912.1	4,741.3							24,646.6	21,166.1	3,480.5	16.4%
Transfers to Other Funds	(6,217.9)	(2,698.8)	(4,854.6)	(3,281.7)	(2,930.1)	(4,765.2)							(24,748.3)	(21,213.3)	3,535.0	16.7%
Total Other Financing Sources (Uses)	(14.3)	(17.5)	(15.1)	(12.9)	(18.0)	(23.9)	-	-	-	-	-	-	(101.7)	(47.2)	(54.5)	-115.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	8,292.7	(7,342.6)	2,803.5	(953.5)	965.5	569.6	-	-	-	-	-	-	4,335.2	(1,414.6)	5,749.8	406.5%
Ending Fund Balance	\$ 18,267.7	\$ 10,925.1	\$ 13,728.6	\$ 12,775.1	\$ 13,740.6	\$ 14,310.2	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,310.2	\$ 11,334.4	\$ 2,975.8	26.3%

(*) Governmental Funds includes General, Special Revenue, Debt Service and Capital Projects Funds combined.

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2019-2020
(amounts in millions)**

	2019						2020						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 12,361.3	\$ 18,718.2	\$ 12,243.5	\$ 14,049.7	\$ 14,506.1	\$ 14,735.4							\$ 12,361.3	\$ 13,606.6	\$ (1,245.3)	-9.2%
RECEIPTS:																
Taxes:																
Personal Income Tax:																
Withholdings	3,237.1	3,220.1	2,922.3	3,365.8	2,933.7	2,851.1							18,530.1	17,602.0	928.1	5.3%
Estimated Payments	6,843.2	112.6	2,396.4	118.7	98.7	2,742.0							12,311.6	9,562.5	2,749.1	28.7%
Returns	2,286.9	75.1	54.1	40.8	45.1	65.5							2,567.5	1,882.9	684.6	36.4%
State/City Offsets	(296.9)	(31.1)	(25.9)	(21.0)	(20.9)	(47.2)							(443.0)	(420.7)	22.3	5.3%
Other (Assessments/LLC)	170.3	105.2	96.2	89.3	95.7	91.7							648.4	600.3	48.1	8.0%
Gross Receipts	12,240.6	3,481.9	5,443.1	3,593.6	3,152.3	5,703.1							33,614.6	29,227.0	4,387.6	15.0%
Transfers to School Tax Relief Fund	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Revenue Bond Tax Fund	-	-	-	-	-	-							-	-	-	0.0%
Refunds Issued	(3,025.2)	(997.3)	(233.2)	(262.0)	(243.8)	(871.7)							(5,633.2)	(5,565.4)	67.8	1.2%
Total Personal Income Tax	9,215.4	2,484.6	5,209.9	3,331.6	2,908.5	4,831.4							27,981.4	23,661.6	4,319.8	18.3%
Consumption/Use Taxes:																
Sales and Use	1,201.6	1,178.8	1,589.5	1,238.2	1,243.6	1,628.2							8,079.9	7,851.4	428.5	5.6%
Auto Rental	-	-	-	-	-	7.3							7.3	28.1	(20.8)	-74.0%
Cigarette/Tobacco Products	89.2	85.2	83.8	106.8	92.2	87.7							544.9	592.8	(47.9)	-8.1%
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5							2.8	1.7	1.1	64.7%
Motor Fuel	9.6	9.4	9.5	8.8	10.1	9.1							56.5	56.2	0.3	0.5%
Alcoholic Beverage	20.0	20.9	26.0	25.6	19.5	23.5							135.5	135.1	0.4	0.3%
Highway Use	-	0.1	0.1	-	-	0.1							0.3	(2.9)	3.2	110.3%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-							-	27.4	(27.4)	-100.0%
Total Consumption/Use Taxes	1,320.9	1,294.8	1,709.4	1,379.9	1,365.8	1,756.4							8,827.2	8,489.8	337.4	4.0%
Business Taxes:																
Corporation Franchise	376.7	(69.0)	901.2	146.3	-	941.3							2,296.5	2,083.0	213.5	10.2%
Corporation and Utilities	39.7	1.3	107.6	0.4	(1.7)	137.9							285.2	275.1	10.1	3.7%
Insurance	141.8	5.0	403.2	56.8	(1.5)	436.8							1,042.1	726.0	316.1	43.5%
Bank	145.3	(121.1)	(21.1)	(0.2)	(0.3)	(1.4)							1.2	28.2	(27.0)	-95.7%
Petroleum Business	44.1	43.9	45.4	41.3	47.0	43.9							265.6	256.2	9.4	3.7%
Total Business Taxes	747.6	(139.9)	1,436.3	244.6	43.5	1,558.5							3,890.6	3,368.5	522.1	15.5%
Other Taxes:																
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%
Estate and Gift	79.7	57.3	62.2	124.7	41.8	53.8							419.5	490.5	(71.0)	-14.5%
Pari-Mutuel	0.9	1.1	1.6	1.2	2.2	2.1							9.1	9.6	(0.5)	-5.2%
Real Estate Transfer	82.9	86.0	86.7	118.9	78.8	85.3							538.6	549.0	(10.4)	-1.9%
Racing and Exhibitions	0.2	0.1	0.3	0.2	0.1	-							0.9	1.2	(0.3)	-25.0%
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-							-	-	-	0.0%
Employer Compensation Expense Tax	0.1	0.1	-	0.2	0.2	0.1							0.7	-	0.7	100.0%
Total Other Taxes	163.8	144.6	150.8	245.2	123.1	141.3							968.8	1,050.3	(81.5)	-7.8%
Total Taxes	11,447.7	3,784.1	8,506.4	5,201.3	4,440.9	8,287.6							41,668.0	36,570.2	5,097.8	13.9%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	2.0	1.2	0.9	1.2	5.6	31.0							41.9	16.5	25.4	153.9%
Bottle Bill	0.2	0.3	8.2	0.3	0.1	39.1							48.2	52.9	(4.7)	-8.9%
Assessments:																
Business	66.4	33.6	94.2	49.2	43.9	68.3							355.6	337.2	18.4	5.5%
Medical Care	625.7	523.9	509.0	571.3	518.5	544.1							3,292.5	3,059.3	233.2	7.6%
Public Utilities	5.1	-	0.7	-	0.9	44.2							50.9	52.4	(1.5)	-2.9%
Other	-	0.2	0.1	-	0.2	-							0.5	1.4	(0.9)	-64.3%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	5.7	6.0	5.4	6.0	6.9	7.0							37.0	36.7	0.3	0.8%
Audit Fees	-	0.8	1.5	-	0.1	0.2							2.6	2.1	0.5	23.8%
Business/Professional	59.7	55.0	127.8	62.5	36.2	130.7							471.9	444.3	27.6	6.2%
Civil	28.6	18.0	22.9	22.6	17.8	34.5							144.4	137.9	6.5	4.7%
Criminal	0.5	0.5	1.2	0.2	0.6	1.3							4.3	5.1	(0.8)	-15.7%
Motor Vehicle	59.2	61.5	40.3	59.0	52.7	34.2							306.9	370.0	(63.1)	-17.1%
Recreational/Consumer	55.2	47.1	67.3	67.5	95.4	131.3							463.8	380.8	83.0	21.8%
Fines, Penalties and Forfeitures	625.7	221.8	133.2	48.7	29.5	36.5							1,095.4	526.4	569.0	108.1%
Gaming:																
Casino	31.4	18.8	19.7	37.7	15.3	20.0							142.9	127.0	15.9	12.5%
Lottery	218.4	262.0	202.3	225.7	170.2	176.3							1,254.9	1,216.1	38.8	3.2%
Video Lottery	76.6	88.5	63.2	89.5	76.5	77.5							471.8	466.8	5.0	1.1%
Interest Earnings	41.4	35.0	41.6	32.9	35.3	32.2							218.4	141.0	77.4	54.9%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
STATEMENT OF CASH FLOW - STATE OPERATING (*)
FISCAL YEAR 2019-2020
(amounts in millions)**

	2019												2020												6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease												
Cost Recovery Assessments	-	-	-	-	5.1	28.1	-	-	-	-	-	-	33.2	37.9	(4.7)	-12.4%												
Issuance Fees	2.0	1.0	15.1	7.0	-	0.8	-	-	-	-	-	-	25.9	41.1	(15.2)	-37.0%												
Non Bond Related	0.7	4.7	4.3	13.5	-	26.7	-	-	-	-	-	-	49.9	26.2	23.7	90.5%												
Receipts from Municipalities	26.1	3.2	6.3	4.4	2.3	5.9	-	-	-	-	-	-	48.2	163.9	(115.7)	-70.6%												
Rentals	39.3	28.3	8.6	29.6	1.0	0.7	-	-	-	-	-	-	107.5	129.9	(22.4)	-17.2%												
Revenues of State Departments:																												
Administrative Recoveries	1.9	2.8	24.7	17.7	4.6	21.9	-	-	-	-	-	-	73.6	64.0	9.6	15.0%												
Commissions	0.6	0.6	0.6	0.6	0.2	0.8	-	-	-	-	-	-	3.4	3.4	-	0.0%												
Commissions - Asset Conversion	-	-	-	-	-	-	-	-	-	-	-	-	-	1,000.0	(1,000.0)	-100.0%												
Gifts, Grants and Donations	0.8	1.0	2.8	0.3	0.6	-	-	-	-	-	-	-	5.5	102.4	(96.9)	-94.6%												
Indirect Cost Recoveries	6.5	5.2	8.7	8.3	7.2	5.8	-	-	-	-	-	-	41.7	49.9	(8.2)	-16.4%												
Patient/Client Care Reimbursement	239.4	194.9	198.1	240.8	208.3	264.5	-	-	-	-	-	-	1,346.0	1,315.3	30.7	2.3%												
Rebates	4.6	2.7	6.1	13.4	3.6	3.8	-	-	-	-	-	-	34.2	27.1	7.1	26.2%												
Restitution and Settlements	7.6	1.2	1.0	1.0	5.9	0.5	-	-	-	-	-	-	17.2	168.1	(150.9)	-89.8%												
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9	-	-	-	-	-	-	39.2	47.6	(8.4)	-17.6%												
All Other	49.0	55.2	45.8	43.3	44.3	77.6	-	-	-	-	-	-	315.2	262.6	52.6	20.0%												
Sales	1.0	0.8	1.2	2.7	1.7	2.5	-	-	-	-	-	-	9.9	10.5	(0.6)	-5.7%												
Tuition	52.0	48.1	34.9	45.0	240.0	309.9	-	-	-	-	-	-	729.9	798.5	(68.6)	-8.6%												
Total Miscellaneous Receipts	2,340.8	1,727.0	1,706.2	1,709.4	1,632.2	2,168.8	-	-	-	-	-	-	11,284.4	11,622.3	(337.9)	-2.9%												
Federal Receipts	-	-	(0.9)	1.7	53.6	0.3	-	-	-	-	-	-	54.7	34.3	20.4	59.5%												
Total Receipts	13,788.5	5,511.1	10,211.7	6,912.4	6,126.7	10,456.7	-	-	-	-	-	-	53,007.1	48,226.8	4,780.3	9.9%												
DISBURSEMENTS:																												
Local Assistance Grants:																												
Education	917.2	3,980.2	2,539.1	1,611.6	680.8	4,854.3	-	-	-	-	-	-	14,583.2	13,890.0	693.2	5.0%												
Environment and Recreation	0.2	0.1	0.6	(0.1)	0.3	1.0	-	-	-	-	-	-	2.1	1.9	0.2	10.5%												
General Government	27.1	34.4	576.7	22.4	58.0	71.2	-	-	-	-	-	-	789.8	802.8	(13.0)	-1.6%												
Public Health:																												
Medicaid	3,295.5	2,470.5	1,759.2	1,903.0	1,759.5	1,915.8	-	-	-	-	-	-	13,103.5	11,887.6	1,215.9	10.2%												
Other Public Health	210.3	153.0	440.7	261.2	276.9	267.2	-	-	-	-	-	-	1,609.3	1,761.9	(152.6)	-8.7%												
Public Safety	30.7	27.8	28.6	28.0	33.0	41.8	-	-	-	-	-	-	189.9	183.7	6.2	3.4%												
Public Welfare	88.7	85.7	181.0	128.9	180.7	170.0	-	-	-	-	-	-	835.0	1,134.3	(299.3)	-26.4%												
Support and Regulate Business	7.1	14.4	7.0	27.8	24.5	11.7	-	-	-	-	-	-	92.5	89.1	3.4	3.8%												
Transportation	68.3	426.6	279.4	274.3	388.4	327.1	-	-	-	-	-	-	1,764.1	2,076.3	(312.2)	-15.0%												
Total Local Assistance Grants	4,645.1	7,192.7	5,812.3	4,257.1	3,402.1	7,660.1	-	-	-	-	-	-	32,969.4	31,827.6	1,141.8	3.6%												
Departmental Operations:																												
Personal Service	1,090.5	1,651.8	1,072.6	1,146.7	1,205.6	1,064.2	-	-	-	-	-	-	7,231.4	6,810.6	420.8	6.2%												
Non-Personal Service	404.0	500.0	419.5	437.9	472.7	406.6	-	-	-	-	-	-	2,640.7	2,665.3	(24.6)	-0.9%												
General State Charges	792.2	2,436.5	420.6	463.4	518.5	548.2	-	-	-	-	-	-	5,179.4	5,050.4	129.0	2.6%												
Debt Service, Including Payments on																												
Financing Agreements	72.4	121.1	230.3	45.1	74.5	433.2	-	-	-	-	-	-	976.6	1,308.7	(332.1)	-25.4%												
Capital Projects	-	-	0.1	-	(0.1)	-	-	-	-	-	-	-	-	-	-	0.0%												
Total Disbursements	7,004.2	11,902.1	7,955.4	6,350.2	5,673.3	10,112.3	-	-	-	-	-	-	48,997.5	47,662.6	1,334.9	2.8%												
Excess (Deficiency) of Receipts over Disbursements	6,784.3	(6,391.0)	2,256.3	562.2	453.4	344.4	-	-	-	-	-	-	4,009.6	564.2	3,445.4	610.7%												
OTHER FINANCING SOURCES (USES):																												
Transfers from Other Funds (**)	5,706.6	2,417.0	4,298.6	3,019.8	2,505.3	4,021.1	-	-	-	-	-	-	21,968.4	18,753.2	3,215.2	17.1%												
Transfers to Other Funds (**)	(6,134.0)	(2,500.7)	(4,748.7)	(3,125.6)	(2,729.4)	(4,467.3)	-	-	-	-	-	-	(23,705.7)	(20,308.7)	3,397.0	16.7%												
Total Other Financing Sources (Uses)	(427.4)	(83.7)	(450.1)	(105.8)	(224.1)	(446.2)	-	-	-	-	-	-	(1,737.3)	(1,555.5)	(181.8)	-11.7%												
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	6,356.9	(6,474.7)	1,806.2	456.4	229.3	(101.8)	-	-	-	-	-	-	2,272.3	(991.3)	3,263.6	329.2%												
Ending Fund Balance	\$ 18,718.2	\$ 12,243.5	\$ 14,049.7	\$ 14,506.1	\$ 14,735.4	\$ 14,633.6	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 14,633.6	\$ 12,615.3	\$ 2,018.3	16.0%												

(*) *State Operating Funds* are comprised of the General Fund, State Special Revenue Funds supported by activities from dedicated revenue sources (including operating transfers from Federal funds) and Debt Service Funds.
(**) Eliminations between State and Federal Special Revenue Funds are not included.

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT F

	2019												2020				6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease				
Beginning Fund Balance	\$ 7,205.7	\$ 11,968.7	\$ 5,221.6	\$ 6,593.0	\$ 6,614.3	\$ 6,886.4							\$ 7,205.7	\$ 9,445.0	\$ (2,239.3)	-23.7%				
RECEIPTS:																				
Taxes:																				
Personal Income Tax:																				
Withholdings	3,237.1	3,220.1	2,922.3	3,365.8	2,933.7	2,851.1							18,530.1	17,602.0	928.1	5.3%				
Estimated Payments	6,843.2	112.6	2,396.4	118.7	98.7	2,742.0							12,311.6	9,562.5	2,749.1	28.7%				
Returns	2,286.9	75.1	54.1	40.8	45.1	65.5							2,567.5	1,882.9	684.6	36.4%				
State/City Offsets	(296.9)	(31.1)	(25.9)	(21.0)	(20.9)	(47.2)							(443.0)	(420.7)	22.3	5.3%				
Other (Assessments/LLC)	170.3	105.2	96.2	89.3	95.7	91.7							648.4	600.3	48.1	8.0%				
Gross Receipts	12,240.6	3,481.9	5,443.1	3,593.6	3,152.3	5,703.1	-	-	-	-	-	-	33,614.6	29,227.0	4,387.6	15.0%				
Transfers to School Tax Relief Fund	-	-	-	-	-	-							-	-	-	0.0%				
Transfers to Revenue Bond Tax Fund	(4,607.7)	(1,242.3)	(2,604.9)	(1,665.8)	(1,454.3)	(2,415.7)							(13,990.7)	(11,830.8)	2,159.9	18.3%				
Refunds Issued	(3,025.2)	(997.3)	(233.2)	(262.0)	(243.8)	(871.7)							(5,633.2)	(5,565.4)	67.8	1.2%				
Total Personal Income Tax	4,607.7	1,242.3	2,605.0	1,665.8	1,454.2	2,415.7	-	-	-	-	-	-	13,990.7	11,830.8	2,159.9	18.3%				
Consumption/Use Taxes:																				
Sales and Use	539.3	551.4	743.5	579.8	581.8	761.9							3,757.7	3,572.0	185.7	5.2%				
Auto Rental	-	-	-	-	-	-							-	-	-	0.0%				
Cigarette/Tobacco Products	26.0	25.8	26.5	31.4	28.3	28.3							166.3	174.3	(8.0)	-4.6%				
Motor Fuel	-	-	-	-	-	-							-	-	-	0.0%				
Alcoholic Beverage	20.0	20.9	26.0	25.6	19.5	23.5							135.5	135.1	0.4	0.3%				
Highway Use	-	-	-	-	-	-							-	-	-	0.0%				
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-							-	-	-	0.0%				
Total Consumption/Use Taxes	585.3	598.1	796.0	636.8	629.6	813.7	-	-	-	-	-	-	4,059.5	3,881.4	178.1	4.6%				
Business Taxes:																				
Corporation Franchise	274.1	(75.0)	725.8	109.4	(21.4)	791.3							1,804.2	1,625.6	178.6	11.0%				
Corporation and Utilities	16.3	1.8	84.1	0.2	(0.8)	105.5							207.1	204.4	2.7	1.3%				
Insurance	127.4	(3.6)	354.7	50.9	2.3	387.8							919.5	639.2	280.3	43.9%				
Bank	125.4	(104.7)	(21.5)	(0.3)	(0.2)	(1.0)							(2.3)	20.6	(22.9)	-111.2%				
Petroleum Business	-	-	-	-	-	-							-	-	-	0.0%				
Total Business Taxes	543.2	(181.5)	1,143.1	160.2	(20.1)	1,283.6	-	-	-	-	-	-	2,928.5	2,489.8	438.7	17.6%				
Other Taxes:																				
Real Property Gains	-	-	-	-	-	-							-	-	-	0.0%				
Estate and Gift	79.7	57.3	62.2	124.7	41.8	53.8							419.5	490.5	(71.0)	-14.5%				
Pari-Mutuel	0.9	1.1	1.6	1.2	2.2	2.1							9.1	9.6	(0.5)	-5.2%				
Real Estate Transfer	-	-	-	-	-	-							-	-	-	0.0%				
Racing and Exhibitions	0.2	0.1	0.3	0.2	0.1	-							0.9	1.2	(0.3)	-25.0%				
Metropolitan Commuter Trans. Mobility	-	-	-	-	-	-							-	-	-	0.0%				
Employer Compensation Expense Tax	0.1	-	-	0.1	0.1	-							0.3	-	0.3	100.0%				
Total Other Taxes	80.9	58.5	64.1	126.2	44.2	55.9	-	-	-	-	-	-	429.8	501.3	(71.5)	-14.3%				
Total Taxes	5,817.1	1,717.4	4,608.2	2,589.0	2,107.9	4,568.9	-	-	-	-	-	-	21,408.5	18,703.3	2,705.2	14.5%				
Miscellaneous Receipts:																				
Abandoned Property:																				
Abandoned Property	0.9	0.1	-	0.3	4.8	30.2							36.3	11.3	25.0	221.2%				
Bottle Bill	0.2	0.3	8.2	0.3	0.1	39.1							48.2	52.9	(4.7)	-8.9%				
Assessments:																				
Business	-	-	-	-	-	-							-	-	-	0.0%				
Medical Care	-	4.2	4.3	3.8	2.6	1.9							16.8	15.7	1.1	7.0%				
Public Utilities	-	-	-	-	-	-							-	-	-	0.0%				
Other	-	0.1	0.1	-	0.1	-							0.3	0.4	(0.1)	-25.0%				
Fees, Licenses and Permits:																				
Alcohol Beverage Control Licensing	5.7	6.0	5.4	6.0	6.9	7.0							37.0	36.7	0.3	0.8%				
Audit Fees	-	-	-	-	-	-							-	-	-	0.0%				
Business/Professional	11.1	18.1	29.8	15.0	3.4	35.1							112.5	119.1	(6.6)	-5.5%				
Civil	25.0	13.4	17.8	18.4	15.1	26.7							116.4	109.3	7.1	6.5%				
Criminal	0.2	0.1	0.1	0.2	0.2	0.1							0.9	0.8	0.1	12.5%				
Motor Vehicle	33.2	34.9	11.6	35.5	24.4	24.2							163.8	108.4	55.4	51.1%				
Recreational/Consumer	1.2	1.5	1.9	1.6	1.4	2.1							9.7	8.5	1.2	14.1%				
Fines, Penalties and Forfeitures	618.1	154.6	26.5	43.9	19.7	28.7							891.5	429.4	462.1	107.6%				
Interest Earnings	21.8	16.8	19.8	13.1	13.9	12.8							98.2	66.5	31.7	47.7%				
Receipts from Public Authorities:																				
Cost Recovery Assessments	-	-	-	-	5.1	5.0							10.1	12.5	(2.4)	-19.2%				
Issuance Fees	-	-	10.9	7.0	-	0.8							18.7	33.9	(15.2)	-44.8%				
Non Bond Related	0.1	-	-	-	-	25.0							25.1	-	25.1	100.0%				
Receipts from Municipalities	16.7	-	-	-	-	-							16.7	100.0	(83.3)	-83.3%				
Rentals	0.3	0.1	0.2	0.3	0.1	0.2							1.2	3.1	(1.9)	-61.3%				
Revenues of State Departments:																				
Administrative Recoveries	0.5	1.0	20.1	0.1	0.3	18.2							40.2	35.9	4.3	12.0%				
Commissions	0.1	0.2	-	0.2	(0.2)	-							0.3	-	0.3	100.0%				
Gifts, Grants and Donations	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%				
Indirect Cost Recoveries	5.6	5.2	8.7	8.3	7.2	5.8							40.8	49.9	(9.1)	-18.2%				
Patient/Client Care Reimbursement	(7.3)	2.7	5.7	(70.7)	59.9	5.6							(4.1)	(24.0)	(19.9)	-82.9%				
Rebates	(0.6)	1.7	(0.6)	(0.2)	2.5	-							2.8	(0.4)	3.2	800.0%				

STATE OF NEW YORK
GENERAL FUND
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT F

													6 Months Ended September 30			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Restitution and Settlements	-	-	0.2	-	-	0.1	-	-	-	-	-	-	0.3	109.7	(109.4)	-99.7%
Student Loans	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	8.1	18.3	(11.6)	4.1	0.6	10.1	-	-	-	-	-	-	29.6	16.7	12.9	77.2%
Sales	0.1	-	(0.3)	0.2	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Total Miscellaneous Receipts	741.0	279.3	158.8	87.4	168.1	278.7	-	-	-	-	-	-	1,713.3	1,296.5	377.0	29.1%
Federal Receipts	-	-	-	-	0.1	0.2	-	-	-	-	-	-	0.3	0.1	0.2	200.0%
Total Receipts	6,558.1	1,996.7	4,767.0	2,676.4	2,276.1	4,847.8	-	-	-	-	-	-	23,122.1	19,999.9	3,082.4	15.4%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	917.0	3,979.7	2,189.8	1,611.4	679.3	2,342.9	-	-	-	-	-	-	11,720.1	11,476.4	243.7	2.1%
Environment and Recreation	0.1	0.1	0.1	-	0.2	0.8	-	-	-	-	-	-	1.3	1.4	(0.1)	-7.1%
General Government	1.7	15.7	574.9	2.2	45.5	48.3	-	-	-	-	-	-	688.3	725.8	(37.5)	-5.2%
Public Health:																
Medicaid	3,296.6	1,588.6	1,393.9	1,320.2	1,073.3	1,308.3	-	-	-	-	-	-	9,980.9	9,221.0	759.9	8.2%
Other Public Health	150.2	120.3	336.0	217.7	111.8	213.0	-	-	-	-	-	-	1,149.0	1,298.3	(149.3)	-11.5%
Public Safety	10.6	13.4	12.5	18.5	12.9	24.8	-	-	-	-	-	-	92.7	102.6	(9.9)	-9.6%
Public Welfare	87.8	84.9	180.5	128.4	179.8	170.7	-	-	-	-	-	-	832.1	1,131.2	(299.1)	-26.4%
Support and Regulate Business	6.0	7.7	5.7	22.0	21.1	7.3	-	-	-	-	-	-	69.8	68.2	1.6	2.3%
Transportation	-	23.9	14.1	0.2	23.9	(0.1)	-	-	-	-	-	-	62.0	255.6	(193.6)	-75.7%
Total Local Assistance Grants	4,470.0	5,834.3	4,707.5	3,320.6	2,147.8	4,116.0	-	-	-	-	-	-	24,596.2	24,280.5	315.7	1.3%
Departmental Operations:																
Personal Service	688.8	1,071.8	679.0	697.3	853.2	676.0	-	-	-	-	-	-	4,666.1	4,421.0	245.1	5.5%
Non-Personal Service	159.0	249.2	218.9	200.9	211.3	188.9	-	-	-	-	-	-	1,228.2	1,287.1	(58.9)	-4.6%
General State Charges	728.2	2,349.3	364.9	384.8	422.6	477.0	-	-	-	-	-	-	4,726.8	4,543.9	182.9	4.0%
Total Disbursements	6,046.0	9,504.6	5,970.3	4,603.6	3,634.9	5,457.9	-	-	-	-	-	-	35,217.3	34,532.5	684.8	2.0%
Excess (Deficiency) of Receipts over Disbursements	512.1	(7,507.9)	(1,203.3)	(1,927.2)	(1,358.8)	(610.1)	-	-	-	-	-	-	(12,095.2)	(14,532.6)	2,437.4	16.8%
OTHER FINANCING SOURCES (USES):																
Transfers from Revenue Bond Tax Fund	4,607.3	1,126.2	2,606.0	1,658.3	1,476.3	2,682.8	-	-	-	-	-	-	14,156.9	11,626.7	2,530.2	21.8%
Transfers from LGAC / STRBTf	452.0	302.7	835.6	501.5	490.2	747.6	-	-	-	-	-	-	3,329.8	3,137.1	192.7	6.1%
Transfers from CW/CA Fund	70.3	86.0	85.4	114.3	74.1	80.7	-	-	-	-	-	-	510.8	519.5	(8.7)	-1.7%
Transfers from Other Funds	49.4	110.2	118.6	248.4	118.4	70.3	-	-	-	-	-	-	715.3	615.4	99.9	16.2%
Transfers to State Capital Projects	(248.8)	(406.3)	(393.8)	(201.8)	(402.8)	(586.9)	-	-	-	-	-	-	(2,240.4)	(1,966.3)	274.1	13.9%
Transfers to All Other Capital Projects	(250.0)	-	(216.5)	(50.0)	(70.0)	(116.5)	-	-	-	-	-	-	(705.0)	(783.0)	(80.0)	-10.2%
Transfers to General Debt Service	(134.7)	(10.7)	7.0	(132.1)	16.6	28.2	-	-	-	-	-	-	(225.7)	(310.4)	(84.7)	-27.3%
Transfers to All Other State Funds	(294.6)	(447.3)	(467.6)	(190.1)	(71.9)	(132.9)	-	-	-	-	-	-	(1,604.4)	(1,300.5)	303.9	23.4%
Total Other Financing Sources (Uses)	4,250.9	760.8	2,574.7	1,948.5	1,630.9	2,773.5	-	-	-	-	-	-	13,939.3	11,538.5	2,400.8	20.8%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	4,763.0	(6,747.1)	1,371.4	21.3	272.1	2,163.4	-	-	-	-	-	-	1,844.1	(2,994.1)	4,838.2	161.6%
Ending Fund Balance	\$ 11,968.7	\$ 5,221.6	\$ 6,593.0	\$ 6,614.3	\$ 6,886.4	\$ 9,049.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 9,049.8	\$ 6,450.9	\$ 2,598.9	40.3%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2019												2020				6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease				
Beginning Fund Balance	\$ 3,842.4	\$ 7,002.4	\$ 6,268.9	\$ 7,986.6	\$ 6,880.6	\$ 7,461.3							\$ -	\$ 3,842.4	\$ 4,302.1	\$ (459.7)	-10.7%			
RECEIPTS:																				
Taxes:																				
Personal Income Tax	-	-	-	-	-	-							-	-	-	-	0.0%			
Consumption/Use Taxes:																				
Sales and Use	124.1	76.4	102.8	79.1	80.3	104.8							-	567.5	518.5	49.0	9.5%			
Auto Rental	-	-	-	-	-	-							-	7.3	28.1	(20.8)	-74.0%			
Cigarette/Tobacco Products	63.2	59.4	57.3	75.4	63.9	59.4							-	378.6	418.5	(39.9)	-9.5%			
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5							-	2.8	1.7	1.1	64.7%			
Motor Fuel	9.6	9.4	9.5	8.8	10.1	9.1							-	56.5	56.2	0.3	0.5%			
Alcoholic Beverage	-	-	-	-	-	-							-	-	-	-	0.0%			
Highway Use	-	0.1	0.1	-	-	0.1							-	0.3	(2.9)	3.2	110.3%			
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-							-	-	27.4	(27.4)	-100.0%			
Total Consumption/Use Taxes	197.4	145.7	170.2	163.8	154.7	181.2							-	1,013.0	1,047.5	(34.5)	-3.3%			
Business Taxes:																				
Corporation Franchise	102.6	6.0	175.4	36.9	21.4	150.0							-	492.3	457.4	34.9	7.6%			
Corporation and Utilities	23.4	(0.5)	23.5	0.2	(0.9)	32.4							-	78.1	70.7	7.4	10.5%			
Insurance	14.4	8.6	48.5	5.9	(3.8)	49.0							-	122.6	86.8	35.8	41.2%			
Bank	19.9	(16.4)	0.4	0.1	(0.1)	(0.4)							-	3.5	7.6	(4.1)	-53.9%			
Petroleum Business	44.1	43.9	45.4	41.3	47.0	43.9							-	265.6	256.2	9.4	3.7%			
Total Business Taxes	204.4	41.6	293.2	84.4	63.6	274.9							-	962.1	878.7	83.4	9.5%			
Total Taxes	401.8	187.3	463.4	248.2	218.3	456.1							-	1,975.1	1,926.2	48.9	2.5%			
Miscellaneous Receipts:																				
Abandoned Property:																				
Abandoned Property	1.1	1.1	0.9	0.9	0.8	0.8							-	5.6	5.2	0.4	7.7%			
Assessments:																				
Business	70.9	72.7	94.4	52.0	54.6	68.5							-	413.1	391.8	21.3	5.4%			
Medical Care	625.7	519.7	504.7	567.5	515.9	542.2							-	3,275.7	3,043.6	232.1	7.6%			
Public Utilities	5.1	-	0.7	-	0.9	44.2							-	50.9	52.4	(1.5)	-2.9%			
Other	-	0.1	-	-	0.1	-							-	0.2	1.0	(0.8)	-80.0%			
Fees, Licenses and Permits:																				
Audit Fees	-	0.8	1.5	-	0.1	0.2							-	2.6	2.1	0.5	23.8%			
Business/Professional	48.6	36.9	98.0	47.5	32.8	95.6							-	359.4	325.2	34.2	10.5%			
Civil	3.6	4.6	5.1	4.2	2.7	7.8							-	28.0	28.6	(0.6)	-2.1%			
Criminal	0.3	0.4	1.1	-	0.4	1.2							-	3.4	4.3	(0.9)	-20.9%			
Motor Vehicle	26.0	26.6	28.7	23.5	28.3	10.0							-	143.1	261.6	(118.5)	-45.3%			
Recreational/Consumer	54.0	45.6	65.4	65.9	94.0	129.2							-	454.1	372.3	81.8	22.0%			
Fines, Penalties and Forfeitures	8.3	68.0	107.2	5.2	10.5	8.2							-	207.4	101.2	106.2	104.9%			
Gaming:																				
Casino	31.4	18.8	19.7	37.7	15.3	20.0							-	142.9	127.0	15.9	12.5%			
Lottery	218.4	262.0	202.3	225.7	170.2	176.3							-	1,254.9	1,216.1	38.8	3.2%			
Video Lottery	76.6	88.5	63.2	89.5	76.5	77.5							-	471.8	466.8	5.0	1.1%			
Interest Earnings	20.9	21.0	23.8	21.7	24.0	21.8							-	133.2	77.0	56.2	73.0%			
Receipts from Public Authorities:																				
Bond Proceeds	-	-	-	-	-	-							-	-	-	-	0.0%			
Cost Recovery Assessments	-	-	-	-	-	23.1							-	23.1	25.4	(2.3)	-9.1%			
Issuance Fees	2.0	1.0	4.2	-	-	-							-	7.2	7.2	-	0.0%			
Non Bond Related	0.6	4.7	4.3	13.5	-	1.7							-	24.8	26.2	(1.4)	-5.3%			
Receipts from Municipalities	9.4	2.7	6.3	3.6	2.3	5.9							-	30.2	62.6	(32.4)	-51.8%			
Rentals	39.0	28.2	8.4	29.3	0.9	0.5							-	106.3	126.8	(20.5)	-16.2%			
Revenues of State Departments:																				
Administrative Recoveries	1.4	1.8	4.6	17.6	4.3	3.7							-	33.4	28.1	5.3	18.9%			
Commissions	0.5	0.4	0.6	0.4	0.4	0.8							-	3.1	3.4	(0.3)	-8.8%			
Commissions - Asset Conversion	-	-	-	-	-	-							-	-	1,000.0	(1,000.0)	-100.0%			
Gifts, Grants and Donations	0.8	1.0	2.8	0.3	0.6	-							-	5.5	102.3	(96.8)	-94.6%			
Indirect Cost Recoveries	0.9	-	-	-	-	-							-	0.9	-	0.9	100.0%			
Patient/Client Care Reimbursement	204.3	156.2	154.9	222.3	106.2	243.2							-	1,087.1	1,125.5	(38.4)	-3.4%			
Rebates	12.9	9.7	15.2	22.3	9.2	12.1							-	81.4	79.9	1.5	1.9%			
Restitution and Settlements	7.6	1.2	0.8	1.0	5.9	0.4							-	16.9	58.4	(41.5)	-71.1%			
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9							-	39.2	47.6	(8.4)	-17.6%			
All Other	41.2	36.9	57.6	39.2	43.8	67.5							-	286.2	247.4	38.8	15.7%			
Sales	0.9	0.8	1.5	2.5	1.7	2.5							-	9.9	10.3	(0.4)	-3.9%			
Tuition	52.0	48.1	34.9	45.0	240.0	309.9							-	729.9	798.5	(68.6)	-8.6%			
Total Miscellaneous Receipts	1,571.9	1,462.6	1,521.3	1,545.8	1,444.1	1,885.7							-	9,431.4	10,226.8	(794.4)	-7.8%			
Federal Receipts	6,203.7	4,816.3	5,671.6	3,118.1	5,873.4	5,484.0							-	31,167.1	29,765.5	1,401.6	4.7%			
Total Receipts	8,177.4	6,466.2	7,656.3	4,912.1	7,535.8	7,825.8							-	42,573.6	41,917.5	656.1	1.6%			

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - COMBINED
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

													6 Months Ended September 30				
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	Intra-Fund Transfer Eliminations (*)	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	337.7	591.1	611.4	288.1	233.8	2,698.8	-	-	-	-	-	-	-	4,760.9	4,558.3	202.6	4.4%
Environment and Recreation	0.2	0.1	0.5	(0.1)	0.2	0.5	-	-	-	-	-	-	-	1.4	1.8	(0.4)	-22.2%
General Government	27.4	22.2	10.5	21.4	24.2	25.0	-	-	-	-	-	-	-	130.7	107.8	22.9	21.2%
Public Health:																	
Medicaid	3,115.4	4,563.1	3,464.0	3,585.5	4,433.2	3,752.2	-	-	-	-	-	-	-	22,913.4	22,058.5	854.9	3.9%
Other Public Health	543.4	520.5	805.5	508.6	652.9	721.0	-	-	-	-	-	-	-	3,751.9	3,819.0	(67.1)	-1.8%
Public Safety	136.3	164.9	58.8	69.3	86.4	66.9	-	-	-	-	-	-	-	582.6	557.6	25.0	4.5%
Public Welfare	152.3	120.0	271.2	318.4	185.2	493.0	-	-	-	-	-	-	-	1,540.1	2,465.9	(925.8)	-37.5%
Support and Regulate Business	1.4	7.9	1.5	6.6	5.7	5.2	-	-	-	-	-	-	-	28.3	25.7	2.6	10.1%
Transportation	72.3	408.5	269.7	278.1	369.0	330.5	-	-	-	-	-	-	-	1,728.1	1,849.0	(120.9)	-6.5%
Total Local Assistance Grants	4,386.4	6,398.3	5,493.1	5,075.9	5,990.6	8,093.1	-	-	-	-	-	-	-	35,437.4	35,443.6	(6.2)	0.0%
Departmental Operations:																	
Personal Service	451.0	645.8	448.7	493.7	400.1	439.1	-	-	-	-	-	-	-	2,878.4	2,717.1	161.3	5.9%
Non-Personal Service	314.4	324.8	294.4	310.0	360.1	402.6	-	-	-	-	-	-	-	2,006.3	2,002.4	3.9	0.2%
General State Charges	85.4	111.3	89.7	114.2	120.4	95.7	-	-	-	-	-	-	-	616.7	756.1	(139.4)	-18.4%
Capital Projects	-	-	0.1	-	(0.1)	-	-	-	-	-	-	-	-	-	-	-	0.0%
Total Disbursements	5,237.2	7,480.2	6,326.0	5,993.8	6,871.1	9,030.5	-	-	-	-	-	-	-	40,938.8	40,919.2	19.6	0.0%
Excess (Deficiency) of Receipts over Disbursements	2,940.2	(1,014.0)	1,330.3	(1,081.7)	664.7	(1,204.7)	-	-	-	-	-	-	-	1,634.8	998.3	636.5	63.8%
OTHER FINANCING SOURCES (USES):																	
Transfers from Other Funds	308.3	585.3	566.5	215.4	160.2	168.6	-	-	-	-	-	-	(376.1)	1,628.2	1,329.3	298.9	22.5%
Transfers to Other Funds	(88.5)	(304.8)	(179.1)	(239.7)	(244.2)	(183.7)	-	-	-	-	-	-	376.1	(863.9)	(617.6)	246.3	39.9%
Total Other Financing Sources (Uses)	219.8	280.5	387.4	(24.3)	(84.0)	(15.1)	-	-	-	-	-	-	-	764.3	711.7	52.6	7.4%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	3,160.0	(733.5)	1,717.7	(1,106.0)	580.7	(1,219.8)	-	-	-	-	-	-	-	2,399.1	1,710.0	689.1	40.3%
Ending Fund Balance	\$ 7,002.4	\$ 6,268.9	\$ 7,986.6	\$ 6,880.6	\$ 7,461.3	\$ 6,241.5	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 6,241.5	\$ 6,012.1	\$ 229.4	3.8%

(*) Intra-Fund transfer eliminations represent transfers between Special Revenue-State and Federal Funds.

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

											6 Months Ended September 30					
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 5,090.8	\$ 6,434.7	\$ 6,338.1	\$ 7,095.2	\$ 7,277.1	\$ 7,104.7							\$ 5,090.8	\$ 4,008.5	\$ 1,082.3	27.0%
RECEIPTS:																
Taxes:																
Personal Income Tax	-	-	-	-	-	-							-	-	-	0.0%
Consumption/Use Taxes:																
Sales and Use	124.1	76.4	102.8	79.1	80.3	104.8							567.5	518.5	49.0	9.5%
Auto Rental	-	-	-	-	-	7.3							7.3	28.1	(20.8)	-74.0%
Cigarette/Tobacco Products	63.2	59.4	57.3	75.4	63.9	59.4							378.6	418.5	(39.9)	-9.5%
Medical Marijuana	0.5	0.4	0.5	0.5	0.4	0.5							2.8	1.7	1.1	64.7%
Motor Fuel	9.6	9.4	9.5	8.8	10.1	9.1							56.5	56.2	0.3	0.5%
Alcoholic Beverage	-	-	-	-	-	-							-	-	-	0.0%
Highway Use	-	0.1	0.1	-	-	0.1							0.3	(2.9)	3.2	110.3%
Metropolitan Commuter Trans. Taxicab Trip	-	-	-	-	-	-							-	27.4	(27.4)	-100.0%
Total Consumption/Use Taxes	197.4	145.7	170.2	163.8	154.7	181.2	-	-	-	-	-	-	1,013.0	1,047.5	(34.5)	-3.3%
Business Taxes																
Corporation Franchise	102.6	6.0	175.4	36.9	21.4	150.0							492.3	457.4	34.9	7.6%
Corporation and Utilities	23.4	(0.5)	23.5	0.2	(0.9)	32.4							78.1	70.7	7.4	10.5%
Insurance	14.4	8.6	48.5	5.9	(3.8)	49.0							122.6	86.8	35.8	41.2%
Bank	19.9	(16.4)	0.4	0.1	(0.1)	(0.4)							3.5	7.6	(4.1)	-53.9%
Petroleum Business	44.1	43.9	45.4	41.3	47.0	43.9							265.6	256.2	9.4	3.7%
Total Business Taxes	204.4	41.6	293.2	84.4	63.6	274.9	-	-	-	-	-	-	962.1	878.7	83.4	9.5%
Total Taxes	401.8	187.3	463.4	248.2	218.3	456.1	-	-	-	-	-	-	1,975.1	1,926.2	48.9	2.5%
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	1.1	1.1	0.9	0.9	0.8	0.8							5.6	5.2	0.4	7.7%
Assessments:																
Business	66.4	33.6	94.2	49.2	43.9	68.3							355.6	337.2	18.4	5.5%
Medical Care	625.7	519.7	504.7	567.5	515.9	542.2							3,275.7	3,043.6	232.1	7.6%
Public Utilities	5.1	-	0.7	-	0.9	44.2							50.9	52.4	(1.5)	-2.9%
Other	-	0.1	-	-	0.1	-							0.2	1.0	(0.8)	-80.0%
Fees, Licenses and Permits:																
Audit Fees	-	0.8	1.5	-	0.1	0.2							2.6	2.1	0.5	23.8%
Business/Professional	48.6	36.9	98.0	47.5	32.8	95.6							359.4	325.2	34.2	10.5%
Civil	3.6	4.6	5.1	4.2	2.7	7.8							28.0	28.6	(0.6)	-2.1%
Criminal	0.3	0.4	1.1	-	0.4	1.2							3.4	4.3	(0.9)	-20.9%
Motor Vehicle	26.0	26.6	28.7	23.5	28.3	10.0							143.1	261.6	(118.5)	-45.3%
Recreational/Consumer	54.0	45.6	65.4	65.9	94.0	129.2							454.1	372.3	81.8	22.0%
Fines, Penalties and Forfeitures	7.6	67.2	106.7	4.8	9.8	7.8							203.9	97.0	106.9	110.2%
Gaming:																
Casino	31.4	18.8	19.7	37.7	15.3	20.0							142.9	127.0	15.9	12.5%
Lottery	218.4	262.0	202.3	225.7	170.2	176.3							1,254.9	1,216.1	38.8	3.2%
Video Lottery	76.6	88.5	63.2	89.5	76.5	77.5							471.8	466.8	5.0	1.1%
Interest Earnings	19.4	18.2	21.5	19.7	20.9	19.2							118.9	73.6	45.3	61.5%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	23.1							23.1	25.4	(2.3)	-9.1%
Issuance Fees	2.0	1.0	4.2	-	-	-							7.2	7.2	-	0.0%
Non Bond Related	0.6	4.7	4.3	13.5	-	1.7							24.8	26.2	(1.4)	-5.3%
Receipts from Municipalities	9.4	2.7	6.3	3.6	2.3	5.9							30.2	62.6	(32.4)	-51.8%
Rentals	39.0	28.2	8.4	29.3	0.9	0.5							106.3	126.8	(20.5)	-16.2%
Revenues of State Departments:																
Administrative Recoveries	1.4	1.8	4.6	17.6	4.3	3.7							33.4	28.1	5.3	18.9%
Commissions	0.5	0.4	0.6	0.4	0.4	0.8							3.1	3.4	(0.3)	-8.8%
Commissions - Asset Conversion	-	-	-	-	-	-							-	1,000.0	(1,000.0)	-100.0%
Gifts, Grants and Donations	0.8	1.0	2.8	0.3	0.6	-							5.5	102.3	(96.8)	-94.6%
Indirect Cost Recoveries	0.9	-	-	-	-	-							0.9	-	0.9	100.0%
Patient/Client Care Reimbursement	204.3	156.2	154.9	222.3	106.2	243.2							1,087.1	1,125.5	(38.4)	-3.4%
Rebates	5.2	1.0	6.7	13.6	1.1	3.8							31.4	27.5	3.9	14.2%
Restitution and Settlements	7.6	1.2	0.8	1.0	5.9	0.4							16.9	58.4	(41.5)	-71.1%
Student Loans	7.5	3.1	8.5	7.5	1.7	10.9							39.2	47.6	(8.4)	-17.6%
All Other	40.9	36.9	57.4	39.2	43.7	67.5							285.6	245.8	39.8	16.2%
Sales	0.9	0.8	1.5	2.5	1.7	2.5							9.9	10.3	(0.4)	-3.9%
Tuition	52.0	48.1	34.9	45.0	240.0	309.9							729.9	798.5	(68.6)	-8.6%
Total Miscellaneous Receipts	1,557.2	1,411.2	1,509.6	1,531.9	1,421.4	1,874.2	-	-	-	-	-	-	9,305.5	10,109.6	(804.1)	-8.0%
Federal Receipts	-	-	(0.9)	0.1	18.3	0.1							17.6	(2.5)	20.1	804.0%
Total Receipts	1,959.0	1,598.5	1,972.1	1,780.2	1,658.0	2,330.4	-	-	-	-	-	-	11,298.2	12,033.3	(735.1)	-6.1%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - STATE
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

													6 Months Ended September 30			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	0.2	0.5	349.3	0.2	1.5	2,511.4							2,863.1	2,413.6	449.5	18.6%
Environment and Recreation	0.1	-	0.5	(0.1)	0.1	0.2							0.8	0.5	0.3	60.0%
General Government	25.4	18.7	1.8	20.2	12.5	22.9							101.5	77.0	24.5	31.8%
Public Health:																
Medicaid	(1.1)	891.9	365.3	582.8	686.2	607.5							3,122.6	2,666.6	456.0	17.1%
Other Public Health	60.1	32.7	104.7	43.5	165.1	54.2							460.3	463.6	(3.3)	-0.7%
Public Safety	20.1	14.4	16.1	9.5	20.1	17.0							97.2	81.1	16.1	19.9%
Public Welfare	0.9	0.8	0.5	0.5	0.9	(0.7)							2.9	3.1	(0.2)	-6.5%
Support and Regulate Business	1.1	6.7	1.3	5.8	3.4	4.4							22.7	20.9	1.8	8.6%
Transportation	68.3	402.7	265.3	274.1	364.5	327.2							1,702.1	1,820.7	(118.6)	-6.5%
Total Local Assistance Grants	175.1	1,358.4	1,104.8	936.5	1,254.3	3,544.1	-	-	-	-	-	-	8,373.2	7,547.1	826.1	10.9%
Departmental Operations:																
Personal Service	401.7	580.0	393.6	449.4	352.4	388.2							2,565.3	2,389.6	175.7	7.4%
Non-Personal Service	244.3	248.5	198.0	228.4	254.6	216.2							1,390.0	1,353.3	36.7	2.7%
General State Charges	64.0	87.2	55.7	78.6	95.9	71.2							452.6	506.5	(53.9)	-10.6%
Capital Projects	-	-	0.1	-	(0.1)	-							-	-	-	0.0%
Total Disbursements	885.1	2,274.1	1,752.2	1,692.9	1,957.1	4,219.7	-	-	-	-	-	-	12,781.1	11,796.5	984.6	8.3%
Excess (Deficiency) of Receipts over Disbursements	1,073.9	(675.6)	219.9	87.3	(299.1)	(1,889.3)	-	-	-	-	-	-	(1,482.9)	236.8	(1,719.7)	-726.2%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	308.3	585.3	566.5	215.4	160.2	168.6							2,004.3	1,692.9	311.4	18.4%
Transfers to Other Funds	(38.3)	(6.3)	(29.3)	(120.8)	(33.5)	(107.2)							(335.4)	(107.5)	227.9	212.0%
Total Other Financing Sources (Uses)	270.0	579.0	537.2	94.6	126.7	61.4	-	-	-	-	-	-	1,668.9	1,585.4	83.5	5.3%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,343.9	(96.6)	757.1	181.9	(172.4)	(1,827.9)	-	-	-	-	-	-	186.0	1,822.2	(1,636.2)	-89.8%
Ending Fund Balance	\$ 6,434.7	\$ 6,338.1	\$ 7,095.2	\$ 7,277.1	\$ 7,104.7	\$ 5,276.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,276.8	\$ 5,830.7	\$ (553.9)	-9.5%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

	2019						2020						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (1,248.4)	\$ 567.7	\$ (69.2)	\$ 891.4	\$ (396.5)	\$ 356.6							\$ (1,248.4)	\$ 293.6	\$ (1,542.0)	-525.2%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Abandoned Property	-	-	-	-	-	-							-	-	-	0.0%
Assessments:																
Business	4.5	39.1	0.2	2.8	10.7	0.2							57.5	54.6	2.9	5.3%
Medical Care	-	-	-	-	-	-							-	-	-	0.0%
Public Utilities	-	-	-	-	-	-							-	-	-	0.0%
Other	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Fines, Penalties and Forfeitures	0.7	0.8	0.5	0.4	0.7	0.4							3.5	4.2	(0.7)	-16.7%
Interest Earnings	1.5	2.8	2.3	2.0	3.1	2.6							14.3	3.4	10.9	320.6%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-							-	-	-	0.0%
Cost Recovery Assessments	-	-	-	-	-	-							-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-							-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-							-	-	-	0.0%
Rentals	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Commissions	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-							-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Patient/Client Care Reimbursement	-	-	-	-	-	-							-	-	-	0.0%
Rebates	7.7	8.7	8.5	8.7	8.1	8.3							50.0	52.4	(2.4)	-4.6%
Restitution and Settlements	-	-	-	-	-	-							-	-	-	0.0%
Student Loans	-	-	-	-	-	-							-	-	-	0.0%
All Other	0.3	-	0.2	-	0.1	-							0.6	1.6	(1.0)	-62.5%
Sales	-	-	-	-	-	-							-	-	-	0.0%
Tuition	-	-	-	-	-	-							-	-	-	0.0%
Total Miscellaneous Receipts	14.7	51.4	11.7	13.9	22.7	11.5	-	-	-	-	-	-	125.9	116.2	9.7	8.3%
Federal Receipts	6,203.7	4,816.3	5,672.5	3,118.0	5,855.1	5,483.9							31,149.5	29,768.0	1,381.5	4.6%
Total Receipts	6,218.4	4,867.7	5,684.2	3,131.9	5,877.8	5,495.4	-	-	-	-	-	-	31,275.4	29,884.2	1,391.2	4.7%

STATE OF NEW YORK
SPECIAL REVENUE FUNDS - FEDERAL
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT G

													6 Months Ended September 30			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	337.5	590.6	262.1	287.9	232.3	187.4							1,897.8	2,144.7	(246.9)	-11.5%
Environment and Recreation	0.1	0.1	-	-	0.1	0.3							0.6	1.3	(0.7)	-53.8%
General Government	2.0	3.5	8.7	1.2	11.7	2.1							29.2	30.8	(1.6)	-5.2%
Public Health:																
Medicaid	3,116.5	3,681.2	3,098.7	3,002.7	3,747.0	3,144.7							19,790.8	19,391.9	398.9	2.1%
Other Public Health	483.3	487.8	700.8	465.1	487.8	666.8							3,291.6	3,355.4	(63.8)	-1.9%
Public Safety	116.2	150.5	42.7	59.8	66.3	49.9							485.4	476.5	8.9	1.9%
Public Welfare	151.4	119.2	270.7	317.9	184.3	493.7							1,537.2	2,462.8	(925.6)	-37.6%
Support and Regulate Business	0.3	1.2	0.2	0.8	2.3	0.8							5.6	4.8	0.8	16.7%
Transportation	4.0	5.8	4.4	4.0	4.5	3.3							26.0	28.3	(2.3)	-8.1%
Total Local Assistance Grants	4,211.3	5,039.9	4,388.3	4,139.4	4,736.3	4,549.0	-	-	-	-	-	-	27,064.2	27,896.5	(832.3)	-3.0%
Departmental Operations:																
Personal Service	49.3	65.8	55.1	44.3	47.7	50.9							313.1	327.5	(14.4)	-4.4%
Non-Personal Service	70.1	76.3	96.4	81.6	105.5	186.4							616.3	649.1	(32.8)	-5.1%
General State Charges	21.4	24.1	34.0	35.6	24.5	24.5							164.1	249.6	(85.5)	-34.3%
Capital Projects	-	-	-	-	-	-							-	-	-	0.0%
Total Disbursements	4,352.1	5,206.1	4,573.8	4,300.9	4,914.0	4,810.8	-	-	-	-	-	-	28,157.7	29,122.7	(965.0)	-3.3%
Excess (Deficiency) of Receipts over Disbursements	1,866.3	(338.4)	1,110.4	(1,169.0)	963.8	684.6	-	-	-	-	-	-	3,117.7	761.5	2,356.2	309.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	(50.2)	(298.5)	(149.8)	(118.9)	(210.7)	(76.5)							(904.6)	(873.7)	30.9	3.5%
Total Other Financing Sources (Uses)	(50.2)	(298.5)	(149.8)	(118.9)	(210.7)	(76.5)	-	-	-	-	-	-	(904.6)	(873.7)	30.9	3.5%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	1,816.1	(636.9)	960.6	(1,287.9)	753.1	608.1	-	-	-	-	-	-	2,213.1	(112.2)	2,325.3	2,072.5%
Ending Fund Balance	\$ 567.7	\$ (69.2)	\$ 891.4	\$ (396.5)	\$ 356.6	\$ 964.7	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 964.7	\$ 181.4	\$ 783.3	431.8%

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT H

	2019						2020						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 64.8	\$ 314.8	\$ 683.8	\$ 361.5	\$ 614.7	\$ 744.3							\$ 64.8	\$ 153.1	\$ (88.3)	-57.7%
RECEIPTS:																
Taxes:																
Personal Income Tax	4,607.7	1,242.3	2,604.9	1,665.8	1,454.3	2,415.7							13,990.7	11,830.8	2,159.9	18.3%
Consumption/Use Taxes:																
Sales and Use	538.2	551.0	743.2	579.3	581.5	761.5							3,754.7	3,560.9	193.8	5.4%
Total Consumption/Use Taxes	538.2	551.0	743.2	579.3	581.5	761.5	-	-	-	-	-	-	3,754.7	3,560.9	193.8	5.4%
Other Taxes:																
Real Estate Transfer	82.9	86.0	86.7	118.9	78.8	85.3							538.6	549.0	(10.4)	-1.9%
Employer Compensation Expense Tax	-	0.1	-	0.1	0.1	0.1							0.4	-	0.4	100.0%
Total Other Taxes	82.9	86.1	86.7	119.0	78.9	85.4	-	-	-	-	-	-	539.0	549.0	(10.0)	-1.8%
Total Taxes	5,228.8	1,879.4	3,434.8	2,364.1	2,114.7	3,262.6	-	-	-	-	-	-	18,284.4	15,940.7	2,343.7	14.7%
Miscellaneous Receipts:																
Assessments:																
Medical Care	-	-	-	-	-	-							-	-	-	0.0%
Fees, Licenses and Permits:																
Alcohol Beverage Control Licensing	-	-	-	-	-	-							-	-	-	0.0%
Business/Professional	-	-	-	-	-	-							-	-	-	0.0%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Criminal	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-							-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-							-	-	-	0.0%
Interest Earnings	0.2	-	0.3	0.1	0.5	0.2							1.3	0.9	0.4	44.4%
Receipts from Municipalities	-	0.5	-	0.8	-	-							1.3	1.3	-	0.0%
Rentals	-	-	-	-	-	-							-	-	-	0.0%
Revenues of State Departments:																
Patient/Client Care Reimbursement	42.4	36.0	37.5	89.2	42.2	15.7							263.0	213.8	49.2	23.0%
All Other	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%
Sales	-	-	-	-	-	-							-	0.1	(0.1)	-100.0%
Total Miscellaneous Receipts	42.6	36.5	37.8	90.1	42.7	15.9	-	-	-	-	-	-	265.6	216.2	49.4	22.8%
Federal Receipts	-	-	-	1.6	35.2	-							36.8	36.7	0.1	0.3%
Total Receipts	5,271.4	1,915.9	3,472.6	2,455.8	2,192.6	3,278.5	-	-	-	-	-	-	18,586.8	16,193.6	2,393.2	14.8%
DISBURSEMENTS:																
Departmental Operations:																
Non-Personal Service	0.7	2.3	2.6	8.6	6.8	1.5							22.5	24.9	(2.4)	-9.6%
Debt Service, Including Payments on Financing Agreements	72.4	121.1	230.3	45.1	74.5	433.2							976.6	1,308.7	(332.1)	-25.4%
Total Disbursements	73.1	123.4	232.9	53.7	81.3	434.7	-	-	-	-	-	-	999.1	1,333.6	(334.5)	-25.1%
Excess (Deficiency) of Receipts over Disbursements	5,198.3	1,792.5	3,239.7	2,402.1	2,111.3	2,843.8	-	-	-	-	-	-	17,587.7	14,860.0	2,727.7	18.4%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	219.3	206.6	86.5	281.9	186.1	270.9							1,251.3	1,161.6	89.7	7.7%
Transfers to Other Funds	(5,167.6)	(1,630.1)	(3,648.5)	(2,430.8)	(2,167.8)	(3,552.0)							(18,596.8)	(15,841.0)	2,755.8	17.4%
Total Other Financing Sources (Uses)	(4,948.3)	(1,423.5)	(3,562.0)	(2,148.9)	(1,981.7)	(3,281.1)	-	-	-	-	-	-	(17,345.5)	(14,679.4)	(2,666.1)	-18.2%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	250.0	369.0	(322.3)	253.2	129.6	(437.3)	-	-	-	-	-	-	242.2	180.6	61.6	34.1%
Ending Fund Balance	\$ 314.8	\$ 683.8	\$ 361.5	\$ 614.7	\$ 744.3	\$ 307.0	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 307.0	\$ 333.7	\$ (26.7)	-8.0%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2019-2020
 (amounts in millions)

EXHIBIT I

	Intra-Fund Transfer Eliminations (*)												6 Months Ended September 30			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (1,137.9)	\$ (1,018.2)	\$ (1,249.2)	\$ (1,212.5)	\$ (1,334.5)	\$ (1,351.4)							\$ (1,137.9)	\$ (1,151.2)	\$ 13.3	1.2%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes:																
Auto Rental	3.5	0.3	19.5	0.1	0.1	33.7							57.2	46.7	10.5	22.5%
Motor Fuel	36.4	34.8	36.6	30.4	37.8	34.1							210.1	211.7	(1.6)	-0.8%
Highway Use	14.2	10.6	9.8	14.5	10.7	11.0							70.8	79.0	(8.2)	-10.4%
Total Consumption/Use Taxes	54.1	45.7	65.9	45.0	48.6	78.8							338.1	337.4	0.7	0.2%
Business Taxes:																
Corporation Franchise	-	-	-	-	-	-							-	-	-	0.0%
Corporation and Utilities	3.3	(0.1)	1.9	-	-	3.1							8.2	7.8	0.4	5.1%
Petroleum Business	56.3	55.8	58.4	52.5	60.0	56.8							339.8	328.5	11.3	3.4%
Total Business Taxes	59.6	55.7	60.3	52.5	60.0	59.9							348.0	336.3	11.7	3.5%
Other Taxes:																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9							47.6	47.6	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9							47.6	47.6	-	0.0%
Total Taxes	113.7	101.4	138.1	109.4	120.5	150.6							733.7	721.3	12.4	1.7%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-							23.0	23.0	-	0.0%
Assessments:																
Business	10.1	9.3	8.7	8.2	8.6	8.3							53.2	59.5	(6.3)	-10.6%
Fees, Licenses and Permits:																
Business/Professional	0.8	5.6	3.7	2.5	10.7	1.3							24.6	25.8	(1.2)	-4.7%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	67.0	69.3	65.4	60.8	63.6	60.4							386.5	415.6	(29.1)	-7.0%
Recreational/Consumer	0.2	0.1	-	-	-	3.0							3.3	7.4	(4.1)	-55.4%
Fines, Penalties and Forfeitures	2.3	2.0	2.3	1.7	1.7	2.3							12.3	14.2	(1.9)	-13.4%
Interest Earnings	1.1	1.0	1.0	1.1	1.0	1.0							6.2	5.2	1.0	19.2%
Receipts from Public Authorities:																
Bond Proceeds	200.8	2.8	146.4	155.3	79.2	499.1							1,083.6	577.4	506.2	87.7%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	3.5	0.1	0.4	(0.1)	-	-							3.9	2.2	1.7	77.3%
Receipts from Municipalities	0.3	-	0.3	-	0.3	0.2							1.1	0.5	0.6	120.0%
Rentals	0.9	0.8	0.5	0.6	1.6	0.7							5.1	6.3	(1.2)	-19.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	10.1	0.5	0.3	0.6	0.3	0.3							12.1	0.5	11.6	2,320.0%
Indirect Cost Recoveries	(0.9)	-	-	-	-	-							(0.9)	-	(0.9)	-100.0%
Rebates	-	0.1	0.1	-	-	-							0.2	0.3	(0.1)	-33.3%
Restitution and Settlements	0.2	0.5	0.4	0.1	0.2	0.1							1.5	6.2	(4.7)	-75.8%
All Other	3.6	0.8	1.1	14.5	12.3	4.9							37.2	12.0	25.2	210.0%
Sales	4.2	-	-	-	-	-							4.2	1.6	2.6	162.5%
Total Miscellaneous Receipts	304.2	92.9	253.6	245.3	179.5	581.6							1,657.1	1,157.7	499.4	43.1%
Federal Receipts	38.3	142.9	132.2	155.7	173.6	179.6							822.3	1,092.9	(270.6)	-24.8%
Total Receipts	456.2	337.2	523.9	510.4	473.6	911.8							3,213.1	2,971.9	241.2	8.1%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - COMBINED
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2019-2020
 (amounts in millions)

EXHIBIT I

	2019												Intra-Fund Transfer Eliminations (*)	6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH		2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																	
Local Assistance Grants:																	
Education	9.2	3.2	10.3	10.7	12.0	42.4	-	-	-	-	-	-	-	87.8	99.0	(11.2)	-11.3%
Environment and Recreation	25.1	33.5	2.1	15.0	14.0	17.6	-	-	-	-	-	-	-	107.3	97.9	9.4	9.6%
General Government	31.2	121.8	149.0	39.4	17.8	59.1	-	-	-	-	-	-	-	418.3	393.9	24.4	6.2%
Public Health:																	
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	30.8	41.6	20.6	76.0	17.7	20.2	-	-	-	-	-	-	-	206.9	154.5	52.4	33.9%
Public Safety	10.0	(0.1)	(0.7)	0.1	0.3	12.0	-	-	-	-	-	-	-	21.6	34.1	(12.5)	-36.7%
Public Welfare	-	10.9	86.1	6.0	9.1	42.8	-	-	-	-	-	-	-	154.9	205.3	(50.4)	-24.5%
Support and Regulate Business	26.7	149.2	197.4	39.2	40.9	61.9	-	-	-	-	-	-	-	515.3	391.3	124.0	31.7%
Transportation	232.7	44.7	70.8	57.1	45.1	508.7	-	-	-	-	-	-	-	959.1	778.7	180.4	23.2%
Total Local Assistance Grants	365.7	404.8	535.6	243.5	156.9	764.7	-	-	-	-	-	-	-	2,471.2	2,154.7	316.5	14.7%
Departmental Operations:																	
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	434.1	528.1	536.4	600.7	750.4	582.6	-	-	-	-	-	-	-	3,432.3	3,510.3	(78.0)	-2.2%
Total Disbursements	799.8	932.9	1,072.0	844.2	907.3	1,347.3	-	-	-	-	-	-	-	5,903.5	5,665.0	238.5	4.2%
Excess (Deficiency) of Receipts over Disbursements	(343.6)	(595.7)	(548.1)	(333.8)	(433.7)	(435.5)	-	-	-	-	-	-	-	(2,690.4)	(2,693.1)	2.7	0.1%
OTHER FINANCING SOURCES (USES):																	
Bond Proceeds (net)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers from Other Funds	509.2	412.4	633.5	260.6	486.3	752.3	-	-	-	-	-	-	-	3,054.3	2,776.5	277.8	10.0%
Transfers to Other Funds	(45.9)	(47.7)	(48.7)	(48.8)	(69.5)	(253.5)	-	-	-	-	-	-	-	(514.1)	(394.5)	119.6	30.3%
Total Other Financing Sources (Uses)	463.3	364.7	584.8	211.8	416.8	498.8	-	-	-	-	-	-	-	2,540.2	2,382.0	158.2	6.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	119.7	(231.0)	36.7	(122.0)	(16.9)	63.3	-	-	-	-	-	-	-	(150.2)	(311.1)	160.9	51.7%
Ending Fund Balance	\$ (1,018.2)	\$ (1,249.2)	\$ (1,212.5)	\$ (1,334.5)	\$ (1,351.4)	\$ (1,288.1)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (1,288.1)	\$ (1,462.3)	\$ 174.2	11.9%

(*) Intra-Fund transfer eliminations represent transfers from Capital Projects-State and Federal Funds.

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2019-2020
 (amounts in millions)

EXHIBIT I

	2019						2020						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (633.2)	\$ (446.5)	\$ (699.4)	\$ (636.7)	\$ (771.8)	\$ (804.6)							\$ (633.2)	\$ (568.4)	\$ (64.8)	-11.4%
RECEIPTS:																
Taxes:																
Consumption/Use Taxes																
Auto Rental	3.5	0.3	19.5	0.1	0.1	33.7							57.2	46.7	10.5	22.5%
Motor Fuel	36.4	34.8	36.6	30.4	37.8	34.1							210.1	211.7	(1.6)	-0.8%
Highway Use	14.2	10.6	9.8	14.5	10.7	11.0							70.8	79.0	(8.2)	-10.4%
Total Consumption/Use Taxes	54.1	45.7	65.9	45.0	48.6	78.8	-	-	-	-	-	-	338.1	337.4	0.7	0.2%
Business Taxes																
Corporation Franchise	-	-	-	-	-	-							-	-	-	0.0%
Corporation and Utilities	3.3	(0.1)	1.9	-	-	3.1							8.2	7.8	0.4	5.1%
Petroleum Business	56.3	55.8	58.4	52.5	60.0	56.8							339.8	328.5	11.3	3.4%
Total Business Taxes	59.6	55.7	60.3	52.5	60.0	59.9	-	-	-	-	-	-	348.0	336.3	11.7	3.5%
Other Taxes																
Real Estate Transfer	-	-	11.9	11.9	11.9	11.9							47.6	47.6	-	0.0%
Total Other Taxes	-	-	11.9	11.9	11.9	11.9	-	-	-	-	-	-	47.6	47.6	-	0.0%
Total Taxes	113.7	101.4	138.1	109.4	120.5	150.6	-	-	-	-	-	-	733.7	721.3	12.4	1.7%
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	23.0	-	-	-							23.0	23.0	-	0.0%
Assessments:																
Business	10.1	9.3	8.7	8.2	8.6	8.3							53.2	59.5	(6.3)	-10.6%
Fees, Licenses and Permits:																
Business/Professional	0.8	5.6	3.7	2.5	10.7	1.3							24.6	25.8	(1.2)	-4.7%
Civil	-	-	-	-	-	-							-	-	-	0.0%
Motor Vehicle	67.0	69.3	65.4	60.8	63.6	60.4							386.5	415.6	(29.1)	-7.0%
Recreational/Consumer	0.2	0.1	-	-	-	3.0							3.3	7.4	(4.1)	-55.4%
Fines, Penalties and Forfeitures	2.3	2.0	2.3	1.7	1.7	2.3							12.3	14.2	(1.9)	-13.4%
Interest Earnings	1.1	1.0	1.0	1.1	1.0	1.0							6.2	5.2	1.0	19.2%
Receipts from Public Authorities:																
Bond Proceeds	200.8	2.8	146.4	155.3	79.2	499.1							1,083.6	577.4	506.2	87.7%
Issuance Fees	-	-	-	-	-	-							-	-	-	0.0%
Non Bond Related	3.5	0.1	0.4	(0.1)	-	-							3.9	2.2	1.7	77.3%
Receipts from Municipalities	0.3	-	0.3	-	0.3	0.2							1.1	0.5	0.6	120.0%
Rentals	0.8	0.8	0.4	0.5	1.6	0.6							4.7	5.9	(1.2)	-20.3%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-							-	-	-	0.0%
Gifts, Grants and Donations	10.1	0.5	0.3	0.6	0.3	0.3							12.1	0.5	11.6	2,320.0%
Indirect Cost Recoveries	(0.9)	-	-	-	-	-							(0.9)	-	(0.9)	-100.0%
Rebates	-	0.1	0.1	-	-	-							0.2	0.3	(0.1)	-33.3%
Restitution and Settlements	0.2	0.5	0.4	0.1	0.2	0.1							1.5	6.2	(4.7)	-75.8%
All Other	3.6	0.8	1.1	14.5	12.3	4.9							37.2	12.0	25.2	210.0%
Sales	4.2	-	-	-	-	-							4.2	1.5	2.7	180.0%
Total Miscellaneous Receipts	304.1	92.9	253.5	245.2	179.5	581.5	-	-	-	-	-	-	1,656.7	1,157.2	499.5	43.2%
Federal Receipts	-	-	-	-	-	2.3							2.3	2.5	(0.2)	-8.0%
Total Receipts	417.8	194.3	391.6	354.6	300.0	734.4	-	-	-	-	-	-	2,392.7	1,881.0	511.7	27.2%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - STATE
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2019-2020
 (amounts in millions)

EXHIBIT I

													6 Months Ended September 30			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
DISBURSEMENTS:																
Local Assistance Grants:																
Education	9.2	3.2	10.3	10.7	12.0	42.4							87.8	99.0	(11.2)	-11.3%
Environment and Recreation	25.1	33.5	2.1	15.0	14.0	17.6							107.3	97.9	9.4	9.6%
General Government	31.2	121.8	149.0	39.4	17.8	59.1							418.3	393.9	24.4	6.2%
Public Health:																
Medicaid	-	-	-	-	-	-							-	-	-	0.0%
Other Public Health	30.8	41.6	20.6	75.6	17.7	19.4							205.7	151.7	54.0	35.6%
Public Safety	10.0	(0.1)	(10.8)	-	0.3	2.0							1.4	16.5	(15.1)	-91.5%
Public Welfare	-	10.9	86.1	6.0	9.1	42.8							154.9	205.3	(50.4)	-24.5%
Support and Regulate Business	26.7	149.2	197.4	39.2	40.9	61.9							515.3	391.3	124.0	31.7%
Transportation	192.7	7.1	23.2	19.3	5.8	476.3							724.4	568.6	155.8	27.4%
Total Local Assistance Grants	325.7	367.2	477.9	205.2	117.6	721.5	-	-	-	-	-	-	2,215.1	1,924.2	290.9	15.1%
Departmental Operations:																
Personal Service	-	-	-	-	-	-							-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	-	-	-	-	-	-							-	-	-	0.0%
Capital Projects	368.7	444.7	435.8	496.3	632.0	465.7							2,843.2	2,873.7	(30.5)	-1.1%
Total Disbursements	694.4	811.9	913.7	701.5	749.6	1,187.2	-	-	-	-	-	-	5,058.3	4,797.9	260.4	5.4%
Excess (Deficiency) of Receipts over Disbursements	(276.6)	(617.6)	(522.1)	(346.9)	(449.6)	(452.8)	-	-	-	-	-	-	(2,665.6)	(2,916.9)	251.3	8.6%
OTHER FINANCING SOURCES (USES):																
Bond Proceeds (net)	-	-	-	-	-	-							-	-	-	0.0%
Transfers from Other Funds	509.2	412.4	633.5	260.6	486.3	752.3							3,054.3	2,776.5	277.8	10.0%
Transfers to Other Funds	(45.9)	(47.7)	(48.7)	(48.8)	(69.5)	(253.5)							(514.1)	(394.4)	119.7	30.3%
Total Other Financing Sources (Uses)	463.3	364.7	584.8	211.8	416.8	498.8	-	-	-	-	-	-	2,540.2	2,382.1	158.1	6.6%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	186.7	(252.9)	62.7	(135.1)	(32.8)	46.0	-	-	-	-	-	-	(125.4)	(534.8)	409.4	76.6%
Ending Fund Balance	\$ (446.5)	\$ (699.4)	\$ (636.7)	\$ (771.8)	\$ (804.6)	\$ (758.6)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (758.6)	\$ (1,103.2)	\$ 344.6	31.2%

STATE OF NEW YORK
 CAPITAL PROJECTS FUNDS - FEDERAL
 STATEMENT OF CASH FLOW
 FISCAL YEAR 2019-2020
 (amounts in millions)

EXHIBIT I

													6 Months Ended September 30			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (504.7)	\$ (571.7)	\$ (549.8)	\$ (575.8)	\$ (562.7)	\$ (546.8)							\$ (504.7)	\$ (582.8)	\$ 78.1	13.4%
RECEIPTS:																
Miscellaneous Receipts:																
Abandoned Property:																
Bottle Bill	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Assessments:																
Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fees, Licenses and Permits:																
Business/Professional	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Civil	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Motor Vehicle	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Recreational/Consumer	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Fines, Penalties and Forfeitures	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Interest Earnings	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Public Authorities:																
Bond Proceeds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Issuance Fees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non Bond Related	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Receipts from Municipalities	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Rentals	0.1	-	0.1	0.1	-	0.1	-	-	-	-	-	-	0.4	0.4	-	0.0%
Revenues of State Departments:																
Administrative Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Gifts, Grants and Donations	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Indirect Cost Recoveries	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Restitution and Settlements	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
All Other	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Sales	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1	(0.1)	-100.0%
Total Miscellaneous Receipts	0.1	-	0.1	0.1	-	0.1	-	-	-	-	-	-	0.4	0.5	(0.1)	-20.0%
Federal Receipts	38.3	142.9	132.2	155.7	173.6	177.3	-	-	-	-	-	-	820.0	1,090.4	(270.4)	-24.8%
Total Receipts	38.4	142.9	132.3	155.8	173.6	177.4	-	-	-	-	-	-	820.4	1,090.9	(270.5)	-24.8%
DISBURSEMENTS:																
Local Assistance Grants:																
Education	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Environment and Recreation	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General Government	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Public Health:																
Medicaid	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Other Public Health	-	-	-	0.4	-	0.8	-	-	-	-	-	-	1.2	2.8	(1.6)	-57.1%
Public Safety	-	-	10.1	0.1	-	10.0	-	-	-	-	-	-	20.2	17.6	2.6	14.8%
Public Welfare	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Support and Regulate Business	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transportation	40.0	37.6	47.6	37.8	39.3	32.4	-	-	-	-	-	-	234.7	210.1	24.6	11.7%
Total Local Assistance Grants	40.0	37.6	57.7	38.3	39.3	43.2	-	-	-	-	-	-	256.1	230.5	25.6	11.1%
Departmental Operations:																
Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Non-Personal Service	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
General State Charges	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Capital Projects	65.4	83.4	100.6	104.4	118.4	116.9	-	-	-	-	-	-	589.1	636.6	(47.5)	-7.5%
Total Disbursements	105.4	121.0	158.3	142.7	157.7	160.1	-	-	-	-	-	-	845.2	867.1	(21.9)	-2.5%
Excess (Deficiency) of Receipts over Disbursements	(67.0)	21.9	(26.0)	13.1	15.9	17.3	-	-	-	-	-	-	(24.8)	223.8	(248.6)	-111.1%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-	-	-	-	-	-	-	-	(0.1)	(0.1)	-100.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	(0.1)	(0.1)	-100.0%
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(67.0)	21.9	(26.0)	13.1	15.9	17.3	-	-	-	-	-	-	(24.8)	223.7	(248.5)	-111.1%
Ending Fund Balance	\$ (571.7)	\$ (549.8)	\$ (575.8)	\$ (562.7)	\$ (546.8)	\$ (529.5)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (529.5)	\$ (359.1)	\$ (170.4)	-47.5%

STATE OF NEW YORK
ENTERPRISE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT J

	2019						2020						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 26.6	\$ 26.6	\$ 26.9	\$ 27.3	\$ 27.8	\$ 27.1							\$ 26.6	\$ 24.6	\$ 2.0	8.1%
RECEIPTS:																
Miscellaneous Receipts	4.8	6.1	5.1	5.5	6.7	17.2							45.4	33.2	12.2	36.7%
Federal Receipts	1.1	1.0	0.9	1.0	0.9	0.9							5.8	6.1	(0.3)	-4.9%
Unemployment Taxes	187.5	139.2	134.9	186.0	160.6	150.3							958.5	949.5	9.0	0.9%
Total Receipts	193.4	146.3	140.9	192.5	168.2	168.4	-	-	-	-	-	-	1,009.7	988.8	20.9	2.1%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	0.3	0.6	0.3	0.3	0.4	0.4							2.3	2.3	-	0.0%
Non-Personal Service	3.6	5.1	4.5	4.4	7.2	4.3							29.1	26.1	3.0	11.5%
General State Charges	0.1	-	0.1	0.1	-	0.1							0.4	0.6	(0.2)	-33.3%
Unemployment Benefits	189.4	140.3	135.6	187.2	161.3	151.4							965.2	955.4	9.8	1.0%
Total Disbursements	193.4	146.0	140.5	192.0	168.9	156.2	-	-	-	-	-	-	997.0	984.4	12.6	1.3%
Excess (Deficiency) of Receipts over Disbursements	-	0.3	0.4	0.5	(0.7)	12.2	-	-	-	-	-	-	12.7	4.4	8.3	188.6%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	-	0.3	0.4	0.5	(0.7)	12.2	-	-	-	-	-	-	12.7	4.4	8.3	188.6%
Ending Fund Balance	\$ 26.6	\$ 26.9	\$ 27.3	\$ 27.8	\$ 27.1	\$ 39.3	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 39.3	\$ 29.0	\$ 10.3	35.5%

STATE OF NEW YORK
INTERNAL SERVICE FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT K

													6 Months Ended September 30			
	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (302.7)	\$ (293.4)	\$ (318.6)	\$ (293.7)	\$ (284.4)	\$ (296.5)							\$ (302.7)	\$ (269.2)	\$ (33.5)	-12.4%
RECEIPTS:																
Miscellaneous Receipts	33.2	32.5	42.3	56.6	37.9	61.7							264.2	255.8	8.4	3.3%
Total Receipts	33.2	32.5	42.3	56.6	37.9	61.7	-	-	-	-	-	-	264.2	255.8	8.4	3.3%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	9.7	14.0	9.6	9.7	9.9	9.6							62.5	52.3	10.2	19.5%
Non-Personal Service	24.4	56.3	5.9	34.7	36.4	34.1							191.8	217.2	(25.4)	-11.7%
General State Charges	4.1	4.9	6.6	5.2	4.6	4.6							30.0	45.5	(15.5)	-34.1%
Total Disbursements	38.2	75.2	22.1	49.6	50.9	48.3	-	-	-	-	-	-	284.3	315.0	(30.7)	-9.7%
Excess (Deficiency) of Receipts over Disbursements	(5.0)	(42.7)	20.2	7.0	(13.0)	13.4	-	-	-	-	-	-	(20.1)	(59.2)	39.1	66.0%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	14.3	17.5	4.7	2.3	1.3	5.3							45.4	39.0	6.4	16.4%
Transfers to Other Funds	-	-	-	-	(0.4)	(0.5)							(0.9)	(7.0)	(6.1)	-87.1%
Total Other Financing Sources (Uses)	14.3	17.5	4.7	2.3	0.9	4.8	-	-	-	-	-	-	44.5	32.0	12.5	39.1%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	9.3	(25.2)	24.9	9.3	(12.1)	18.2	-	-	-	-	-	-	24.4	(27.2)	51.6	189.7%
Ending Fund Balance	\$ (293.4)	\$ (318.6)	\$ (293.7)	\$ (284.4)	\$ (296.5)	\$ (278.3)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (278.3)	\$ (296.4)	\$ 18.1	6.1%

STATE OF NEW YORK
PENSION TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT L

	2019						2020						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ (3.0)	\$ (7.4)	\$ (11.8)	\$ (16.5)	\$ (22.6)	\$ (2.0)							\$ (3.0)	\$ (2.0)	\$ (1.0)	-50.0%
RECEIPTS:																
Miscellaneous Receipts	5.3	7.8	5.1	5.1	30.0	5.2							58.5	66.5	(8.0)	-12.0%
Total Receipts	5.3	7.8	5.1	5.1	30.0	5.2	-	-	-	-	-	-	58.5	66.5	(8.0)	-12.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	5.3	7.7	5.4	5.0	5.1	5.3							33.8	33.6	0.2	0.6%
Non-Personal Service	1.1	1.1	1.1	1.3	1.1	1.6							7.3	7.2	0.1	1.4%
General State Charges	3.3	3.4	3.3	4.9	3.2	3.3							21.4	29.2	(7.8)	-26.7%
Total Disbursements	9.7	12.2	9.8	11.2	9.4	10.2	-	-	-	-	-	-	62.5	70.0	(7.5)	-10.7%
Excess (Deficiency) of Receipts over Disbursements	(4.4)	(4.4)	(4.7)	(6.1)	20.6	(5.0)	-	-	-	-	-	-	(4.0)	(3.5)	(0.5)	-14.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	(4.4)	(4.4)	(4.7)	(6.1)	20.6	(5.0)	-	-	-	-	-	-	(4.0)	(3.5)	(0.5)	-14.3%
Ending Fund Balance	\$ (7.4)	\$ (11.8)	\$ (16.5)	\$ (22.6)	\$ (2.0)	\$ (7.0)	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ (7.0)	\$ (5.5)	\$ (1.5)	-27.3%

STATE OF NEW YORK
PRIVATE PURPOSE TRUST FUNDS
STATEMENT OF CASH FLOW
FISCAL YEAR 2019-2020
(amounts in millions)

EXHIBIT M

	2019						2020						6 Months Ended September 30			
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	2019	2018	\$ Increase/ (Decrease)	% Increase/ Decrease
Beginning Fund Balance	\$ 13.2	\$ 13.4	\$ 13.5	\$ 13.6	\$ 13.7	\$ 13.8							\$ 13.2	\$ 11.9	\$ 1.3	10.9%
RECEIPTS:																
Miscellaneous Receipts	0.2	0.1	0.1	0.2	0.1	0.1							0.8	0.8	-	0.0%
Total Receipts	0.2	0.1	0.1	0.2	0.1	0.1	-	-	-	-	-	-	0.8	0.8	-	0.0%
DISBURSEMENTS:																
Departmental Operations:																
Personal Service	-	-	-	0.1	-	-							0.1	0.1	-	0.0%
Non-Personal Service	-	-	-	-	-	-							-	-	-	0.0%
General State Charges	-	-	-	-	-	0.1							0.1	-	0.1	100.0%
Total Disbursements	-	-	-	0.1	-	0.1	-	-	-	-	-	-	0.2	0.1	0.1	100.0%
Excess (Deficiency) of Receipts over Disbursements	0.2	0.1	0.1	0.1	0.1	-	-	-	-	-	-	-	0.6	0.7	(0.1)	-14.3%
OTHER FINANCING SOURCES (USES):																
Transfers from Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Transfers to Other Funds	-	-	-	-	-	-							-	-	-	0.0%
Total Other Financing Sources (Uses)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0%
Excess (Deficiency) of Receipts and Other Financing Sources Over Disbursements and Other Financing Uses	0.2	0.1	0.1	0.1	0.1	-	-	-	-	-	-	-	0.6	0.7	(0.1)	-14.3%
Ending Fund Balance	\$ 13.4	\$ 13.5	\$ 13.6	\$ 13.7	\$ 13.8	\$ 13.8	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 13.8	\$ 12.6	\$ 1.2	9.5%

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF SEPTEMBER 2019
(amounts in millions)**

SCHEDULE 1

	BALANCE SEPTEMBER 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2019
GENERAL FUND					
10000-10049-Local Assistance Account	\$ -	\$ 0.048	\$ 4,115.643	\$ 4,115.595	\$ -
10050-10099-State Operations Account	6,852.892	4,847.721	1,341.818	(1,342.109)	9,016.686
10100-10149-Tax Stabilization Reserve	-	-	-	-	-
10150-10199-Contingency Reserve	-	-	-	-	-
10200-10249-Universal Pre-K Reserve	-	-	-	-	-
10250-10299-Community Projects	33.546	-	0.421	-	33.125
10300-10349-Rainy Day Reserve Fund	-	-	-	-	-
10400-10449-Refund Reserve Account	-	-	-	-	-
10500-10549-Fringe Benefits Escrow	-	-	-	-	-
10550-10599-Tobacco Revenue Guarantee	-	-	-	-	-
TOTAL GENERAL FUND	6,886.438	4,847.769	5,457.882	2,773.486	9,049.811
SPECIAL REVENUE FUNDS-STATE					
20000-20099-Mental Health Gifts and Donations	0.825	0.002	-	-	0.827
20100-20299-Combined Expendable Trust	69.860	0.539	0.779	-	69.620
20300-20349-New York Interest on Lawyer Account	83.960	5.720	3.524	-	86.156
20350-20399-NYS Archives Partnership Trust	0.220	-	0.032	(0.018)	0.170
20400-20449-Child Performer's Protection	0.334	0.016	0.047	-	0.303
20450-20499-Tuition Reimbursement	8.048	0.444	0.326	(0.116)	8.050
20500-20549-New York State Local Government Records Management Improvement	4.308	1.463	1.866	(0.131)	3.774
20550-20599-School Tax Relief	0.002	-	(0.002)	-	0.004
20600-20649-Charter Schools Stimulus	2.004	0.007	0.773	-	1.238
20650-20699-Not-For-Profit Short Term Revolving Loan	-	-	-	-	-
20800-20849-HCRA Resources	136.966	531.945	584.477	(4.571)	79.863
20850-20899-Dedicated Mass Transportation Trust	68.309	53.678	51.000	15.666	86.653
20900-20949-State Lottery	1,522.305	254.335	2,510.312	-	(733.672)
20950-20999-Combined Student Loan	39.948	1.923	0.546	-	41.325
21000-21049-Sewage Treatment Program Mgmt. & Administration	(3.700)	-	0.052	-	(3.752)
21050-21149-Encon Special Revenue	(8.973)	8.134	7.446	3.312	(4.973)
21150-21199-Conservation	74.913	9.227	3.061	0.609	81.688
21200-21249-Environmental Protection and Oil Spill Compensation	31.363	6.130	1.680	(2.855)	32.958
21250-21299-Training and Education Program on OSHA	12.746	0.149	4.100	-	8.795
21300-21349-Lawyers' Fund for Client Protection	6.525	0.903	0.416	-	7.012
21350-21399-Equipment Loan for the Disabled	0.544	0.004	-	-	0.548
21400-21449-Mass Transportation Operating Assistance	201.406	359.106	227.666	5.551	338.397
21450-21499-Clean Air	(27.980)	(3.511)	3.184	-	(34.675)
21500-21549-New York State Infrastructure Trust	0.070	-	-	-	0.070
21550-21599-Legislative Computer Services	12.134	0.093	0.445	-	11.782
21600-21649-Biodiversity Stewardship and Research	-	-	-	-	-
21650-21699-Combined Non-Expendable Trust	0.464	0.001	-	-	0.465
21700-21749-Winter Sports Education Trust	-	-	-	-	-
21750-21799-Musical Instrument Revolving	0.001	-	-	-	0.001
21850-21899-Arts Capital Grants	0.964	0.003	-	-	0.967
21900-22499-Miscellaneous State Special Revenue	1,270.142	331.437	253.791	47.703	1,395.491
22500-22549-Court Facilities Incentive Aid	50.478	0.105	7.182	-	43.401

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF SEPTEMBER 2019
(amounts in millions)**

SCHEDULE 1

	BALANCE SEPTEMBER 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2019
<u>SPECIAL REVENUE FUNDS-STATE (CONTINUED)</u>					
22550-22599-Employment Training	0.052	-	-	-	0.052
22650-22699-State University Income	1,909.870	691.869	484.597	6.781	2,123.923
22700-22749-Chemical Dependence Service	12.133	1.096	0.085	-	13.144
22750-22799-Lake George Park Trust	0.609	0.145	0.126	-	0.628
22800-22849-State Police Motor Vehicle Law Enforcement and Motor Vehicle Theft and Insurance Fraud Prevention	76.334	2.130	0.848	-	77.616
22850-22899-New York Great Lakes Protection	0.462	-	0.011	-	0.451
22900-22949-Federal Revenue Maximization	0.024	-	-	-	0.024
22950-22999-Housing Development	9.317	0.019	0.100	-	9.236
23000-23049-NYS/DOT Highway Safety Program	(13.652)	0.185	0.469	-	(13.936)
23050-23099-Vocational Rehabilitation	0.022	0.008	-	-	0.030
23100-23149-Drinking Water Program Management and Administration	(5.351)	-	-	-	(5.351)
23150-23199-NYC County Clerks' Operations Offset	(42.559)	-	2.452	-	(45.011)
23200-23249-Judiciary Data Processing Offset	-	6.282	2.317	-	42.518
23250-23449-IFR/CUTRA	191.165	19.157	10.286	-	200.036
23500-23549-USOC Lake Placid Training	0.250	0.008	-	-	0.258
23550-23599-Indigent Legal Services	364.160	28.711	4.646	-	388.225
23600-23649-Unemployment Insurance Interest and Penalty	38.602	0.931	0.278	(8.630)	30.625
23650-23699-MTA Financial Assistance Fund	50.452	0.031	48.850	37.500	39.133
23700-23749-New York State Commercial Gaming Fund	47.674	15.266	0.958	-	61.982
23750-23799-Medical Marihuana Trust Fund	9.367	0.448	0.968	-	8.847
23800-23899-Dedicated Miscellaneous State Special Revenue	2.559	0.124	0.023	(0.014)	2.646
24850-24899-Health Care Transformation	530.765	1.053	-	-	531.818
24900-24949-Charitable Gifts Trust Fund	94.561	0.188	-	-	94.749
24950-24999-Interactive Fantasy Sports	15.449	0.324	-	-	15.773
40350-40399-State University Dormitory Income	215.712	0.515	-	(39.313)	176.914
TOTAL SPECIAL REVENUE FUNDS-STATE	7,104.716	2,330.343	4,219.717	61.474	5,276.816
<u>SPECIAL REVENUE FUNDS-FEDERAL</u>					
25000-25099-Federal USDA/Food and Consumer Services	(4.741)	104.687	107.776	(0.622)	(8.452)
25100-25199-Federal Health and Human Services	505.339	5,006.168	4,316.867	(70.015)	1,124.625
25200-25249-Federal Education	(30.229)	274.224	275.192	(2.276)	(33.473)
25300-25899-Federal Miscellaneous Operating Grants	(274.903)	77.558	76.488	(3.585)	(277.418)
25900-25949-Unemployment Insurance Administration	164.114	22.030	23.826	-	162.318
25950-25999-Unemployment Insurance Occupational Training	(0.409)	0.191	0.296	-	(0.514)
26000-26049-Federal Employment and Training Grants	(2.582)	10.584	10.446	-	(2.444)
TOTAL SPECIAL REVENUE FUNDS-FEDERAL	356.589	5,495.442	4,810.891	(76.498)	964.642
TOTAL SPECIAL REVENUE FUNDS	7,461.305	7,825.785	9,030.608	(15.024)	6,241.458
<u>DEBT SERVICE FUNDS</u>					
40000-40049-Debt Reduction Reserve	-	-	-	-	-
40100-40149-Mental Health Services	81.146	13.374	-	10.495	105.015
40150-40199-General Debt Service	604.973	2,796.530	418.917	(2,819.336)	163.250
40250-40299-State Housing Debt Service	-	0.004	2.310	2.306	-
40300-40349-Department of Health Income	37.547	2.481	-	(9.964)	30.064
40400-40449-Clean Water/Clean Air	7.197	85.331	-	(83.838)	8.690
40450-40499-Local Government Assistance Tax	13.439	380.803	13.440	(380.802)	-
TOTAL DEBT SERVICE FUNDS	744.302	3,278.523	434.667	(3,281.139)	307.019

**STATE OF NEW YORK
GOVERNMENTAL FUNDS
SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
CHANGES IN FUND BALANCES
FISCAL YEAR 2019-2020
FOR THE MONTH OF SEPTEMBER 2019
(amounts in millions)**

SCHEDULE 1

	BALANCE SEPTEMBER 1, 2019	RECEIPTS	DISBURSEMENTS	OTHER FINANCING SOURCES (USES)	BALANCE SEPTEMBER 30, 2019
CAPITAL PROJECTS FUNDS					
30000-30049-State Capital Projects	-	255.516	841.427	585.911	-
30050-30099-Dedicated Highway and Bridge Trust	(142.663)	387.750	162.382	(234.907)	(152.202)
30100-30299-SUNY Residence Halls Rehabilitation and Repair	129.514	0.273	1.955	18.064	145.896
30300-30349-New York State Canal System Development	12.813	0.025	-	-	12.838
30350-30399-Parks Infrastructure	(79.312)	2.962	8.589	-	(84.939)
30400-30449-Passenger Facility Charge	0.015	-	-	-	0.015
30450-30499-Environmental Protection	103.215	13.125	22.060	-	94.280
30500-30549-Clean Water/Clean Air Implementation	-	-	-	-	-
30600-30609-Energy Conservation Thru Improved Transportation Bond	0.164	-	-	-	0.164
30610-30619-Park and Recreation Land Acquisition Bond	-	-	-	-	-
30620-30629-Pure Waters Bond	0.668	-	-	-	0.668
30630-30639-Transportation Capital Facilities Bond	3.328	-	-	-	3.328
30640-30649-Environmental Quality Protection Bond	1.419	-	-	-	1.419
30650-30659-Rebuild and Renew New York Transportation Bond	18.550	-	-	-	18.550
30660-30669-Transportation Infrastructure Renewal Bond	4.255	-	-	-	4.255
30670-30679-1986 Environmental Quality Bond Act	5.551	-	-	-	5.551
30680-30689-Accelerated Capacity and Transportation Improvement Bond	2.778	-	-	-	2.778
30690-30699-Clean Water/Clean Air Bond	1.428	-	-	-	1.428
30700-30709-State Housing Bond	-	-	-	-	-
30710-30719-Smart Schools Bond	-	-	-	-	-
30750-30799-Outdoor Recreation Development Bond	-	-	-	-	-
30900-30949-Rail Preservation and Development Bond	-	-	-	-	-
31350-31449-Federal Capital Projects	(546.842)	177.446	160.071	-	(529.467)
31450-31499-Forest Preserve Expansion	1.067	0.002	-	-	1.069
31500-31549-Hazardous Waste Remedial	(107.367)	1.254	7.902	(0.513)	(114.528)
31650-31699-Suburban Transportation	0.532	0.001	-	-	0.533
31700-31749-Division for Youth Facilities Improvement	(23.427)	-	1.135	-	(24.562)
31800-31849-Housing Assistance	(12.942)	-	-	-	(12.942)
31850-31899-Housing Program	(227.507)	-	17.371	-	(244.878)
31900-31949-Natural Resource Damage	17.099	0.534	0.035	-	17.598
31950-31999-DOT Engineering Services	(12.294)	-	(0.004)	-	(12.290)
32200-32249-Miscellaneous Capital Projects	99.907	0.893	3.138	1.134	98.796
32250-32299-CUNY Capital Projects	0.010	-	-	-	0.010
32300-32349-Mental Hygiene Facilities Capital Improvement	(434.422)	67.627	15.531	1.000	(381.326)
32350-32399-Correction Facilities Capital Improvement	(335.247)	0.012	30.732	-	(365.967)
32400-32999-State University Capital Projects	134.848	0.262	5.755	28.059	157.414
33000-33049-NYS Storm Recovery Fund	(64.799)	4.122	2.422	-	(63.099)
33050-33099-Dedicated Infrastructure Investment Fund	98.280	-	66.770	100.000	131.510
TOTAL CAPITAL PROJECTS FUNDS	(1,351.381)	911.804	1,347.271	498.748	(1,288.100)
TOTAL GOVERNMENTAL FUNDS	\$ 13,740.664	\$ 16,863.881	\$ 16,270.428	\$ (23.929)	\$ 14,310.188

STATE OF NEW YORK
 PROPRIETARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND
 CHANGES IN FUND BALANCES
 FISCAL YEAR 2019-2020
 FOR THE MONTH OF SEPTEMBER 2019
 (amounts in millions)

SCHEDULE 2

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE SEPTEMBER 30, 2019</u>
<u>ENTERPRISE FUNDS</u>					
50000-50049-Youth Commissary	\$ 0.104	\$ 0.001	\$ 0.002	\$ -	\$ 0.103
50050-50099-State Exposition Special	2.751	2.993	1.132	-	4.612
50100-50299-Correctional Services Commissary	3.444	3.261	3.058	-	3.647
50300-50399-Agencies Enterprise	3.267	10.393	0.245	-	13.415
50400-50449-Sheltered Workshop	2.186	0.026	0.010	-	2.202
50450-50499-Patient Workshop	1.779	0.369	0.162	-	1.986
50500-50599-Mental Hygiene Community Stores	4.981	0.060	0.067	-	4.974
50650-50699-Unemployment Insurance Benefit	8.602	151.217	151.476	-	8.343
TOTAL ENTERPRISE FUNDS	<u>27.114</u>	<u>168.320</u>	<u>156.152</u>	<u>-</u>	<u>39.282</u>
<u>INTERNAL SERVICE FUNDS</u>					
55000-55049-Centralized Services	(73.349)	26.453	27.095	(0.065)	(74.056)
55050-55099-Agency Internal Service	(129.802)	29.956	11.090	4.898	(106.038)
55100-55149-Mental Hygiene Revolving	(0.033)	0.034	0.059	-	(0.058)
55150-55199-Youth Vocational Education	0.077	-	0.002	-	0.075
55200-55249-Joint Labor and Management Administration	(0.007)	0.416	0.080	-	0.329
55250-55299-Audit and Control Revolving	(48.373)	0.864	3.808	(0.052)	(51.369)
55300-55349-Health Insurance Revolving	(15.412)	0.620	1.063	-	(15.855)
55350-55399-Correctional Industries Revolving	(29.608)	3.365	5.048	(0.008)	(31.299)
TOTAL INTERNAL SERVICE FUNDS	<u>(296.507)</u>	<u>61.708</u>	<u>48.245</u>	<u>4.773</u>	<u>(278.271)</u>
TOTAL PROPRIETARY FUNDS	<u>\$ (269.393)</u>	<u>\$ 230.028</u>	<u>\$ 204.397</u>	<u>\$ 4.773</u>	<u>\$ (238.989)</u>

STATE OF NEW YORK
 FIDUCIARY FUNDS
 SUMMARY OF CASH RECEIPTS, DISBURSEMENTS AND CHANGES IN FUND BALANCES
 FISCAL YEAR 2019-2020
 FOR THE MONTH OF SEPTEMBER 2019
 (amounts in millions)

SCHEDULE 3

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>OTHER FINANCING SOURCES (USES)</u>	<u>BALANCE SEPTEMBER 30, 2019</u>
<u>PENSION TRUST FUNDS</u>					
65000-65049-Common Retirement Administration	\$ (1.990)	\$ 5.200	\$ 10.159	\$ -	\$ (6.949)
TOTAL PENSION TRUST FUNDS	(1.990)	5.200	10.159	-	(6.949)
<u>PRIVATE PURPOSE TRUST FUNDS</u>					
66000-66049-Agriculture Producers' Security	3.015	0.006	0.017	-	3.004
66050-66099-Milk Producers' Security	10.726	0.098	0.010	-	10.814
TOTAL PRIVATE PURPOSE TRUST FUNDS	13.741	0.104	0.027	-	13.818
<u>AGENCY FUNDS</u>					
60050-60149-School Capital Facilities Financing Reserve	24.016	0.274	-	-	24.290
60150-60199-Child Performer's Holding	0.521	0.002	-	-	0.523
60200-60249-Employees Health Insurance	1,129.365	804.514	928.540	-	1,005.339
60250-60299-Social Security Contribution	14.784	95.159	94.866	-	15.077
60300-60399-Employee Payroll Withholding	4.319	351.179	352.279	-	3.219
60400-60449-Employees Dental Insurance	26.814	5.855	6.500	-	26.169
60450-60499-Management Confidential Group Insurance	0.562	0.721	0.750	-	0.533
60500-60549-Lottery Prize	586.616	238.868	86.754	-	738.730
60550-60599-Health Insurance Reserve Receipts	0.143	0.001	-	-	0.144
60600-60799-Miscellaneous New York State Agency	989.803	444.256	438.364	-	995.695
60800-60849-Elderly Pharmaceutical Insurance Coverage (EPIC) Escrow	30.935	6.857	3.663	-	34.129
60850-60899-CUNY Senior College Operating	10.573	270.113	247.914	-	32.772
60900-60949-Medicaid Management Information System (MMIS) Escrow	201.383	5,806.636	5,781.671	19.156	245.504
60950-60999-Special Education	-	-	-	-	-
61000-61099-State University of New York Revenue Collection	411.577	(200.344)	-	-	211.233
61100-61999-State University Federal Direct Lending Program	(67.040)	256.723	210.103	-	(20.420)
62000-62049-SSI SSP Payment Escrow	-	-	-	-	-
TOTAL AGENCY FUNDS	3,364.371	8,080.814	8,151.404	19.156	3,312.937
TOTAL FIDUCIARY FUNDS	\$ 3,376.122	\$ 8,086.118	\$ 8,161.590	\$ 19.156	\$ 3,319.806

STATE OF NEW YORK
SOLE CUSTODY AND INVESTMENT ACCOUNTS
STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2019-2020
FOR THE MONTH OF SEPTEMBER 2019
(amounts in millions)

SCHEDULE 4

<u>FUND TYPE</u>	<u>BALANCE SEPTEMBER 1, 2019</u>	<u>RECEIPTS</u>	<u>DISBURSEMENTS</u>	<u>BALANCE SEPTEMBER 30, 2019</u>
<u>ACCOUNTS</u>				
70000-70049-Tobacco Settlement	\$ 2.848	\$ 0.006	\$ -	\$ 2.854
70093, 70095, 70300-70301-MTA State Assistance (*)	194.378	197.023	212.930	178.471
70050-70149-Sole Custody Investment (**)	2,345.326	6,886.454	6,211.274	3,020.506
70200-Comptroller's Refund Account	-	173.963	173.963	-
TOTAL ACCOUNTS	<u>\$ 2,542.552</u>	<u>\$ 7,257.446</u>	<u>\$ 6,598.167</u>	<u>\$ 3,201.831</u>

(*) See Footnotes

(**) Includes Public Asset Fund resources:

Chapter 1 of the Laws of 2002 authorized the conversion of Empire Health Choice, d/b/a Empire Blue Cross and Blue Shield from a not-for-profit corporation to a for-profit corporation. Chapter 1 requires, in part, that upon such conversion, assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to a fund designated as the "Public Asset Fund" and 5 percent transferred to a Charitable Foundation - as set forth in Section 7317 of the Insurance Law. On December 28, 2005, WellChoice, Inc. (previously known as Empire Blue Cross, Blue Shield) approved a takeover by WellPoint, Inc. This conversion was also subject to the same Chapter 1 requirements of assigning assets representing 95 percent of the fair market value of the not-for-profit corporation be transferred to the "Public Asset Fund".

As of September 30, 2019, \$9,428,348.22 (representing the remaining balance of the State's 95 percent share of the fair market value of the not-for-profit corporation plus interest) is on deposit in the sole custody account titled Public Asset Fund. In accordance with Section 4301(j)(4)(F) and (O) of the Insurance Law and at the direction of the Director of the Budget, these funds are available for transfer to HCRA Resources Fund (20800-20849).

STATE OF NEW YORK
DEBT SERVICE FUNDS
STATEMENT OF DIRECT STATE DEBT ACTIVITY
FISCAL YEAR 2019-2020

SCHEDULE 5

PURPOSE	DEBT OUTSTANDING APRIL 1, 2019	DEBT ISSUED		DEBT MATURED		DEBT OUTSTANDING SEPTEMBER 30, 2019	INTEREST DISBURSED		
		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2019	MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2019		MONTH OF SEPTEMBER	6 MONTHS ENDED SEPTEMBER 30, 2019	
GENERAL OBLIGATION BONDED DEBT:									
Accelerated Capacity and Transportation Improvements	\$ 16,747,925	\$ -	\$ -	\$ -	\$ 688,854	\$ 16,059,071	\$ 86,832	\$ 386,432	
Clean Water/Clean Air:									
Air Quality	2,465,600	-	-	-	162,677	2,302,923	3,288	40,811	
Safe Drinking Water	-	-	-	-	-	-	-	-	
Clean Water	321,372,381	-	-	-	8,471,194	312,901,187	2,498,817	6,781,418	
Solid Waste	22,144,792	-	-	-	2,033,357	20,111,435	78,074	494,820	
Environmental Restoration	46,724,919	-	-	-	210,000	46,514,919	565,093	949,575	
Energy Conservation Through Improved Transportation:									
Rapid Transit and Rail Freight	1,352,815	-	-	-	154,061	1,198,754	900	30,209	
Environmental Quality (1972):									
Air	6,247	-	-	-	-	6,247	-	125	
Land and Wetlands	5,870,169	-	-	-	25,000	5,845,169	114,919	133,980	
Water	10,826,301	-	-	2,915,000	4,418,953	6,407,348	106,077	225,690	
Environmental Quality (1986):									
Land Acquisition/Development/Restoration/Forests	6,831,632	-	-	-	738,107	6,093,525	39,415	159,592	
Solid Waste Management	107,613,085	-	-	-	7,019,746	100,593,339	950,281	2,446,332	
Housing:									
Low Income	8,500,000	-	-	-	800,000	7,700,000	-	127,500	
Middle Income	6,225,000	-	-	2,190,000	2,190,000	4,035,000	120,138	120,138	
Park and Recreation Land Acquisition	-	-	-	-	-	-	-	-	
Pure Waters	17,772,576	-	-	1,380,000	2,374,401	15,398,175	134,114	387,529	
Rail Preservation Development	-	-	-	-	-	-	-	-	
Rebuild and Renew New York Transportation:									
Highway Facilities	641,322,676	-	-	-	-	641,322,676	7,971,707	14,423,811	
Canals and Waterways	11,884,363	-	-	-	-	11,884,363	131,475	241,632	
Aviation	42,044,726	-	-	-	-	42,044,726	445,443	895,796	
Rail and Port	94,745,141	-	-	-	-	94,745,141	548,788	1,983,245	
Mass Transit - Dept. of Transportation	13,915,297	-	-	-	-	13,915,297	228,075	309,013	
Mass Transit - Metropolitan Transportation Authority	721,891,399	-	-	-	-	721,891,399	6,014,455	16,180,692	
Rebuild New York-Transportation Infrastructure Renewal:									
Highways, Parkways, and Bridges	741,418	-	-	-	-	741,418	15,238	17,695	
Rapid Transit, Rail and Aviation	2,597,617	-	-	-	555,054	2,042,563	-	63,247	
Smart Schools Bond Act	179,020,225	-	-	-	-	179,020,225	1,975,503	4,227,135	
Transportation Capital Facilities:									
Aviation	3,018,695	-	-	620,000	928,596	2,090,099	12,225	66,764	
Mass Transportation	-	-	-	-	-	-	-	-	
Total General Obligation Bonded Debt	\$ 2,285,634,999	\$ -	\$ -	\$ 7,105,000	\$ 30,770,000	\$ 2,254,864,999	\$ 22,040,857	\$ 50,693,180	

STATE OF NEW YORK
DEBT SERVICE FUNDS
FINANCING AGREEMENTS
FOR THE SIX MONTHS ENDED SEPTEMBER 30, 2019

SCHEDULE 5a

Special Contractual Financing Obligations:	DEBT REDUCTION RESERVE (40000-40049)	GENERAL DEBT SERVICE (40151)	DEPARTMENT OF HEALTH INCOME (40300-40349)	LOCAL GOVERNMENT ASSISTANCE TAX (40450-40499)	MENTAL HEALTH SERVICES (40100-40149)	REVENUE BOND TAX (40152)	SALES TAX REVENUE BOND TAX (40154)	COMBINED TOTALS		\$ INCREASE/ (DECREASE)
								6 MONTHS ENDED SEPTEMBER 30		
								2019	2018	
Payments to Public Authorities:										
City University Construction	\$ -	\$ 54,720,301	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 54,720,301	\$ 88,753,984	\$ (34,033,683)
Dormitory Authority:										
Consolidated Service Contract Refunding	-	54,430,525	-	-	-	-	-	54,430,525	57,690,325	(3,259,800)
DASNY Revenue Bond	-	-	-	-	-	135,572,179	239,836,331	375,408,510	396,221,325	(20,812,815)
Department of Health Facilities	-	-	13,080,876	-	-	-	-	13,080,876	13,085,126	(4,250)
Mental Health Facilities	-	-	-	-	7,064,797	-	-	7,064,797	53,377,315	(46,312,518)
Secured Hospital Program	-	3,829,093	-	-	-	-	-	3,829,093	603,759	3,225,334
SUNY Community Colleges	-	5,928,700	-	-	-	-	-	5,928,700	4,586,528	1,342,172
SUNY Educational Facilities	-	18,022,938	-	-	-	-	-	18,022,938	-	18,022,938
Environmental Facilities Corporation	-	-	-	-	-	839,859	-	839,859	1,671,869	(832,010)
Housing Finance Agency	-	15,734,765	-	-	-	1,907,968	-	17,642,733	17,219,183	423,550
Local Government Assistance Corporation	-	-	-	21,302,971	-	-	-	21,302,971	25,236,026	(3,933,055)
Metropolitan Transportation Authority:										
Transit and Commuter Rail Projects	-	-	-	-	-	-	-	-	35,457,621	(35,457,621)
Thruway Authority:										
Dedicated Highway and Bridge	-	263,973,915	-	-	-	-	-	263,973,915	126,864,132	137,109,783
Local Highway and Bridge	-	21,772,000	-	-	-	-	-	21,772,000	36,892,075	(15,120,075)
Transportation	-	-	-	-	-	27,320,113	-	27,320,113	30,877,100	(3,556,987)
Urban Development Corporation:										
Clarkson University	-	26,675	-	-	-	-	-	26,675	51,975	(25,300)
Columbia Univer. Telecommunications Center	-	-	-	-	-	-	-	-	2,777,000	(2,777,000)
Consolidated Service Contract Refunding	-	4,100,254	-	-	-	-	-	4,100,254	27,121,943	(23,021,689)
Cornell Univer. Supercomputer Center	-	-	-	-	-	-	-	-	362,000	(362,000)
Correctional Facilities	-	555,750	-	-	-	-	-	555,750	1,081,433	(525,683)
Debt Reduction Reserve	-	-	-	-	-	-	-	-	-	-
UDC Revenue Bond	-	-	-	-	-	5,105,575	-	5,105,575	295,089,574	(289,983,999)
University Facilities Grant 95 Refunding	-	60,072	-	-	-	-	-	60,072	-	60,072
Total Disbursements for Special Contractual Financing Obligations	\$ -	\$ 443,154,988	\$ 13,080,876	\$ 21,302,971	\$ 7,064,797	\$ 170,745,694	\$ 239,836,331	\$ 895,185,657	\$ 1,215,020,293	\$ (319,834,636)

**STATE OF NEW YORK
SUMMARY OF THE OPERATING FUND INVESTMENTS
FOR THE MONTH OF SEPTEMBER 2019
AS REQUIRED OF THE STATE COMPTROLLER
(amounts in millions)**

SCHEDULE 6

	<u>MONTH OF SEPTEMBER 2019</u>	<u>FISCAL YEAR TO DATE</u>	<u>PRIOR FISCAL YEAR TO DATE</u>
<u>SHORT TERM INVESTMENT POOL (*)</u>			
AVERAGE DAILY INVESTMENT BALANCE (**)	\$ 20,135.5	\$ 18,557.2	\$ 16,319.9
AVERAGE YIELD (**)	2.143%	2.367%	1.994%
TOTAL INVESTMENT EARNINGS	\$ 36.734	\$ 230.905	\$ 157.058

<u>Month-End Portfolio Balances</u>		
<u>DESCRIPTION</u>	<u>SEPTEMBER 2019 PAR AMOUNT</u>	<u>SEPTEMBER 2018 PAR AMOUNT</u>
GOVT. AGENCY BILLS/NOTES	\$ 2,696.3	\$ 2,231.3
REPURCHASE AGREEMENTS	26.4	26.8
COMMERCIAL PAPER	15,688.3	12,622.6
CERTIFICATES OF DEPOSIT/SAVINGS	2,932.8	2,932.1
0% COMPENSATING BALANCE CDs	8.0	175.0
	<u>\$ 21,351.8</u>	<u>\$ 17,987.8</u>

(*) Pursuant to §98 of the State Finance Law, the State Comptroller is authorized to invest and keep invested all moneys, in any fund, held by the State. The Short Term investment Pool (STIP) represents an accounting mechanism that allows for the separate accounting of individual funds (on deposit in the State's General Checking account) for the purpose of making short term investments. Pursuant to State Finance Law §4(5) the STIP is authorized to temporarily loan to the General Fund-State Operations Account (10050) funds for a period of four months or the end of the fiscal year, whichever is shorter. However, it must be noted that certain funds are invested as part of STIP, but are held by the State Comptroller in a fiduciary capacity. Fiduciary fund balances are restricted and may not be used for any State purposes since moneys in such funds are held by the State in a trustee (or fiduciary) capacity or as an agent for individuals, private organizations, or non-State governmental units (e.g. local governments and public authorities). Therefore, Fiduciary fund balances are not available to be temporarily loaned to the General Fund-State Operations Account. Fiduciary fund balances are presented in Schedules 3 and 4 of this report.

(**) Does not include 0% Compensating Balance CDs.

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF RECEIPTS AND DISBURSEMENTS BY ACCOUNT
 FISCAL YEAR 2019-2020

APPENDIX A

	2019						2020						6 Months Ended
	APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	JANUARY	FEBRUARY	MARCH	September 30, 2019
OPENING CASH BALANCE	\$ -	\$ 543,140,525	\$ 283,562,198	\$ 300,393,092	\$ 420,298,155	\$ 136,965,831							\$ -
RECEIPTS:													
Cigarette Tax	63,203,605	59,370,644	57,339,173	75,425,457	63,846,255	59,381,304							378,566,438
State Share of NYC Cigarette Tax	2,069,000	2,175,000	1,928,000	1,929,000	2,141,000	1,867,000							12,109,000
STIP Interest	469,440	785,843	1,583,166	1,015,764	1,230,923	1,065,269							6,150,405
Public Asset Transfers	-	-	-	-	-	-							-
Assessments	533,324,883	443,523,673	423,216,328	494,280,029	436,582,470	465,018,162							2,795,945,545
Fees	404,000	903,000	2,574,000	312,000	94,000	987,000							5,274,000
Rebates	4,761,424	362,419	6,001,122	13,400,488	1,044,971	3,626,158							29,196,582
Restitution and Settlements	-	-	-	-	-	-							-
Miscellaneous	-	-	-	-	-	-							-
Total Receipts	604,232,352	507,120,579	492,641,789	586,362,738	504,939,619	531,944,893	-	-	-	-	-	-	3,227,241,970
DISBURSEMENTS:													
Grants	57,388,500	753,490,762	467,314,025	461,209,200	773,350,315	580,722,220							3,093,475,022
Interest - Late Payments	1,007	72	16	1,654	331	105							3,185
Personal Service	541,446	1,589,857	1,742,462	1,042,316	944,621	1,006,723							6,867,425
Non-Personal Service	1,746,620	3,414,743	4,043,595	3,156,138	2,726,729	2,156,664							17,244,489
Employee Benefits/Indirect Costs	345,719	445,042	1,682,906	662,367	602,434	591,118							4,329,586
Total Disbursements	60,023,292	758,940,476	474,783,004	466,071,675	777,624,430	584,476,830	-	-	-	-	-	-	3,121,919,707
OPERATING TRANSFERS:													
Transfers to Capital Projects Fund	-	-	-	-	-	-							-
Transfers to General Fund	-	-	26,968	-	-	-							26,968
Transfers to Revenue Bond Tax Fund	-	-	-	-	3,027,025	3,754,806							6,781,831
Transfers to Miscellaneous Special Revenue Func	-	-	-	-	-	-							-
Administration Program Account	-	350,000	-	386,000	-	-							736,000
Empire State Stem Cell Trust Account	-	6,661,750	-	-	6,661,750	-							13,323,500
Transfers to SUNY Income Fund	1,068,535	746,680	1,000,923	-	958,738	815,765							4,590,641
Total Operating Transfers	1,068,535	7,758,430	1,027,891	386,000	10,647,513	4,570,571	-	-	-	-	-	-	25,458,940
Total Disbursements and Transfers	61,091,827	766,698,906	475,810,895	466,457,675	788,271,943	589,047,401	-	-	-	-	-	-	3,147,378,647
CLOSING CASH BALANCE	\$ 543,140,525	\$ 283,562,198	\$ 300,393,092	\$ 420,298,155	\$ 136,965,831	\$ 79,863,323	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 79,863,323

STATE OF NEW YORK
 HCRA RESOURCES FUND
 STATEMENT OF PROGRAM DISBURSEMENTS
 FISCAL YEAR 2019-20

APPENDIX B

Program/Purpose	Appropriation Amount (*)	September	6 Months Ended September 30, 2019 (**)
CENTER FOR COMMUNITY HEALTH PROGRAM	\$ 8,752,000.00	\$ 228,811.26	\$ 1,588,531.39
CENTER FOR COMMUNITY HLTH	8,752,000.00	228,811.26	1,588,531.39
CHILD HEALTH INSURANCE PROGRAM	1,478,644,000.00	41,088,806.91	167,593,961.38
CHILD HEALTH INSURANCE	1,478,644,000.00	41,088,806.91	167,593,961.38
COMMUNITY SUPPORT PROGRAM	180,000.00	-	30,000.00
COMMUNITY SUPPORT	180,000.00	-	30,000.00
ELDERLY PHARMACEUTICAL INS COVERAGE PRG	426,154,811.52	10,082,748.31	53,963,762.11
ELDERLY PHARMACEUTICAL INSURANCE COVERAGE	426,154,811.52	10,082,748.31	53,963,762.11
HEALTH CARE REFORM ACT PROGRAM	2,160,773,305.03	1,510,093.04	237,064,896.23
AIDS DRUG ASSISTANCE	205,250,000.00	-	20,000,000.00
AMBULATORY CARE TRAINING	13,520,000.00	34,458.63	680,091.84
AREA HEALTH EDUCATION CENTER	7,063,000.00	-	758,811.44
COMMISSIONER EMERGENCY DISTRIBUTIONS	24,700,000.00	-	181,250.00
DIAGNOSTIC AND TREATMENT CTR UNCOMPENSATED CARE	272,000,000.00	-	-
DIVERSITY IN MEDICINE	5,232,000.00	-	-
EMPIRE CLINIC RESEARCH INVESTMENT (ECRIP)	13,780,000.00	-	-
HCRA PAYOR / PROVIDER AUDITS	9,440,000.00	-	1,193,701.26
HEALTH FACILITY RESTRUCTURING DASNY	39,200,000.00	-	19,600,000.00
HEALTH WORKFORCE RETRAINING	210,010,300.00	179,821.03	2,611,175.59
INFERTILITY SERVICES GRANTS	24,781,746.00	80,370.15	231,768.06
MEDICAL INDEMNITY FUND	208,000,000.00	-	52,000,000.00
PART 405.4 HOSPITAL AUDITS NYCRR	2,200,000.00	67,461.34	413,793.47
PHYSICIAN EXCESS MEDICAL MALPRACTICE	382,200,000.00	-	105,100,000.00
PHYSICIAN LOAN REPAYMENT	34,465,000.00	481,539.61	3,487,239.62
PHYSICIAN LOAN REPAYMENT AND PRACTICE SUPPORT	1,000,000.00	-	-
PHYSICIAN PRACTICE SUPPORT	27,825,000.00	-	-
PHYSICIAN WORKFORCE STUDIES	3,954,200.00	155,000.00	155,000.00
POISON CONTROL CENTERS	8,440,000.00	-	-
POOL ADMINISTRATION	5,300,000.00	-	622,498.06
ROSWELL PARK CANCER INSTITUTE	102,606,000.00	-	25,651,500.00
ROSWELL PARK COMPREHENSIVE CANCER CENTER	50,000.00	-	-
RURAL HEALTH CARE ACCESS	34,550,000.00	447,298.13	2,291,172.32
RURAL HEALTH NETWORK	22,990,000.00	64,144.15	2,086,894.57
SCHOOL BASED HEALTH CENTERS	4,230,000.00	-	-
SCHOOL BASED HEALTH CLINICS-POOL ADMN	8,460,000.00	-	-
TRANSITION ACCT - PRIOR YEAR ALLOCATION	489,526,059.03	-	-
MEDICAL ASSISTANCE PROGRAM	28,338,830,000.00	529,258,936.43	2,647,231,194.86
HOME HEALTH RATE INCREASE	300,000,000.00	-	-
MEDICAID INDIGENT CARE	5,349,000,000.00	199,258,936.43	536,231,194.86
MEDICAL ASSISTANCE	21,806,630,000.00	330,000,000.00	2,111,000,000.00
PSNL CRE WRKR RECR & RETEN NYC (***)	816,000,000.00	-	-
PSNL CRE WRKR RECR & RETEN ROS (****)	67,200,000.00	-	-
NEW YORK STATE OF HEALTH	53,398,000.00	1,769,299.10	9,801,050.37
NEW YORK STATE OF HEALTH ADMINISTRATION	53,398,000.00	1,769,299.10	9,801,050.37
OFFICE OF HEALTH INSURANCE PROGRAM	1,834,000.00	-	-
OFFICE OF HEALTH INSURANCE	1,834,000.00	-	-
OFFICE OF HEALTH SYSTEMS MANAGEMENT	60,490,924.85	1,354,811.65	7,548,773.52
OFFICE HEALTH SYSTEMS MANAGEMENT	60,490,924.85	1,354,811.65	7,548,773.52
OFFICE OF LONG TERM CARE	2,477,800.00	-	-
ADULT HOME INITIATIVE	2,477,800.00	-	-
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	1,689,153.09
REVENUE, PROCESSING & RECONCILIATION	8,190,000.00	-	1,689,153.09
TOTAL	\$ 32,539,724,841.40	\$ 585,293,506.70	\$ 3,126,511,322.95
Reclass of SUNY Hospital Disprop Share to Transfer		(815,764.65)	(4,590,641.17)
Reclass of SUNY Hospital Poison Control Centers to Transfer		-	-
Reclass of SUNY Empire Clinical Research Investigator Program to Transfer		-	-
Reconciling Adjustment (P-Card and T-Card)		(911.95)	(974.55)
TOTAL REPORTED AMOUNT	\$ 32,539,724,841.40	\$ 584,476,830.10	\$ 3,121,919,707.23

(*) Includes amounts appropriated in SFY 2019-20, as well as prior year appropriations that were reappropriated.

(**) Disbursements from the HCRA Resources Fund includes direct grant payments to program beneficiaries, services and expenses for administration of grant programs, and transfers to the Public Goods Pool to finance payments made by the State's fiscal agent.

(***) Full title is: NYC Personal Care Workforce Recruitment and Retention Rates Grants.

(****) Full title is: Personal Care Workforce Recruitment and Retention Rates Grants.

**STATE OF NEW YORK
STATEMENT OF CASH FLOW - PUBLIC GOODS POOL
FISCAL YEAR 2019-20**

	1st Quarter APRIL - JUNE	2019 JULY	2019 AUGUST	2019 SEPTEMBER	2019-20
OPENING CASH BALANCE	\$ 284,899,772.70	\$ 217,136,341.70	\$ 346,685,528.48	\$ 370,578,094.07	\$ 284,899,772.70
RECEIPTS:					
Patient Services	901,482,254.94	458,951,302.74	321,268,980.51	323,916,066.47	2,005,618,604.66
Covered Lives	257,819,074.40	131,091,471.59	84,868,323.98	87,227,733.88	561,006,603.85
Provider Assessments	33,710,660.47	17,137,482.84	10,360,283.95	11,220,552.22	72,428,979.48
1% Assessments	101,375,334.00	38,085,459.00	35,346,462.00	34,815,339.00	209,622,594.00
DASNY- MOE/Recast receivables	-	-	-	-	-
Interest Income	214,148.16	97,184.05	56,985.34	68,130.90	436,448.45
Unassigned	25,420,354.00	(25,448,353.00)	4,033,806.00	(4,024,366.00)	(18,559.00)
Total Receipts	1,320,021,825.97	619,914,547.22	455,934,841.78	453,223,456.47	2,849,094,671.44
PROGRAM DISBURSEMENTS:					
Poison Control Centers	-	-	-	-	-
School Based Health Center Grants	-	-	-	-	-
ECRIP Distributions	-	-	-	-	-
Total Program Disbursements	-	-	-	-	-
Excess (Deficiency) of Receipts over Disbursements	1,320,021,825.97	619,914,547.22	455,934,841.78	453,223,456.47	2,849,094,671.44
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund - Hospital Quality Contribution	12,273,811.00	3,913,470.00	4,538,537.00	4,458,953.00	25,184,771.00
Transfers From State Funds:					
HCRA Resources Fund	-	-	-	-	-
Total Other Financing Sources	12,273,811.00	3,913,470.00	4,538,537.00	4,458,953.00	25,184,771.00
Transfers To Other Pools:					
Medicaid Disproportionate Share	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund	(1,211,212,716.72)	(405,379,450.19)	(377,389,482.23)	(279,102,802.01)	(2,273,084,451.15)
Indigent Care Fund - Matched	(189,777,239.46)	(44,643,082.37)	(64,004,935.31)	(217,247,025.65)	(515,672,282.79)
Indigent Care Fund - Unmatched	930,888.21	(44,256,297.88)	4,813,604.35	17,364,576.40	(21,147,228.92)
Total Other Financing Uses	(1,400,059,067.97)	(494,278,830.44)	(436,580,813.19)	(478,985,251.26)	(2,809,903,962.86)
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	(67,763,431.00)	129,549,186.78	23,892,565.59	(21,302,841.79)	64,375,479.58
CLOSING CASH BALANCE	\$ 217,136,341.70	\$ 346,685,528.48	\$ 370,578,094.07	\$ 349,275,252.28	\$ 349,275,252.28

Source: HCRA - Office of Pool Administration

**STATE OF NEW YORK
STATEMENT OF CASH FLOW - MEDICAID DISPROPORTIONATE SHARE
FISCAL YEAR 2019-20**

	1st Quarter APRIL - JUNE	2019 JULY	2019 AUGUST	2019 SEPTEMBER	2019-20
OPENING CASH BALANCE	\$ 2,224.49	\$ 1,198.39	\$ 1,657.22	\$ 883.92	\$ 2,224.49
RECEIPTS:					
Interest Income	4,789.67	1,657.22	883.92	9,008.14	16,338.95
Total Receipts	<u>4,789.67</u>	<u>1,657.22</u>	<u>883.92</u>	<u>9,008.14</u>	<u>16,338.95</u>
PROGRAM DISBURSEMENTS:					
Indigent Care	(189,777,239.46)	(64,004,935.31)	(64,004,935.31)	(94,998,765.65)	(412,785,875.73)
High Need Indigent Care	-	-	-	-	-
Other	945,583.86	(22,172,912.47)	5,582,961.35	(104,114,326.60)	(119,758,693.86)
Total Program Disbursements	<u>(188,831,655.60)</u>	<u>(86,177,847.78)</u>	<u>(58,421,973.96)</u>	<u>(199,113,092.25)</u>	<u>(532,544,569.59)</u>
Excess (Deficiency) of Receipts over Disbursements	<u>(188,826,865.93)</u>	<u>(86,176,190.56)</u>	<u>(58,421,090.04)</u>	<u>(199,104,084.11)</u>	<u>(532,528,230.64)</u>
OTHER FINANCING SOURCES (USES):					
Transfers From Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers From State Funds:					
HCRA Resources Indigent Care - Matched	94,888,619.73	22,321,541.19	32,002,467.66	108,623,512.83	257,836,141.41
HCRA Resources Indigent Care - Unmatched	(930,888.21)	42,304,122.41	(4,813,604.35)	(17,364,576.40)	19,195,053.45
HCRA Resources Indigent Care - ATB	-	-	-	-	-
Federal DHHS Fund	94,888,619.73	22,321,541.18	32,002,467.65	108,623,512.82	257,836,141.38
Other	-	-	-	-	-
Total Other Financing Sources	<u>188,846,351.25</u>	<u>86,947,204.78</u>	<u>59,191,330.96</u>	<u>199,882,449.25</u>	<u>534,867,336.24</u>
Transfers To Other Pools:					
Public Goods Pool	-	-	-	-	-
Health Facility Assessment Fund	-	-	-	-	-
Transfers To State Funds:					
HCRA Resources Fund Indigent Care Acct	(20,511.42)	(770,555.39)	(771,014.22)	(770,240.92)	(2,332,321.95)
Total Other Financing Uses	<u>(20,511.42)</u>	<u>(770,555.39)</u>	<u>(771,014.22)</u>	<u>(770,240.92)</u>	<u>(2,332,321.95)</u>
Excess (Deficiency) of Receipts and Other Financing Sources over Disbursements and Other Financing Uses	<u>(1,026.10)</u>	<u>458.83</u>	<u>(773.30)</u>	<u>8,124.22</u>	<u>6,783.65</u>
CLOSING CASH BALANCE	<u>\$ 1,198.39</u>	<u>\$ 1,657.22</u>	<u>\$ 883.92</u>	<u>\$ 9,008.14</u>	<u>\$ 9,008.14</u>

Source: HCRA - Office of Pool Administration

STATE OF NEW YORK
SUMMARY OF OFF-BUDGET SPENDING REPORT
FISCAL YEAR 2019-2020
(amounts in thousands)

APPENDIX E

	2019 APRIL	2019 MAY	2019 JUNE	2019 JULY	2019 AUGUST	2019 SEPTEMBER	2019 OCTOBER	2019 NOVEMBER	2019 DECEMBER	2020 JANUARY	2020 FEBRUARY	2020 MARCH	2019-2020 TOTAL
DORMITORY AUTHORITY:													
Education - All Other	\$ -	\$ 21	\$ -	\$ 25	\$ 1	\$ -							\$ 47
Education - EXCEL	-	2,109	221	2,703	2,354	1,566							8,953
Department of Health - All Other	-	-	-	-	51	3							54
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-							-
Regional Development:													
Community Capital Assistance Program (CCAP)/RESTORE	647	1,183	11	788	309	590							3,528
Multi-modal	37	-	-	-	-	-							37
GenNYsis	-	-	-	-	-	-							-
CUNY Senior Colleges	19,134	18,022	14,341	23,762	10,916	28,143							114,318
CUNY Community Colleges	2,965	2,554	975	5,101	780	5,980							18,355
SUNY Dormitories	-	-	-	-	-	-							-
Upstate Community Colleges	-	-	-	-	-	-							-
Mental Health	9,238	13,563	4,697	25,605	5,907	28,243							87,253
Developmental Disabilities	1,109	1,614	462	2,044	682	2,065							7,976
Alcoholism and Substance Abuse	158	274	190	302	304	671							1,899
Brooklyn Court Officer Training Academy	23	36	-	192	-	780							1,031
TOTAL DORMITORY AUTHORITY	33,311	39,376	20,897	60,522	21,304	68,041	-	-	-	-	-	-	243,451
EMPIRE STATE DEVELOPMENT CORP:													
Regional Development:													
Centers of Excellence	-	-	-	-	-	-							-
Community Capital Assistance Program (CCAP)	-	-	-	-	-	-							-
Empire Opportunity	-	-	-	-	-	-							-
Community Enhancement Facilities Assistance Program (CEFAP)	-	-	-	-	-	-							-
State Facilities and Equipment	-	-	-	-	-	-							-
TOTAL EMPIRE STATE DEVELOPMENT CORP	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL OFF-BUDGET	\$ 33,311	\$ 39,376	\$ 20,897	\$ 60,522	\$ 21,304	\$ 68,041	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 243,451

The Division of the Budget (DOB) is responsible for organizing and presenting the above schedule of 'Off Budget Spending'. Such reported disbursements are drawn from unaudited financial data provided by public authorities. Although the Office of the State Comptroller (OSC) has no reason to believe this information to be unreliable, it is important to note that these program disbursements are financed with public authority bond proceeds deposited directly into public authority accounts and all disbursements are made without any oversight by the OSC. Therefore, and pursuant to the provisions of Chapter 60, §16, of the Laws of 2006; this schedule is provided for information only.

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2019	July 31, 2019	August 31, 2019	Change	September 30, 2019
	GENERAL FUND					
10050	STATE OPERATIONS AND LOCAL ASSISTANCE	\$ -	\$ -	\$ -	\$ -	\$ - (***)
	TOTAL GENERAL FUND	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
	CAPITAL PROJECT AND BOND REIMBURSABLE FUNDS					
30051	HIGHWAY AND BRIDGE CAPITAL	134,888,031.48	154,697,817.64	196,665,951.78	49,801,699.46	246,467,651.24
30053	AVIATION PURPOSE ACCOUNT	-	-	-	-	-
30101	REHAB/REPAIR MARITIME	-	-	-	-	-
30102	D21RVE- MARITIME	-	-	-	-	-
30103	D36RVE- CENTRAL ADMIN	-	-	-	-	-
30104	RESIDENCE HALL CAMPUS LET BOND PROCEEDS	-	-	-	-	-
30105	REHAB/REPAIR ALBANY	-	-	-	-	-
30106	D01RVE- ALBANY	-	-	-	-	-
30107	REHAB/REPAIR BINGHAMTON	-	-	-	-	-
30108	D07RVE- BINGHAMTON	-	-	-	-	-
30109	REHAB/REPAIR BUFFALO UNIVERSITY	-	-	-	-	-
30110	D28RVE- SUNY BUFFALO	-	-	-	-	-
30111	REHAB/REPAIR STONYBROOK	-	-	-	-	-
30112	D13RVE- STONYBROOK	-	-	-	-	-
30113	REHAB/REPAIR BROOKLYN	-	-	-	-	-
30114	D14RVE - HSC BROOKLYN	-	-	-	-	-
30115	REHAB/REPAIR SYRACUSE	-	-	-	-	-
30116	D15RVE- HSC SYRACUSE	-	-	-	-	-
30117	REHAB/REPAIR BROCKPORT	-	-	-	-	-
30118	D02RVE- BROCKPORT	-	-	-	-	-
30119	REHAB/REPAIR BUFFALO COLLEGE	-	-	-	-	-
30120	D03RVE -SUB BUFFALO	-	-	-	-	-
30121	REHAB/REPAIR CORTLAND	-	-	-	-	-
30122	D04RVE- CORTLAND	-	-	-	-	-
30123	REHAB/REPAIR FREDONIA	-	-	-	-	-
30124	D05RVE- FREDONIA	-	-	-	-	-
30125	REHAB/REPAIR GENESEO	-	-	-	-	-
30126	D06RVE- GENESEO	-	-	-	-	-
30127	REHAB/REPAIR OLD WESTBURY	-	-	-	-	-
30128	D31RVE- OLD WESTBURY	-	-	-	-	-
30129	REHAB/REPAIR NEW PALTZ	-	-	-	-	-
30130	D08RVE- NEW PALTZ	-	-	-	-	-
30131	REHAB/REPAIR ONEONTA	-	-	-	-	-
30132	D09RVE- ONEONTA	-	-	-	-	-
30133	REHAB/REPAIR OSWEGO	-	-	18,778.22	(18,778.22)	-
30134	D10RVE- OSWEGO	-	-	-	-	-
30135	REHAB/REPAIR PLATTSBURGH	-	-	-	-	-
30136	D11RVE- PLATTSBURGH	-	-	-	-	-
30137	REHAB/REPAIR POTSDAM	-	-	-	-	-
30138	D12RVE- POTSDAM	-	-	-	-	-
30139	REHAB/REPAIR PURCHASE	-	-	-	-	-
30140	D29RVE- PURCHASE	-	-	-	-	-
30141	REHAB/REPAIR FOR UTICA/ROME	-	-	-	-	-
30142	D27RVE- CAMPUS RESERVE	-	-	-	-	-
30143	REHAB/REPAIR ALFRED	-	-	-	-	-
30144	D22RVE- ALFRED	-	-	-	-	-
30145	REHAB/REPAIR CANTON	-	-	-	-	-
30146	D23RVE- CANTON	-	-	-	-	-
30147	REHAB/REPAIR COBLESKILL	-	-	-	-	-
30148	D24RVE- COBLESKILL	-	-	-	-	-
30149	REHAB/REPAIR DELHI	-	-	-	-	-
30150	D25RVE- DELHI	-	-	-	-	-
30151	REHAB/REPAIR FARMINGDALE	-	-	-	-	-
30152	D26RVE- FARMINGDALE	-	-	-	-	-
30153	REHAB/REPAIR MORRISVILLE	-	-	-	-	-
30154	D27RVE- MORRISVILLE	-	-	-	-	-
30351	STATE PARK INFRASTRUCTURE	55,624,767.40	64,901,073.58	79,311,676.07	5,627,326.62	84,939,002.69
30501	CW/CA IMPLEMENTATION DEC	-	-	-	-	-
30502	CW/CA IMPLEMENTATION STATE	-	-	-	-	-
30503	CW/CA IMPLEMENTATION ERDA	-	-	-	-	-
30504	CW/CA IMPLEMENTATION EFC	-	-	-	-	-
31506	HAZARDOUS WASTE CLEAN UP	144,228,335.82	141,424,658.44	145,687,134.65	7,152,243.19	152,839,377.84
31701	YOUTH FACILITIES IMPROVEMENT	20,027,753.08	21,770,204.48	23,426,987.41	1,134,936.73	24,561,924.14
31801	HOUSING ASSISTANCE	12,941,967.06	12,941,967.06	12,941,967.06	-	12,941,967.06
31851	HOUSING PROG FD-HSG TR FD CORP	48,088,183.38	48,639,456.79	51,109,456.79	1,621,202.00	52,730,658.79
31852	HOUSING PROG FD AFFORD HSG CORP	46,242,563.15	51,730,717.15	56,780,924.15	-	56,780,924.15
31853	HOUSING PROG FD-DEPT OF SOCIAL SERVICES	119,908,911.98	119,908,911.98	119,908,911.98	15,750,000.00	135,658,911.98
31854	HOUSING PROG FD-HFA	-	-	-	-	-
31951	HIGHWAY FAC PURPOSE	12,346,481.24	12,294,449.24	12,294,449.24	(4,276.00)	12,290,173.24

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2019	July 31, 2019	August 31, 2019	Change	September 30, 2019
32213	NY RACING ACCOUNT	153,750.00	153,750.00	153,750.00	-	153,750.00
32214	CAPITAL PROJECT MISC GIFTS	-	-	-	-	-
32215	IT CAPITAL FINANCING ACCT	1,280,565.38	-	-	-	-
32219	NY ENVIRONMENTAL PROTECTION & SPILL REMEDIATION	-	-	-	-	-
32301	OPWDD-STATE FACILITIES PRE 12/99	-	-	-	-	-
32302	DSAS-COMMUNITY FACILITIES	-	-	-	-	-
32303	OMH-COMMUNITY FACILITIES	141,594,477.81	143,468,493.98	134,757,988.44	2,000,856.57	136,758,845.01
32304	OPWDD-COMMUNITY FACILITIES	-	-	-	-	-
32305	OASAS-COMMUNITY FACILITIES	195,661,388.85	198,987,437.85	198,987,437.85	500,000.00	199,487,437.85
32306	DASNY - OMH ADMIN	-	-	-	-	-
32307	DASNY - OPWDD ADMIN	8,881,243.87	2,359,597.75	2,359,597.75	-	2,359,597.75
32308	DASNY - OASAS ADMIN	1,344,677.42	458,493.08	458,493.08	-	458,493.08
32309	OMH -STATE FACILITIES	168,623,160.74	169,081,539.18	111,279,036.26	(54,554,874.69)	56,724,161.57
32310	OPWDD -STATE FACILITIES	4,549,163.38	4,549,163.38	4,549,163.38	-	4,549,163.38
32311	OASAS -STATE FACILITIES	1,035,331.74	1,035,331.74	1,035,331.74	(1,035,331.36)	0.38
32351	CORR. FACILITIES CAPITAL IMPROVEMENT	-	-	-	-	-
32352	DOCS-REHABILITATION PROJECTS	268,362,982.83	305,802,536.52	335,247,074.51	30,719,667.91	365,966,742.42
32353	CORR. FACILITIES CAPITAL CLOSURE	-	-	-	-	-
33001	STORM RECOVERY ACCOUNT	75,666,464.64	75,670,555.28	64,799,128.45	(1,700,265.41)	63,098,865.04
	TOTAL CAPITAL AND BOND REIMBURSABLE FUNDS	1,461,450,201.25	1,529,876,155.12	1,551,773,238.81	56,994,406.80	1,608,767,645.61
	STATE SPECIAL REVENUE FUNDS					
20401	DOL-CHILD PERFORMER PROTECTION ACCOUNT	-	-	-	-	-
20452	VOCATIONAL SCHOOL SUPERVISION	-	-	-	-	-
20501	LOCAL GOVERNMENT RECORDS MGMT	-	-	-	-	-
20810	CHILD HEALTH INSURANCE	84,592,225.48	3,685,449.44	26,505,154.47	41,088,806.91	67,593,961.38
20818	EPIC PREMIUM ACCOUNT	11,407,082.74	-	1,527,589.70	5,642,590.75	7,170,180.45
20901	LOTTERY-EDUCATION	-	-	-	1,380,191,261.75	1,380,191,261.75
20904	VLT EDUCATION	-	-	-	-	-
21001	ENVIR FAC CORP ADM ACCT	-	-	-	-	-
21002	ENCON ADMIN ACCT	3,585,311.81	3,647,733.08	3,700,045.82	52,312.74	3,752,358.56
21061	HAZARDOUS BULK STORAGE	-	-	-	-	-
21064	UTILITY ENVIRONMENTAL REGULATORY ACCOUNT	1,672,200.00	1,672,200.00	1,672,200.00	-	1,672,200.00
21065	FEDERAL GRANTS INDIRECT COST RECOVERY ACCOUNT	1,638,576.96	2,290,499.16	2,932,629.76	(2,672,838.98)	259,790.78
21066	ENCON-LOW LEVEL RADIOACTIVE WASTE SITING	3,984,034.81	4,158,885.89	3,666,016.14	162,008.35	3,828,024.49
21067	ENCON-RECREATION	-	-	-	-	-
21077	PUBLIC SAFETY RECOVERY ACCOUNT	-	-	-	-	-
21081	ENVIRONMENTAL REGULATORY	53,980,601.05	55,683,564.64	56,050,881.51	2,440,844.68	58,491,726.19
21082	NATURAL RESOURCES ACCOUNT	13,596,250.90	13,160,344.82	13,127,626.00	(247,181.68)	12,880,444.32
21084	MINED LAND RECLAMATION ACCT	-	-	-	-	-
21087	GREAT LAKES RESTORATION INITIATIVE	-	-	-	-	-
21201	AUDIT AND CONTROL OIL SPILL	-	-	-	-	-
21202	HEALTH DEPT OIL SPILL	5,130.47	-	-	-	-
21203	DEPT OF ENVIRONMENTAL CONSERVATION OIL SPILL	39,205.97	-	457.93	(361.93)	96.00
21204	OIL SPILL COMPENSATION	-	-	-	-	-
21205	LICENSE FEE SURCHARGES	-	-	-	-	-
21401	PUBLIC TRANSPORTATION SYSTEMS	-	-	-	-	-
21402	METROPOLITAN MASS TRANSPORTATION	-	-	-	-	-
21451	OPERATING PERMIT PROGRAM	29,286,029.86	30,036,786.99	30,629,345.74	(295,332.87)	30,334,012.87
21452	MOBILE SOURCE	-	-	-	4,339,988.21	4,339,988.21
21902	HEALTH-SPARC'S	-	-	-	-	-
21905	THRUWAY AUTHORITY ACCT	14,176,497.90	6,830,074.90	11,103,420.41	486,902.74	11,590,323.15
21907	MENTAL HYGIENE PROGRAM	-	-	-	-	-
21909	MENTAL HYGIENE PATIENT INCOME ACCOUNT	-	-	-	-	-
21911	FINANCIAL CONTROL BOARD	527,982.73	178,927.56	548,158.80	147,843.50	696,002.30
21912	RACING REGULATION ACCOUNT	2,924,824.19	2,222,606.48	1,826,882.24	(677,337.90)	1,149,544.34
21913	NY METROPOLITAN TRANSPORTATION COUNCIL	18,292,577.21	18,292,577.21	-	-	-
21937	SU DORM INCOME REIMBURSE	489,241.52	298,410.37	483,279.38	(435,514.18)	47,765.20
21945	CRIMINAL JUSTICE IMPROVEMENT	-	-	-	-	-
21959	ENV LAB REF FEE	-	-	-	-	-
21961	TRAINING, MANAGEMENT AND EVALUATION ACCOUNT	425,910.02	515,617.48	253,662.62	150,162.89	403,825.51
21962	CLINICAL LAB FEE	11,576,825.01	13,195,792.46	10,936,896.75	170,617.92	11,107,514.67
21978	INDIRECT COST RECOVERY	2,640,307.85	4,422,235.58	2,913,105.60	(2,913,105.60)	-
21979	HIGH SCHOOL EQUIVALENCY PROGRAM	-	-	-	-	-
21989	MULTI - AGENCY TRAINING ACCOUNT	-	-	-	-	-
22003	BELL JAR COLLECTION ACCOUNT	-	-	-	-	-
22004	INDUSTRY AND UTILITY SERVICE	-	-	-	-	-
22006	REAL PROPERTY DISPOSITION	-	-	-	-	-
22007	PARKING ACCOUNT	-	-	-	-	-
22008	COURTS SPECIAL GRANTS	-	-	-	-	-
22009	ASBESTOS SAFETY TRAINING	36,247.21	28,535.87	21,410.53	(5,057.34)	16,353.19
22017	CAMP SMITH BILLETING ACCOUNT	36,664.15	30,664.15	15,664.15	(15,664.15)	-
22032	BATAVIA SCHOOL FOR THE BLIND	9,569,495.42	10,131,661.80	10,867,975.36	1,246,652.78	12,114,628.14
22034	INVESTMENT SERVICES	-	-	-	-	-

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2019	July 31, 2019	August 31, 2019	Change	September 30, 2019
22036	SURPLUS PROPERTY ACCOUNT	-	-	-	-	-
22039	FINANCIAL OVERSIGHT	910,231.15	328,708.18	589,657.40	282,197.98	871,855.38
22046	REGULATION INDIAN GAMING	82,622,224.17	83,636,479.42	84,431,331.96	(535,049.86)	83,896,282.10
22053	ROME SCHOOL FOR THE DEAF	2,037,257.68	2,444,210.06	3,137,352.92	962,495.15	4,099,848.07
22054	DSP-SEIZED ASSETS	3,852,772.25	3,708,968.96	3,103,098.50	(78,877.58)	3,024,220.92
22055	ADMINISTRATIVE ADJUDICATION	16,384,051.16	20,116,815.29	19,836,577.83	3,033,986.25	22,870,564.08
22056	FEDERAL SALARY SHARING	487,627.05	645,430.63	881,877.31	125,906.60	1,007,783.91
22062	NYC ASSESSMENT ACCT	-	-	-	-	-
22063	CULTURAL EDUCATION ACCOUNT	5,210,700.26	5,697,352.65	6,876,207.49	(424,108.67)	6,452,098.82
22078	LOCAL SERVICE ACCOUNT	-	-	-	-	-
22085	DHCR MORTGAGE SERVICES	14,280,418.86	14,597,470.74	15,035,221.45	165,128.36	15,200,349.81
22090	HOUSING INDIRECT COST RECOVERY	-	-	-	-	-
22100	DHCR-HOUSING CREDIT AGENCY APPLY FEE	5,191,823.50	6,034,711.48	6,349,815.14	506,873.26	6,856,688.40
22130	LOW INCOME HOUSING CREDIT MONITORING	-	-	-	-	-
22135	EFC-CORPORATION ADMINISTRATION	-	-	-	-	-
22144	MONTROSE VETERAN'S HOME	21,420.45	-	170,390.02	253,156.53	423,546.55
22151	DEFERRED COMPENSATION ADMIN	128,920.72	197,818.90	72,308.80	57,884.67	130,193.47
22156	RENT REVENUE OTHER - NYC	9,666,326.93	1,570,787.22	5,118,243.39	3,273,863.78	8,392,107.17
22158	RENT REVENUE	-	-	-	-	-
22168	TAX REVENUE ARREARAGE ACCOUNT	-	-	-	-	-
22240	NYS MEDICAL INDEMNITY FUND ACCOUNT	-	-	6,648.93	24,052.42	30,701.35
22654	S.U. NON-RESIDENT REV. OFFSET	20,301,019.65	20,341,471.23	20,382,045.21	40,439.51	20,422,484.72
22751	LAKE GEORGE PARK TRUST FUND	-	-	-	-	-
22802	STATE POLICE MV ENFORCE	-	-	-	-	-
23001	DOT - HIGHWAY SAFETY PRGM	13,329,056.56	13,571,744.18	13,651,747.04	284,045.47	13,935,792.51
23102	DOH DRINKING WATER PROGRAM	5,350,949.70	5,350,949.70	5,350,949.70	-	5,350,949.70
23151	NYCC OPERATING OFFSET	37,515,667.13	40,042,296.63	42,558,652.02	2,452,633.27	45,011,285.29
23701	COMMERCIAL GAMING REVENUE	-	-	-	-	-
23702	COMMERCIAL GAMING REGULATION	14,756,450.71	15,116,447.00	15,372,223.34	297,906.56	15,670,129.90
23800	INTERSTATE RECIPROCITY FOR POST SEC DIST ED	-	-	-	-	-
23801	HIGHWAY USE TAX ADMIN	-	-	-	-	-
23806	NYS SECURE CHOICE ADMIN	-	-	-	-	-
24951	FANTASY SPORTS ADMINISTRATION	-	-	-	-	-
	TOTAL STATE SPECIAL REVENUE FUNDS	496,530,141.19	403,884,230.15	421,706,751.36	1,439,580,132.29	1,861,286,883.65
	FEDERAL FUNDS					
25000-25099	FEDERAL USDA/FOOD AND NUTRITION SERVICES FUND	55,367,684.85	129,536,697.96	12,963,285.82	1,505,996.67	14,469,282.49
25100-25199	FEDERAL HEALTH AND HUMAN SERVICES FUND	303,557,427.73	1,212,835,314.38	263,272,303.41	191,441,883.15	454,714,186.56
25200-25249	FEDERAL EDUCATION GRANTS FUND	24,554,882.47	25,423,935.82	33,268,518.43	3,180,539.08	36,449,057.51
25250-25299	FEDERAL DHHS BLOCK GRANTS	-	-	-	-	-
25300-25899	FEDERAL OPERATING GRANTS FUND	447,922,402.47	430,936,565.89	442,973,970.08	(1,188,881.11)	441,785,088.97
31351	MILITARY AND NAVAL AFFAIRS	8,791,744.66	8,756,661.66	8,756,661.66	-	8,756,661.66
31354	DEPARTMENT OF TRANSPORTATION	475,189,753.44	459,879,211.31	440,347,641.47	(18,484,174.81)	421,863,466.66
31350-31449	FEDERAL CAPITAL PROJECTS FUND (ALL OTHER)	123,395,612.50	126,791,229.95	127,953,624.40	800,977.78	128,754,602.18
25900-25949	UNEMPLOYMENT INSURANCE ADMINISTRATION	15,311,990.78	12,706,389.75	11,321,293.40	(3,553,632.34)	7,767,661.06
25950	FEDERAL UNEMPLOYMENT INS OCCUPATIONAL TRAINING	505,462.51	403,655.51	402,986.51	84,103.98	487,090.49
26001-26049	DOL EMPLOYMENT AND TRAINING GRANTS	6,195,915.52	2,696,969.36	2,582,302.41	(138,574.69)	2,443,727.72
	TOTAL FEDERAL FUNDS	1,460,792,876.93	2,409,966,631.59	1,343,842,587.59	173,648,237.71	1,517,490,825.30 (**)
	AGENCY FUNDS					
60201	EMPLOYEES HEALTH INSURANCE ACCT	-	-	-	-	-
60901	MMIS - STATE AND FEDERAL	-	-	-	-	-
	TOTAL AGENCY FUNDS	-	-	-	-	-
	ENTERPRISE FUND					
50318	OGS CONVENTION CENTER ACCOUNT	242,148.75	232,686.70	379,061.04	(14,252.22)	364,808.82
50327	EMPIRE PLAZA GIFT SHOP	217,493.73	229,012.37	246,132.52	7,545.92	253,678.44
	TOTAL ENTERPRISE FUND	459,642.48	461,699.07	625,193.56	(6,706.30)	618,487.26
	INTERNAL SERVICE FUNDS					
55001	CENTRALIZED SERVICES-FLEET MGMT	-	-	-	-	-
55002	CENTRALIZED SERVICES-DATA PROCESSING	-	-	-	-	-
55003	CENTRALIZED SERVICES-PRINTING	1,452,438.07	1,453,852.81	1,446,245.67	(77,075.62)	1,369,170.05
55004	CENTRALIZED SERVICES-REAL PROPERTY-LABOR	-	-	-	-	-
55005	CENTRALIZED SERVICES-DONATED FOODS	-	-	-	-	-
55006	CENTRALIZED SERVICES-PERSONAL PROPERTY	-	-	-	-	-
55007	CENTRALIZED SERVICES-CONSTRUCTION SERVICES	2,379,054.23	2,202,148.64	2,783,124.27	299,270.76	3,082,395.03
55008	CENTRALIZED SERVICES-PASNY	5,683,133.38	10,224,177.70	10,307,985.54	293,676.70	10,601,662.24
55009	CENTRALIZED SERVICES-ADMIN SUPPORT	-	-	-	-	-
55010	CENTRALIZED SERVICES-DESIGN AND CONSTR	15,704,609.60	8,575,158.16	11,418,997.31	922,114.91	12,341,112.22
55011	CENTRALIZED SERVICES-INSURANCE	2,501,087.88	5,001,523.81	4,996,693.09	(280,976.27)	4,715,716.82
55012	CENTRALIZED SERVICES-SECURITY CARD ACCESS	202,143.64	158,727.64	147,038.27	29,735.03	176,773.30
55013	CENTRALIZED SERVICES-COPS	-	-	-	-	-

STATE OF NEW YORK
SCHEDULE OF MONTH-END TEMPORARY LOANS OUTSTANDING(*)

SFS Fund	ACCOUNT TITLE	June 30, 2019	July 31, 2019	August 31, 2019	Change	September 30, 2019
55014	CENTRALIZED SERVICES-FOOD SERVICES	-	-	-	-	-
55015	CENTRALIZED SERVICES-HOMER FOLKS	-	-	-	-	-
55016	CENTRALIZED SERVICES-IMMICS	1,318,190.22	1,261,872.94	1,492,621.11	241,938.62	1,734,559.73
55017	DOWNSTATE WAREHOUSE	370,047.49	345,863.13	346,737.56	78,174.01	424,911.57
55018	BUILDING ADMINISTRATION	-	-	-	-	-
55019	LEASE SPACE INITIATIVE	-	-	-	-	-
55020	OGS ENTERPRISE CONTRACTING ACCT	67,993,252.07	53,265,501.48	53,890,027.83	1,518,233.22	55,408,261.05
55021	NYS MEDIA CENTER	6,096,519.04	6,253,598.89	6,558,111.51	222,795.23	6,780,906.74
55022	BUSINESS SERVICES CENTER	6,704,861.54	9,251,963.80	11,130,490.59	1,999,352.77	13,129,843.36
55052	ARCHIVES RECORD MGMT I.S.	6,186.77	51,574.65	-	-	-
55053	FEDERAL SINGLE AUDIT	-	-	-	-	-
55056	CIVIL SERVICE EHS OCCUP HEALTH PROG	131,862.90	-	-	-	-
55057	BANKING SERVICES ACCOUNT	1,691,493.56	34,603.41	7,959.56	70,762.03	78,721.59
55058	CULTURAL RESOURCE SURVEY	1,753,197.41	1,581,122.51	1,794,918.30	323,512.38	2,118,430.68
55059	NEIGHBOR WORK PROJECT	10,332,032.72	10,107,650.57	11,121,992.25	9,344.85	11,131,337.10
55060	AUTOMATIC/PRINT CHARGBACKS	4,403,775.47	3,177,860.79	3,246,884.37	(2,775,966.91)	470,917.46
55061	OFT NYT ACCT	2,322,746.64	2,318,002.96	2,317,678.02	(25,622.55)	2,292,055.47
55062	DATA CENTER ACCOUNT	45,428,023.70	45,381,789.50	45,381,789.50	-	45,381,789.50
55066	CYBER SECURITY INTRUSION ACCT	1,261,584.27	1,261,584.27	1,261,584.27	-	1,261,584.27
55067	DOMESTIC VIOLENCE GRANT	153,041.66	159,010.41	169,607.57	(74,968.07)	94,639.50
55069	CENTRALIZED TECHNOLOGY SERVICES	54,372,378.48	56,081,457.62	54,759,262.89	(910,653.66)	53,848,609.23
55071	LABOR CONTACT CENTER ACCT	200,879.60	387,428.90	536,194.48	(383,826.24)	152,368.24
55072	HUMAN SERVICES CONTACT CNTR ACCT	982,907.62	-	-	696,689.36	696,689.36
55073	TAX CONTACT CENTER ACCT	-	-	-	-	-
55074	CIVIL RECOVERIES ACCT	15,382,766.52	15,473,889.76	15,221,387.23	(15,221,387.23)	-
55251	EXECUTIVE DIRECTION INTERNAL AUDIT	9,947,279.39	10,384,234.22	10,755,776.25	390,532.19	11,146,308.44
55252	CIO INFORMATION TECHNOLOGY CENTRALIZED SERVICES	29,411,221.22	32,404,366.80	37,616,947.48	2,605,315.36	40,222,262.84
55300	HEALTH INSURANCE INTERNAL SERVICE	13,006,160.12	14,230,244.01	14,481,302.58	295,268.19	14,776,570.77
55301	CIVIL SERVICE EMPLOYEE BENEFITS DIV ADM	625,679.41	765,603.99	930,837.40	147,807.34	1,078,644.74
55350	CORR INDUSTRIES INTERNAL SERVICE	29,091,245.22	29,437,255.63	29,608,490.42	1,690,747.07	31,299,237.49
	TOTAL INTERNAL SERVICE FUNDS	330,909,799.84	321,232,069.00	333,730,685.32	(7,915,206.53)	325,815,478.79
	GRAND TOTAL - TEMPORARY LOANS OUTSTANDING	\$ 3,750,142,661.69	\$ 4,665,420,784.93	\$ 3,651,678,456.64	\$ 1,662,300,863.97	\$ 5,313,979,320.61

(*) Temporary Loans are authorized pursuant to Subdivision 5 of Section 4 of the State Finance Law and Chapter 59, Part TTT, Section 1, of the Laws of 2019-20.

The loans represent authorizations made by the Legislature to allow certain funds/accounts to make appropriated payments regardless of the fund (cash) balance.

Such loans are made from the State's Short-Term Investment Pool (STIP) and are intended to satisfy temporary cash shortfalls whenever scheduled disbursements exceed available revenues during the fiscal year. Generally, temporary loans are repaid from the first cash receipts of the fund or account; however, in some cases actual revenues are not sufficient to repay all loans made to the fund or account and a transfer from the General Fund "Repayment of Receivables" appropriation is approved by the Budget Director.

The balances reported here in Appendix F are the actual fund balances as of the close of business on the last day of the reporting month and do not include post-closing adjustments.

Please refer to Schedule 1 for a detailed analysis of the 'reported' cash balances of the fund group.

(**) Temporary loans to federal funds are typically reimbursed within 2-3 days. Such loans are made pursuant to federal regulations which require the State to disburse funds prior to making a reimbursement claim from the U.S. Treasury.

(***) Per Section 72 of the State Finance Law, the General Fund includes the Local Assistance Fund (10000) and State Purpose Fund (10050).

STATE OF NEW YORK
DEDICATED INFRASTRUCTURE INVESTMENT FUND(*)
STATEMENT OF RECEIPTS AND DISBURSEMENTS
FISCAL YEAR 2019-2020

APPENDIX G

	2019 APRIL	MAY	JUNE	JULY	AUGUST	SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER	2020 JANUARY	FEBRUARY	MARCH	6 Months Ended September 30, 2019
OPENING CASH BALANCE	\$ 16,298,833	\$ 228,595,088	\$ 67,983,418	\$ 126,634,302	\$ 99,294,725	\$ 98,280,268							\$ 16,298,833
RECEIPTS:													
Transfers from General Fund (**)	250,000,000	-	200,000,000	50,000,000	70,000,000	100,000,000							670,000,000
Total Receipts	250,000,000	-	200,000,000	50,000,000	70,000,000	100,000,000	-	-	-	-	-	-	670,000,000
DISBURSEMENTS:													
Affordable and Homeless Housing	-	1,460,000	18,258,090	-	1,574,403	25,407,411							46,699,904
Broadband Initiative	1,906,857	22,713,440	5,454,209	12,367,387	1,905,302	6,084,561							50,431,756
Downtown Revitalization	-	-	518,406	151,311	161,511	63,445							894,673
Health Care / Hospital Initiatives	1,376,741	66,909,227	2,205,139	5,379,040	1,033,482	2,853,386							79,757,015
Empire State Poverty Reduction Initiatives	1,174,678	643,317	1,630,974	1,884,546	638,637	2,373,357							8,345,509
Information Technology/Infrastructure for Behavioral Sciences	-	-	-	-	-	-							-
Infrastructure Improvements	-	298,303	597,554	604,723	62,372	3,874							1,566,826
Jacob Javits Center Expansion	-	-	87,959,899	-	-	-							87,959,899
Life Sciences Initiative	-	-	-	-	500,000	4,420							504,420
Municipal Restructuring / Consolidation Competition	1,257,796	1,322,544	884,297	584,993	1,230,887	68,308							5,348,825
Penn Station Access	-	-	-	-	-	-							-
Resiliency, Mitigation, Security and Emergency Response	32,703	4,101,595	100,755	8,723	(953)	-							4,242,823
Southern Tier / Hudson Valley Farm Initiative	10,000	73,340	4,871	20,000	173,311	-							281,522
Thruway Stabilization Program	15,988,205	29,132,267	20,630,646	42,058,113	49,057,748	25,685,242							182,552,221
Transformative Economic Development Projects	5,122,897	8,707,914	1,701	4,492,613	2,754,194	2,500,000							23,579,319
Transportation Capital Plan	-	-	-	(3,626)	-	-							(3,626)
Upstate Revitalization Program	10,833,868	25,249,723	3,102,575	9,791,754	11,923,563	1,726,351							62,627,834
Total Disbursements	37,703,745	160,611,670	141,349,116	77,339,577	71,014,457	66,770,355	-	-	-	-	-	-	554,788,920
OPERATING TRANSFERS:													
Transfers to General Fund	-	-	-	-	-	-							-
Total Operating Transfers	-	-	-	-	-	-	-	-	-	-	-	-	-
Total Disbursements and Transfers	37,703,745	160,611,670	141,349,116	77,339,577	71,014,457	66,770,355	-	-	-	-	-	-	554,788,920
CLOSING CASH BALANCE	\$ 228,595,088	\$ 67,983,418	\$ 126,634,302	\$ 99,294,725	\$ 98,280,268	\$ 131,509,913	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 131,509,913

(*) Fund created pursuant to Chapter 60, Laws of 2015-16, Part H and SFL § 93-b

(**) Pursuant to Section 93(b) of the State Finance Law